Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Dever, James C.
U.S. District Court - North Carolina Eastern
District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Dever, James C. [electronically signed on Jun 8, 2026 4:11pm by Dever, James C. in JEFS]
/s/ Dever, James C. [electronically signed on May 11, 2026 1:33pm by Dever, James C. in JEFS]

I. Positions

	ORGANIZATION/ENTITY NAME	ORGANIZATION/ENTITY TYPE	POSITION HELD
1.	Campbell University, Norman Adrian Wiggins School of Law	University/College	Adjunct Law Professor
2.	Duke University School of Law	University/College	Senior Lecturing Fellow
3.	Duke University School of Law	University/College	Board of Visitors

II. Agreements

	EMPLOYER OR PARTY NAME	TERMS	AGREEMENT START MONTH	AGREEMENT START YEAR
1.	Campbell University, Norman Adrian Wiggins School of Law	Teaching as Adjunct Law Professor	August	2025
2.	Duke University School of Law	Teaching as Senior Lecturing Fellow	January	2025

III A. Filer Non-Investment Income

	SOURCE	INCOME TYPE	INCOME AMOUNT	HONORARIUM DATE
1.	Campbell University (wages)	Teaching Income	$6,000.00	
2.	Duke University (wages)	Teaching Income	$10,000.00	

III B. Spouse Non-Investment Income

	SOURCE	DESCRIPTION	HONORARIUM	HONORARIUM AMOUNT	HONORARIUM DATE
1.	Teacher, Our Lady of Lourdes Catholic School, Raleigh, North Carolina	Wages	No	$0.00	

IV. Reimbursements

	SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federalist Society	May 20, 2025	May 21, 2025	Washington, D.C.	Speak to D.C. Young Lawyers Meeting	Travel, Meals, Hotel

V. Gifts

I do not have any Gifts to report
No entries in this section

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**Northwestern Mutual Whole Life Policy**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
2.	**Northwestern MutualWhole Life Policy**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
3.	**PNC Bank Account**	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
4.	**(H) IRA (H)**				
4-A.	AVIV (American Century ETF TR Avantis Intl Large Cap Value ETF)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 1, 2025	$15,001 - $50,000

4-B.	FMDE (Fidelity Covington TR Enhanced Midcap ETF)	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 1, 2025	$50,001 - $100,000

4-C.	IQLT (ISHARES TR MSCI INTL QUALITY FACTOR ETF)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Oct 1, 2025	$15,001 - $50,000
(2).	Buy	May 7, 2025	$15,000 or less

4-D.	SPHQ (Invesco Exchange Traded FD TR S&P 500 Quality ETF)	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Oct 1, 2025	$15,000 or less
(2).	Buy (add'l)	Apr 17, 2025	$15,000 or less
(3).	Buy	Feb 6, 2025	$15,001 - $50,000

4-E.	-Cash Deposit -- Northwestern Mutual Investment Services	$1,000 or less	Interest	$15,000 or less	Cash/Market
4-F.	-SMCFX (Small Cap World Fund Class F2)	$2,501 - $5,000	Dividend		



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	May 6, 2025	$15,001 - $50,000

4-G.	-NFFFX (New World Fund Class F-2)	$2,501 - $5,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 12, 2025	$15,001 - $50,000

4-H.	-GIBIX (Guggenheim Total Return BondFund Institutional Class)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Oct 1, 2025	$15,001 - $50,000
(2).	Sold (part)	Apr 17, 2025	$15,000 or less
(3).	Buy (add'l)	Feb 11, 2025	$15,000 or less

4-I.	-IJH (IShares TR Core S&P Mid-Cap ETF)	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 1, 2025	$50,001 - $100,000

4-J.	-IJR (IShares TR Core S&P Small-Cap ETF)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Oct 1, 2025	$15,000 or less

4-K.	-AGG (ISHARES TR CORE U.S. Aggregate BD ETF)	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Nov 21, 2025	$15,001 - $50,000
(2).	Sold (part)	Apr 17, 2025	$15,000 or less
(3).	Sold (part)	Mar 13, 2025	$15,000 or less

