AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dooley, Kari A.	District of Connecticut	04/24/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
915 Lafayette Boulevard Courtroom 4 - Annex Bridgeport, Connecticut 06604		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	11/09/04	State of Connecticut Pension Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Dooley, Kari A.	04/24/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Abbott Labs Stock	A	Dividend	K	T					
2. Abbvie Stock	B	Dividend	K	T					
3. Alphabet Class C		None	L	T					
4. Alphabet Class A		None	L	T					
5. Altria Group Stock	B	Dividend	J	T					
6. Amgen Stock	B	Dividend	L	T					
7. Americn Electrical Power Stock	A	Dividend	K	T					
8. Apple Stock	A	Dividend	M	T					
9. AT&T stock	B	Dividend	K	T					
10. Baxter International Stock	A	Dividend	J	T					
11. Boeing Stock		None	L	T					
12. Carrier stock	A	Dividend	J	T					
13. Caterpillar Stock	A	Dividend	K	T					
14. ViacomCBS Stock (Y)	A	Dividend							
15. Centerpoint Energy Stock	A	Dividend	J	T					
16. Chemours	A	Dividend	J	T					
17. Cisco Systems Stock	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Coca Cola Stock	A	Dividend	K	T					
19. Comcast Stock	A	Dividend	K	T					
20. Conagra Stock	A	Dividend	K	T					
21. ConocoPhillips Stock	B	Dividend	K	T					
22. Constellation Energy	A	Dividend	J	T	Spinoff (from line 29)	02/02/22	J		
23. Corteva	A	Dividend	K	T					
24. Cummins, Inc. Stock	B	Dividend	K	T					
25. Diageo Stock	A	Dividend	K	T					
26. Dow	B	Dividend	K	T					
27. Dupont	A	Dividend	K	T					
28. Eli Lilly Stock	A	Dividend	L	T					
29. Exelon Stock	A	Dividend	K	T					
30. Exxon Mobil Stock	C	Dividend	L	T					
31. META Stock		None	K	T					
32. G. Willi Food Stock	B	Dividend	K	T					
33. General Dynamics Stock	A	Dividend	K	T					
34. Gilead Stock	A	Dividend	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. Hewlett Pckard Stock	A	Dividend	J	T					
36. Home Depot	C	Dividend	M	T					
37. HP Inc. Stock	A	Dividend	J	T					
38. Intel Stock	B	Dividend	K	T					
39. IBM Stock	C	Dividend	L	T					
40. Russell 2000 I shares	B	Dividend	M	T					
41. Johnson & Johnson Stock	B	Dividend	L	T					
42. JP Morgan Chase Stock	B	Dividend	L	T					
43. Kraft Heinz Stock	A	Dividend	J	T					
44. Kyndryl Holdings		None	J	T					
45. Lamb Weston Stock	A	Dividend	J	T					
46. Lockheed Martin Stock	B	Dividend	K	T					
47. Lowes Stock	B	Dividend	L	T					
48. LyondellBassell	C	Dividend	K	T					
49. Manulife Financial Stock	B	Dividend	K	T					
50. McDonalds Stock	B	Dividend	M	T					
51. Merck	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. Microsoft Stock	B	Dividend	L	T					
53. Mondelez Interntional Stock	A	Dividend	J	T					
54. Motorola Stock	A	Dividend	K	T					
55. National Grid ADRs	A	Dividend	J	T					
56. Norfolk Southern Stock	A	Dividend	K	T					
57. Organon	A	Dividend	J	T					
58. Otis Elevator	A	Dividend	J	T					
59. M&T Bank (formerly Peoples United) Stock	B	Dividend	K	T					
60. Pfizer Stock	B	Dividend	L	T					
61. Philip Morris International Stock	B	Dividend	K	T					
62. Phillips 66 Stock	A	Dividend	K	T					
63. PPL Corp Stock	B	Dividend	K	T					
64. Proctor and Gamble Stock	B	Dividend	L	T					
65. Prudential	C	Dividend	L	T					
66. Qualcomm Stock	B	Dividend	L	T					
67. Raytheon Stock	B	Dividend	L	T					
68. Rockwell Automation Stock	A	Dividend	L	T					

