AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dow, Jr., Robert M.	U.S. District Court, Northern District of Illinois	05/09/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge -- Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022

7. Chambers or Office Address

E.M. Dirksen U.S. Courthouse
219 South Dearborn Street, Room 1046
Chicago, IL 60604

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Arthur J. Schmitt Foundation
2.	Co-Trustee	[redacted] Trust #1
3.	Adjunct Professor	Northwestern University Law School
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Teaching at Northwestern University Law School	$9,166.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	State of Illinois -- income as Associate Judge for the 12th Judicial Circuit of Illinois
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Association for Justice	2/12/2022 to 2/13/2022	Palm Springs, CA	Attend and present on potential amendments to Federal Rules of Civil Procedure	transportation, food, lodging
2.	Emory Law School	3/8/2022 to 3/10/2022	Miami, FL	Attend and present at conference on MDL	transportation, food, lodging
3.	University of San Diego Law School / Western Alliance Bank	3/16/2022 to 3/18/2022	San Diego, CA	Attend and present at class action conference	transportation, food, lodging

4.	Tarleton State University	3/30/2022 to 4/1/2022	Fort Worth, TX	Attend and present at conference on police consent decrees	transportation, food, lodging
5.	MTMP Conference	4/4/2022 to 4/6/2022	Las Vegas, NV	Attend and present at conference on MDL and mass torts	transportation, food, lodging

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	First American Company / Service Mac	Mortgage on condominium in Chicago	M
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Northern Trust Bank accounts (Y)									
2.	Northern Trust Bank IRA (CD) (Y)									
3.	First Community Bank accounts	A	Interest	J	T					
4.	Harris Bank accounts	A	Interest	J	T					
5.	GCG 529 Fund #2									
6.	-- American Funds Capital World G/I 529A	A	Interest			Distributed	07/11/22	J		
7.	-- American Funds Growth Fund of America 529A	A	Interest			Distributed	07/11/22	J		
8.	GCG 529 Fund #3									
9.	-- New Perspective Fund 529A	A	Interest			Distributed	07/11/22	J		
10.	-- American Funds Fundamental Investors 529A	A	Interest			Distributed	07/11/22	J		
11.	GCG 529 Fund #4 (Y)									
12.	-- American Funds SmallCap World Fund 529A (Y)									
13.	-- American Funds Capital Income Builder 529A (Y)									
14.	TD Ameritrade (HSA Bank)		None	J	T					
15.	Sauk Valley Community Bank Common Stock	A	Dividend	J	T					
16.	Sequella, Inc.		None	J	T					
17.	IRX Therapeutics, Inc. n/k/a Brooklyn Immuno Therapeutics, Inc.		None			Sold	06/17/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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Dow, Jr., Robert M.	05/09/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. Jackson National Life Annuity									
19. -- JNL/American Growth		None	M	T					
20. -- JNL/Double Line CAPE		None	M	T					
21. -- JNL/Vanguard Small CoGr (Y)									
22. -- JNL/MujltiMgrSmCapGr		None	L	T					
23. -- JNL/American GlGrowth		None	L	T					
24. -- JNL/MC Hthcare Sector		None	K	T					
25. -- JNL/T. Rowe Price MidCapGr		None	K	T					
26. -- JNL/MC Info Tech Sector		None	K	T					
27. -- JNL/MC Sm Cap Inded		None	K	T					
28. -- JNL/American Global SmCap		None	K	T					
29. -- JNL/WestchesterCapEventDr		None	K	T					
30. Transamerica Life Annuity									
31. -- TA Morgan Stanley Capital Growth		None	K	T					
32. -- TA WMC US Growth		None	K	T					
33. -- TA T. Rowe Price Small Cap		None	M	T					
34. -- TA Torrey Concentrated Growth (Y)									

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35. -- TA Janus Mid-Cap Growth		None	K	T					
36. -- American Funds Growth Fund		None	L	T					
37. -- American Funds Growth - Income Fund		None	L	T					
38. [redacted] Trust #1									
39. -- [redacted] Trust Cash Assets Commerce Trust Co.	B	Interest	L	T					
40. -- Marital Trust Cash Assets Commerce Trust Co.	B	Interest	L	T					
41. -- Interim Trust									
42. -- Other Cash Assets Commerce Trust Co.	C	Interest	N	T					
43. -- Real Estate Vineyard Haven, MA		None	P1	Q					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV. (continued)

Source Dates Location Purpose Items Paid or Provided

6. Stanford Law School 5/19/22 to 5/21/22 Palo Alto, CA Conference on MDL transportation, food, lodging

7. Duke Law School 5/25/22 to 5/27/22 Durham, NC MDL conference and McGovern symposium transportation, food, lodging

8. MTMP Conference 10/12/22 to 10/14/22 Las Vegas, NV Conference on MDL and mass tort litigation transportation, food, lodging

9. Sedona Conference 10/26/22 to 10/28/22 Philadelphia, PA Conference on e-discovery and complex litigation transportation, food, lodging

10. Yale Law School 11/3/22 to 11/6/22 New Haven, CT Conference on managerial judges transportation, food, lodging

Part VII. (explanations)

1. Lines 5, 8, 11, 18, 30, 38, and 41 are headers.

2. Lines 1 and 2 are for accounts that were closed in 2021.

3. Line 11 is for a 529 Plan that was fully distributed in 2021.

4. Line 14 is for a defunct HSA accoiunt that has a zero balance, but my original contact at TD Ameritrade no longer works there and I have not managed to locate anybody to close the account.

5. Line 21 is a fund that in 2021 merged into a new fund listed on Line 22.

6. Lines 38 through 43 relate to a [redacted] member's estate. I was appointed co-trustee in December 2021 to assist with the distribution of the estate. For the entire time since my appointment, the [redacted] Trust (line 39) and the Marital Trust (line 40) have consisted entirely of cash assets at the Commerce Trust Company in Kansas City, MO. The Interim Trust (header on line 41) has two components, one consisting of cash assets (line 42) and the other consisting of real estate (line 43), which is a home in Vineyard Haven, MA. After my appointment, certain cash distributions were made to [redacted] members. In January 2023, the real estate was transferred to the surviving spouse and most of the remaining cash in all three trusts was distributed to [redacted] members, with a small reserve ($10,000) remaining in the Interim Trust to make sure all estate expenses are paid. The estate lawyers expect to wind up the entire estate this year, and the 2023 actions will be reported on next year's FDR, with my co-trustee duties expected to terminate. The appraisal date for the real estate listed on Line 43 is March 9, 2021. All of the income to the Trusts during the reporting period has been in the form of interest, as all of the stock holdings were liquidated prior to my appointment in 2021.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert M. Dow, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544