4-L.	-SCHF (Schwab Strategic TR Intl Equity ETF)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 1, 2025	$15,001 - $50,000
(2).	Buy	May 7, 2025	$15,000 or less
(3).	Sold	Jan 24, 2025	$15,000 or less

4-M.	-DBLSX (DoubleLine Low Duration Bond Fund)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 1, 2025	$15,000 or less

4-N.	-IVV (ISHARES TR CORE S&P 500 ETF)	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Oct 1, 2025	$50,001 - $100,000
(2).	Buy (add'l)	Apr 10, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 12, 2025	$15,000 or less
(4).	Buy (add'l)	Feb 11, 2025	$15,001 - $50,000
(5).	Buy (add'l)	Jan 24, 2025	$15,000 or less

4-O.	-IBTF (ISHARES TR IBONDS DEC 2025 TERM TREASURY ETF)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 1, 2025	$15,001 - $50,000

4-P.	-MBFIX (ALLSPRINGS CORE BOND FUND INSTITUTIONAL CLASS)	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 1, 2025	$15,000 or less
(2).	Sold (part)	Apr 17, 2025	$15,000 or less

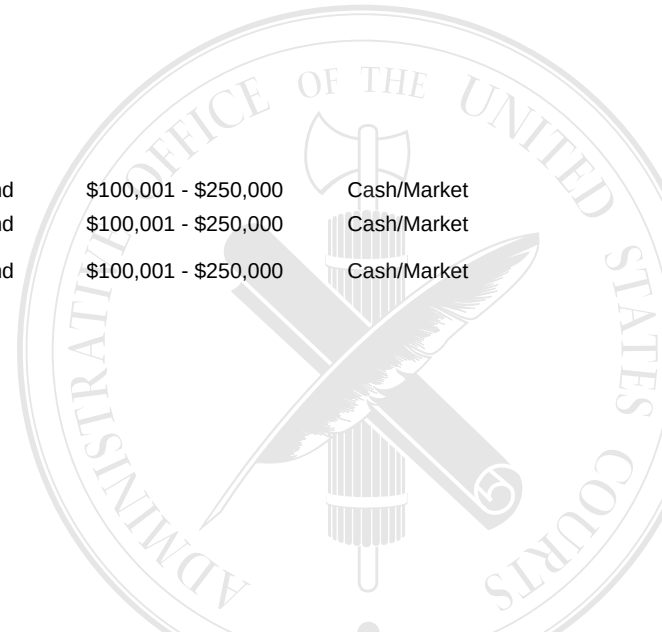
4-Q.	-CGGO (CAPITAL GROUP GLOBAL GROWTH EQUITY ETF SHS) CREATION UNIT)	$5,001 - $15,000	Dividend		

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Oct 1, 2025 $50,001 - $100,000				
4-R.	-CREDX (BLACKROCK CREDIT STRATEGIES FUND INSTITUTIONAL CLASS)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy (add'l) Oct 1, 2025 $15,000 or less				
4-S.	-IBDW (ISHARES TR IBONDS DEC 2031 TERM CORPORATE)	$1,000 or less	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Oct 1, 2025 $15,000 or less				
	(2). Sold (part) Apr 10, 2025 $15,000 or less				
4-T.	-SBGP (INVESCO EXCHANGE TRADED FD TR S&P 500 ETF)	$1,001 - $2,500	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Feb 10, 2025 $15,001 - $50,000				
4-U.	-GRPM (INVESCO EXCHANGE TRADED FD TR S&P 500 GARP ETF)	$1,001 - $2,500	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Oct 1, 2025 $15,001 - $50,000				
4-V.	-CPREX (Clarion Partners Real Estate Income Fund Class I)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy (add'l) Oct 1, 2025 $15,000 or less				
4-W.	-CGCB (Capital Group Fixed Income ETF TR Core BD ETF)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
4-X.	-TLT (ISHARES TR 20+ YR TREAS BD ETF)	$1,000 or less	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Feb 3, 2025 $15,000 or less				
4-Y.	-IUSV (ISHARES TR CORE S&P US VALUE ETF)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy (add'l) Oct 1, 2025 $15,001 - $50,000				
	(2). Sold (part) Feb 11, 2025 $15,001 - $50,000				
4-Z.	CGNG (Capital Group New Geography Equity ETF)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Sep 1, 2025 $15,000 or less				
	(2). Buy Sep 12, 2025 $15,001 - $50,000				
4-AA.	Blackrock Private Investment Fund Institutional Share 091LPA039	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Apr 25, 2025 $15,001 - $50,000				
4-AB.	SHY (Ishares TR 1-3 YR TRS BD ETF)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Nov 21, 2025 $15,001 - $50,000				
5.	**(H) Roman Catholic Diocese of Raleigh 403(b) Ret Plan (H)**				
5-A.	-T Rowe Retirement I 2030 Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
6.	**(H) Duke Faculty and Staff 403(b) Ret Plan (H)**				