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69. Royal Dutch Shell ADRs	A	Dividend	K	T					
70. Dominion Energy	A	Dividend	K	T					
71. S & P 500 ETF	C	Dividend	N	T					
72. Total S.A. Stock	B	Dividend	K	T					
73. Travelers Stock	B	Dividend	L	T					
74. US Bancorp Stock	B	Dividend	K	T					
75. Valero Energy Stock	B	Dividend	K	T					
76. Verizon Stock	C	Dividend	K	T					
77. Viatris	A	Dividend	J	T					
78. Walmart Stock	B	Dividend	L	T					
79. Warner Brothers Discovery		None	J	T	Spinoff (from line 9)	04/11/22	J		
80. American Century Growth Fund	D	Distribution	M	T					
81. American Century Select Fund	E	Distribution	M	T					
82. American Century Heritage Fund	C	Distribution	N	T					
83. American Century Intermediate-Term Tax Free Bond Fund	C	Dividend	M	T					
84. Janus Henderson Forty Fund	A	Distribution	N	T					
85. Janus Henderson Research Fund	A	Distribution	M	T					

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86. Jnus Henderson Overseas Fund	B	Distribution	L	T					
87. MFS Value R4 Fund	D	Distribution	M	T					
88. Northern Mid Cap Index Fund	E	Distribution	N	T					
89. Northern Small Cap Index Fund	D	Distribution	M	T					
90. Allspring (formerly Wells Fargo) Target 2025 Fund	A	Distribution	L	T					
91. William Blair International Growth Fund	D	Distribution	L	T					
92. Virtus Kar Global (formerly Allianz Global Small Cap) Fund	C	Distribution	K	T					
93. MFS Global Fund	A	Distribution	K	T					
94. JP Morgan Income Fund	A	Dividend	L	T					
95. Goldman Sachs (1/24/22) Bond	A	Interest			Redeemed	01/24/22	K		
96. ConocoPhillips (11/15/24) Bond	A	Interest	K	T					
97. Energy Transfer Partners (1/15/26) Bond	A	Interest	K	T					
98. Ingersoll Rnd (2/15/30) Bond	B	Interest	K	T					
99. Tosco Corp (2/15/30) Bond	A	Interest	K	T					
100. Puerto Rico Bldg Auth (7/1/17) Bond (Y)									
101. Puerto Rico Elec. Pwr Auth (7/1/20) Bond		None	J	T					
102. PA ST Sch Bldg Auth (6/1/23) Bond	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103. CT ST Series E (8/15/23) Bond	B	Interest	K	T					
104. Miami Dade Spl Oblig (10/1/28) Bond	A	Interest	J	T					
105. CT St Series G (10/15/27) Bond	A	Interest			Redeemed	12/22/22	J		
106. MD ST Series A ST & Loc (8/1/28) Bond	A	Interest	K	T					
107. CT ST Spl Tax Oblig (10/1/28) Bond	A	Interest	K	T					
108. CT ST Spl Tax Oblig (10/1/30) Bond	A	Interest	J	T					
109. UConn GO Bond	A	Interest	L	T	Buy	07/28/22	J		
110. Loomis Sayles Bond Fund	A	Dividend	K	T					
111. Eaton Vance Floating Rate Fund	B	Dividend	K	T					
112. Bank of Montreal Bond	B	Interest	K	T	Buy	06/10/22	K		
113. Wells Fargo Certificate of Deposit		None	L	T	Buy	12/16/22	L		
114. Puerto Rico Commonwealth GO Bond (X)		None	J	T					
115. Discover Bank CD	A	Interest			Buy	05/20/22	L		
116.					Matured	11/29/22	L		
117. CT Higher Educ. Trust (529 Plans) - age based option	E	Distribution	M	T	Sold (part)	05/03/22	K	D	
118.					Sold (part)	06/29/22	K	D	
119.					Sold (part)	08/16/22	J	A	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
120.					Sold (part)	12/06/22	J	C	
121.					Sold (part)	12/22/22	J	A	
122. Merrill Lynch CMA - cash accounts	A	Interest	O	T					
123. CT 457 Deferred Compensation Plan "BOLD" Option		None	N	T					
124. 26 Week Treasury Bill	A	Interest			Matured	05/19/22	L		
125. 26 Week Treasury Bill	A	Interest			Matured	06/09/22	L		
126. 26 Week Treasurey Bill	A	Interest			Buy	05/19/22	L		
127.					Matured	11/17/22	L		
128. 26 Week Treasury Bill	A	Interest			Buy	06/09/22	L		
129.					Matured	12/08/22	L		
130. 52 Week Treasury Bill		None	L	T	Buy	12/29/22	L		
131. 26 Week Treasury Bill		None	L	T	Buy	11/17/22	L		
132. 2 Year Treasury Bill		None	L	T	Buy	09/30/22	L		
133. 2 Year Treasury Bill		None	L	T	Buy	06/30/22	L		
134. US Series I Bonds		None	L	T	Buy (add'l)	05/01/22	J		
