AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dow, Jr., Robert M.	U.S. District Court, Northern District of Illinois	05/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge -- Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023

7. Chambers or Office Address

E.M. Dirksen U.S. Courthouse
219 South Dearborn Street, Room 1046
Chicago, IL 60604

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Arthur J. Schmitt Foundation
2.	Co-Trustee	[redacted] Trust #1
3.	Adjunct Professor	Northwestern University Law School
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Teaching at Northwestern University Law School	$9,166.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	State of Illinois -- income as Associate Judge for the 12th Judicial Circuit of Illinois (1/1/23 to 9/30/23)
2.	2023	Bailey Glasser LLP -- income as partner in law firm
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Miami Law School	1/27/2023 to 1/29/2023	Coral Gables, FL	Attend and present keynote address at class action conference	transportation, food, lodging
2.	Northwestern Pritzker School of Law	3/6/2023 to 3/7/2023	Chicago, IL	Attend and present at complex litigation conference	transportation, food, lodging
3.	Perfect Law UK	4/24/2023 to 4/26/2023	London, UK	Attend and present at class action conference	transportation, food, lodging

4.	Duke University Law School	5/24/2023 to 5/26/2023	Durham, NC	Attend and present at conference on MDL and McGovern Symposium	transportation, food, lodging
5.	Baylor Law School	6/18/2023 to 6/20/2023	Aspen, CO	Attend and present at conference on MDL	transportation, food, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	First American Company / Service Mac	Mortgage on condominium in Chicago	M
2.	Chase Bank	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	First Community Bank accounts	A	Interest	J	T					
2.	Harris Bank accounts	A	Interest	J	T					
3.	GCG 529 Fund #2 (H) (Y)									
4.	-- American Funds Capital World G/I 529A (Y)									
5.	-- American Funds Growth Fund of America 529A (Y)									
6.	GCG 529 Fund #3 ((H) (Y)									
7.	-- New Perspective Fund 529A (Y)									
8.	-- American Funds Fundamental Investors 529A (Y)									
9.	TD Ameritrade (HSA Bank)		None	J	T					
10.	Sauk Valley Community Bank Common Stock	A	Dividend	J	T					
11.	Sequella, Inc.		None	J	T					
12.	IRX Therapeutics, Inc. n/k/a Brooklyn Immuno (Y) Therapeutics, Inc.									
13.	Jackson National Life Annuity (H)									
14.	-- JNL/American Growth	E	Dividend	M	T					
15.	-- JNL/Double Line CAPE	E	Dividend	M	T					
16.	-- JNL/MujltiMgrSmCapGr	C	Dividend	L	T					
17.	-- JNL/American GlGrowth	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -- JNL/MC Hthcare Sector	A	Dividend	K	T					
19. -- JNL/T. Rowe Price MidCapGr	C	Dividend	K	T					
20. -- JNL/MC Info Tech Sector	D	Dividend	L	T					
21. -- JNL/MC Sm Cap Inded	C	Dividend	K	T					
22. -- JNL/American Global SmCap	B	Dividend	K	T					
23. -- JNL/WestchesterCapEventDr	B	Dividend	L	T					
24. Transamerica Life Annuity (H)									
25. -- TA Morgan Stanley Capital Growth					Merged (with line 26)	05/01/23	L		
26. -- TA WMC US Growth	F	Dividend	M	T					
27. -- TA T. Rowe Price Small Cap	E	Dividend	M	T					
28. -- TA Janus Mid-Cap Growth	D	Dividend	L	T					
29. -- American Funds Growth Fund	D	Dividend	M	T					
30. -- American Funds Growth - Income Fund	D	Dividend	L	T					
31. [redacted] Trust #1 (H)									
32. -- [redacted] Trust Cash Assets Commerce Trust Co.	B	Interest			Distributed	01/17/23	K	A	
33.					Distributed	01/17/23	K	A	
34.					Distributed	01/17/23	K	A	

1. Income Gain Codes: (See Columns B1 and D4)
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2. Value Codes (See Columns C1 and D3)
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35.					Distributed	01/17/23	K	A	
36.					Distributed	01/17/23	K	A	
37. -- Marital Trust Cash Assets Commerce Trust Co.	B	Interest			Distributed	01/17/23	J	A	
38.					Distributed	01/17/23	J	A	
39.					Distributed	01/17/23	J	A	
40.					Distributed	01/17/23	J	A	
41.					Distributed	01/17/23	J	A	
42. Interim Trust (H)									
43. -- Other Cash Assets Commerce Trust Co.	C	Interest			Distributed (part)	01/17/23	L	A	
44.					Distributed (part)	01/17/23	M	C	
45.					Distributed	04/17/23	J	A	
46. -- Real Estate Vineyard Haven, MA		None	P1	Q	Distributed	01/17/23	P1		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV. (continued)

Source Dates Location Purpose Items Paid or Provided

6. Rabiej Law Center 9/28/23 to 9/29/23 Chicago, IL Conference on MDL and complex litigation transportation, food, lodging

7. Southern Conference of Bar Presidents 10/19/23 to 10/20/23 White Sulphur Springs, WV Speaker at bar conference transportation, food, lodging

8. Lousiana State Bar Association 11/9/23 to 11/11/23 New Orleans, LA Speaker at bar conference transportation, food, lodging

9. University of Texas Law School 12/3/23 to 12/5/23 Austin, TX Speaker at complex litigation seminar transportation, food, lodging

Part VII. (explanations)

1. Lines 13, 24, 31, and 42 are headers.

2. Lines 3 through 8 are for 529 Plans that were fully distributed in 2022.

3. Line 9 is for a defunct HSA accoiunt that has a zero balance, but my original contact at TD Ameritrade no longer works there and I have not managed to locate anybody to close the account.

4. Lines 13 through 23 and 24 through 30 are for two variable rate annunites. Per the guidance on page 44 of the 2023 Filing Instructions, I have reported in Column B the amount credited to each asset in the contract. I have listed these amounts as dividends although my quarterly reports do not specify the basis for the gains in the value of each asset.

5. Line 25 is a fund that in 2022 merged into another pre-existing fund listed on Line 26.

6. Lines 31 through 46 relate to a [redacted] member's estate. I was appointed co-trustee in December 2021 to assist with the distribution of the estate. For the entire time of my appointment, the [redacted] Trust (line 32) and the Marital Trust (line 37) consisted entirely of cash assets at the Commerce Trust Company in Kansas City, MO. The Interim Trust (header on line 42) had two components, one consisting of cash assets (lines 43-45) and the other consisting of real estate (line 46), which is a home in Vineyard Haven, MA. After my appointment, certain cash distributions were made to [redacted] members in December 2021. In January 2023, the real estate was transferred to the surviving [redacted] and most of the remaining cash in all three trusts was distributed to [redacted] members, with a small reserve ($10,000) remaining in the Interim Trust to make sure all estate expenses were paid. The expenses were paid and the small residue was distributed to a [redacted] member (line 45) to close up the Interim Trust. My co-trustee duties thus have terminated. The appraisal date for the real estate listed on Line 46 is March 9, 2021. All of the income to the Trusts during the reporting period has been in the form of interest, as all of the stock holdings were liquidated prior to my appointment in 2021.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert M. Dow, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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