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
6-A.	-Vanguard Institution Target Retirement 2025 mutual fund 2025	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
7.	**(H) Trust (H)**				
7-A.	-Wachovia (now Wells Fargo) checking account	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
7-B.	-Wachovia (now Wells Fargo) savings account	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
7-C.	-Kinecta Federal Savings & Loan checking account	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
7-D.	-Kinecta Federal Savings & Loan savings account	$1,000 or less	Interest	$15,000 or less	Cash/Market
7-E.	-Kinecta Federal Savings & Loan Certificate of Deposit	$1,000 or less	Interest	$100,001 - $250,000	Cash/Market
7-F.	-New York Life whole life insurance policy	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
7-G.	-New York Life family whole life insurance policy	$1,000 or less	Interest	$15,000 or less	Cash/Market
8.	**(H) -New York Life variable annuity (H)**				
9.	**Mainstay VP Janus Henderson Balanced Initial Class 159**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
10.	**-USAA whole life insurance policy**	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
11.	**-Comcast Corp CL A stock**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
12.	**-Northrop Grumman Corp stock**	$5,001 - $15,000	Dividend	$500,001 - $1,000,000	Cash/Market
13.	**-Raytheon Technologies Corporation stock**	$15,001 - $50,000	Dividend	$1,000,001 - $5,000,000	Cash/Market
14.	**-3M Company stock**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
15.	**-Solventum Corporation**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
16.	**-Wells Fargo checking**	$1,000 or less	Interest	$15,000 or less	Cash/Market
17.	**-Fidelity US Treasury Money Mkt**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18.	**-Huntington Ingall Indus stock**	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
19.	**-Chevron Corp stock**	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
20.	**(H) Fidelity Portfolio Advisory Service(H)**				
20-A.	-BDSAX (BlackRock Advantage Small Cap Core Fund Investor A Share	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Apr 22, 2025	$15,000 or less
(2).	Buy (add'l)	Mar 21, 2025	$15,000 or less
(3).	Buy	Jan 15, 2025	$15,000 or less

20-B.	-FDLXX (Fidelity Treasury Only Money Market)	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
20-C.	-FDRXX (Fidelity Government Cash Reserves)	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
20-D.	-FSAKX (Strategic Advisers U.S. Total Stock FD)	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Nov 18, 2025	$15,000 or less
(2).	Buy (add'l)	Oct 16, 2025	$15,000 or less
(3).	Sold (part)	Sep 29, 2025	$15,000 or less
(4).	Sold (part)	Jul 29, 2025	$15,000 or less
(5).	Sold (part)	May 23, 2025	$15,000 or less
(6).	Sold (part)	Apr 22, 2025	$15,000 or less
(7).	Buy (add'l)	Mar 21, 2025	$15,001 - $50,000
(8).	Sold (part)	Feb 28, 2025	$15,000 or less
(9).	Sold (part)	Jan 15, 2025	$15,000 or less