135.					Buy (add'l)	10/01/22	J		
136. BNY Mellon IRA (cash)	D	Distribution	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Dooley, Kari A.	04/24/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
137. BNY Mellon Intermediate Bond Fund	A	Int./Div.			Sold	04/26/22	J		
138. BNY Mellon Bond Fund	A	Int./Div.	K	T	Buy (add'l)	04/26/22	J		
139.					Buy (add'l)	08/03/22	J		
140.					Sold (part)	11/14/22	J		
141. BNY Mellon Yield Enhncement Strategy Fund	A	Int./Div.	J	T	Sold (part)	04/26/22	J		
142.					Sold (part)	08/02/22	J		
143.					Sold (part)	11/14/22	J		
144. BNY Mellon Income Stock Fund	A	Int./Div.			Sold	04/26/22	J	A	
145. BNY Mellon Tax Sensitive Large Cap Multi-Strategy	A	Int./Div.			Sold	04/26/22	K	D	
146. BNY Mellon Mid Cap Multi-strategy Fund	A	Int./Div.	J	T	Sold (part)	04/26/22	J		
147.					Sold (part)	08/02/22	J	A	
148.					Sold (part)	11/14/22	J	A	
149. BNY Mellon Diversified International Fund	A	Int./Div.			Sold	04/26/22	J	C	
150. BNY Mellon Alternative Diversifier Strategies Fund	A	Int./Div.			Sold	04/26/22	J	B	
151. Dfa Emerging Mrkts Core Equity	A	Int./Div.	J	T	Buy (add'l)	04/26/22	J		
152.					Sold (part)	08/02/22	J		
153.					Buy (add'l)	11/14/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
154. BNY Small Cap multi-strategy	A	Int./Div.	J	T	Buy (add'l)	04/26/22	J		
155.					Sold (part)	08/02/22	J		
156.					Sold (part)	11/14/22	J		
157. ISHARES S&P 500 ETF	A	Int./Div.			Buy	04/27/22	K		
158.					Buy (add'l)	08/03/22	J		
159.					Sold	11/08/22	K		
160. ISHARES Lehman 1-3year treasury	A	Int./Div.	J	T	Buy	08/03/22	J		
161. Vanguard Russell 1000	A	Int./Div.	K	T	Buy	11/08/22	K		
162. ISHARES S&P MC 400 Index	A	Int./Div.	J	T	Buy	08/03/22	J		
163. ISHARES S&P SC 600	A	Int./Div.	J	T	Buy	08/03/22	J		
164. Fidelity International SC	A	Int./Div.	J	T	Buy	04/26/22	J		
165.					Sold (part)	08/02/22	J		
166.					Sold (part)	11/14/22	J		
167. ISHARES MSCI Eafe Index	A	Int./Div.	J	T	Buy	04/27/22	J		
168.					Sold (part)	08/02/22	J		
169.					Sold (part)	11/08/22	J		
170.					Buy (add'l)	11/08/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
171. BNY Mellon Global Real Return	A	Int./Div.	J	T	Buy	04/26/22	J		
172.					Sold (part)	08/02/22	J		
173.					Sold (part)	11/14/22	J		
174. BNY Mellon Global Real Estate	A	Int./Div.	J	T	Buy	04/26/22	J		
175.					Buy (add'l)	08/03/22	J		
176.					Buy (add'l)	11/14/22	J		
177. DFA Commodity Strategy	A	Int./Div.	J	T	Buy	04/26/22	J		
178.					Buy (add'l)	08/03/22	J		
179.					Buy (add'l)	08/31/22	J		
180.					Sold (part)	11/14/22	J		
181. Gateway Fund	A	Int./Div.	J	T	Buy	04/26/22	J		
182.					Buy (add'l)	08/03/22	J		
183.					Sold (part)	11/14/22	J		
184. Goldman Sachs Absolute Return Tracker	A	Int./Div.	J	T	Buy	04/26/22	J		
185.					Sold (part)	08/02/22	J		
186.					Sold (part)	11/14/22	J		
187. Neuberger Berman Long Short Fund	A	Int./Div.	J	T	Buy	04/26/22	J		

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
188.					Buy (add'l)	08/03/22	J		
189.					Sold (part)	11/14/22	J		
190. Northwestrn Mutual Whole Life Ins. Policy	B	Dividend	L	T					

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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Asset entry 14: This asset was sold in December 2022 but an already earned dividend was paid in January 2023.
Asset entry 100: This bond disappeared because the issuer in Puerto Rico defaulted. I received many smaller PR GO bonds in replacement, only one of which was valued at over 1,000 and which appears at 114.
Asset entry 114: This is one of the replacement bonds issued after a default.

Name of Person Reporting	Date of Report
Dooley, Kari A.	04/24/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kari A. Dooley

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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