20-E.	-FEMKX (Fidelity Emerging Markets)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-F.	-FGNSX (Strategic Adv Tax Sensitive Sho)	$1,001 - $2,500	Dividend	$15,000 or less	Cash/Market
20-G.	-FILFX (Strategic Advisors International)	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Nov 18, 2025	$15,000 or less
(2).	Sold (part)	Sep 15, 2025	$15,000 or less
(3).	Sold (part)	Jul 29, 2025	$15,000 or less
(4).	Sold (part)	Apr 22, 2025	$15,000 or less
(5).	Sold (part)	Mar 21, 2025	$15,000 or less
(6).	Sold (part)	Feb 18, 2025	$15,000 or less
(7).	Buy (add'l)	Jan 15, 2025	$15,000 or less

20-H.	-FSCJX (Fidelity SAI Canada Equity Index Fund)	$1,000 or less	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 16, 2025	$15,000 or less
(2).	Buy (add'l)	Sep 15, 2025	$15,000 or less

20-I.	-FSUVX (FID SAI US Low Volitility Index FD)	$1,000 or less	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 29, 2025	$15,000 or less
(2).	Buy (add'l)	Mar 21, 2025	$15,000 or less
(3).	Sold (part)	Jan 15, 2025	$15,000 or less

20-J.	-CSRCX (Cohen & Steers Realty Shares)	$1,000 or less	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 16, 2025	$15,000 or less
(2).	Sold (part)	Jul 29, 2025	$15,000 or less
(3).	Sold (part)	Jun 26, 2025	$15,000 or less
(4).	Sold (part)	May 23, 2025	$15,000 or less

20-K.	-FSPWX (FID SAI Inflation Protected Bond Index)	$1,001 - $2,500	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Nov 18, 2025	$15,000 or less
(2).	Sold (part)	Sep 15, 2025	$15,000 or less
(3).	Sold (part)	May 23, 2025	$15,000 or less
(4).	Sold (part)	Apr 22, 2025	$15,000 or less
(5).	Sold (part)	Mar 21, 2025	$15,000 or less
(6).	Buy (add'l)	Feb 18, 2025	$15,000 or less
(7).	Sold (part)	Jan 15, 2025	$15,000 or less

20-L.	-FSAMX (Strategic Advisors International)	$2,501 - $5,000	Dividend

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 18, 2025	$15,000 or less
(2).	Sold (part)	Sep 16, 2025	$15,000 or less
(3).	Sold (part)	Sep 15, 2025	$15,000 or less
(4).	Sold (part)	Jun 26, 2025	$15,000 or less
(5).	Sold (part)	May 23, 2025	$15,000 or less
(6).	Sold (part)	Apr 22, 2025	$15,000 or less
(7).	Sold (part)	Mar 21, 2025	$15,000 or less
(8).	Sold (part)	Feb 18, 2025	$15,000 or less
(9).	Buy (add'l)	Jan 15, 2025	$15,000 or less

20-M.	-FSMUX (SAI Municipal Bond Fund)	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
20-N.	-FVDFX (Fidelity Value Discovery)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-O.	-IJR (Ishares Core S&P Small Cap)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
20-P.	-IWD (Ishares Russell 1000 Value)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-Q.	-OAKIX (Oakmark Intl Investors)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-R.	-VOE (Vanguard Mid Cap Value Index Fund)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
20-S.	-FIFGX (Fidelity SAI Inflation Focused FD)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	May 23, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 18, 2025	$15,000 or less
(3).	Sold (part)	Jan 15, 2025	$15,000 or less

20-T.	-FSLTX (Strategic Advisers Alternatives Fund)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Nov 18, 2025	$15,000 or less
(2).	Sold (part)	Oct 16, 2025	$15,000 or less
(3).	Sold (part)	Sep 15, 2025	$15,000 or less
(4).	Sold (part)	Jun 26, 2025	$15,000 or less
(5).	Sold (part)	May 23, 2025	$15,000 or less
(6).	Sold (part)	Apr 22, 2025	$15,000 or less
(7).	Sold (part)	Mar 21, 2025	$15,000 or less
(8).	Sold (part)	Feb 18, 2025	$15,000 or less

20-U.	-FAUDX (Strategic Advisers Short Duration Fund)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Nov 18, 2025	$15,000 or less
(2).	Sold (part)	Oct 16, 2025	$15,000 or less
(3).	Sold (part)	Sep 15, 2025	$15,000 or less
(4).	Sold (part)	Apr 22, 2025	$15,000 or less
(5).	Sold (part)	Mar 21, 2025	$15,000 or less
(6).	Sold (part)	Feb 18, 2025	$15,000 or less
(7).	Sold (part)	Jan 15, 2025	$15,000 or less

20-V.	-FPIOX (Strategic Advisers Income Opporunites)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Nov 18, 2025	$15,000 or less

20-W.	-FPCIX (Strategic Advisers Core Income Fund)	$1,000 or less	Dividend		

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Nov 18, 2025	$15,001 - $50,000
(2).	Sold (part)	Jul 29, 2025	$15,001 - $50,000
(3).	Sold (part)	Jun 26, 2025	$15,001 - $50,000
(4).	Sold (part)	May 23, 2025	$15,000 or less
(5).	Sold (part)	Apr 22, 2025	$15,000 or less
(6).	Sold (part)	Mar 21, 2025	$15,000 or less
(7).	Sold (part)	Feb 18, 2025	$15,001 - $50,000

20-X.	-SEMVX (Hartford Schroders Emrg Mrkts eqty SDR)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Nov 18, 2025	$15,000 or less

20-Y.	-MFAPX (Morgan Stanley Intl Advantage Cl A)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-Z.	-IWP (Ishares Tr Rus Md Cp Gr ETF)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-AA.	-MISIX (Victory Trivalent Intl Small Cap)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-AB.	-VUG (Vanguard Index FDS Vanguard Growth)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
20-AC.	-FBLTX (FID SI Long Term Treasury Bond Index)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 15, 2025	$15,000 or less
(2).	Buy (add'l)	Jun 26, 2025	$15,000 or less
(3).	Buy (add'l)	May 23, 2025	$15,000 or less
(4).	Buy	Mar 21, 2025	$15,000 or less

20-AD.	-FBGRX (Fidelity Blue Chip Growth)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-AE.	-FISZX (Fidelity SAI Intl SMA Completion FD)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-AF.	--FSKLX (Fid SAI Intnl Low Volitility Index FD)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 15, 2025	$15,000 or less

20-AG.	-Carrier Global Corp. stock	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
20-AH.	-Otis Worldwide Corp. stock	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-AI.	-Johnson and Johnson stock	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market
20-AJ.	-News Corp Class A stock	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20-AK.	-Morgan Stanley & Co. stock	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
20-AL.	-Disney Walt Company stock	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
20-AM.	-Fox Corp Class A stock	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
20-AN.	-Denton Cnty TX Fresh Water Supply Bond	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
20-AO.	-California St Various Purp G/O Unltd Bond	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Redeemed	May 12, 2025	$15,001 - $50,000

20-AP.	-California St RFDG Var Purp G/O Unlt Bond	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Redeemed	Nov 3, 2025	$15,001 - $50,000

20-AQ.	-Pasadena CA Area Cmnty CLLG Dist RFDG Bond	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
20-AR.	-Portland OR SWR Sys Rev RFDG Bond	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
20-AS.	-Microsoft Corp stock	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

VIII. Additional Information or Explanations

ADDITIONAL INFORMATION OR EXPLANATION

ADDITIONAL INFORMATION OR EXPLANATION

1. 1. With respect to the Northwestern Mutual Whole Life Policies and the New York Whole Life Policies, these are paid up whole life insurance policies. According to my insurance agent, there are no underlying investments for these paid up whole life policies.
2. 2. With respect to the New York Life variable annuity, the asset allocation is 100% in Mainstay VP Janus Henderson Balanced - Initial Class - 159 as referenced in the report.

Produced by Administrative Office of the United States Courts