AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Dow, Jr., Robert M. | U.S. District Court, Northern District of Illinois | 05/14/2025 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge -- Active Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2024 **to** 12/31/2024 |
| **7. Chambers or Office Address** | | |
| E.M. Dirksen U.S. Courthouse<br>219 South Dearborn Street, Room 1046<br>Chicago, IL 60604 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director | Arthur J. Schmitt Foundation |
| 2. | Adjunct Professor | Northwestern Law School |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2024 | Teaching at Northwestern University Law School | $9,166.00 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2024 | Bailey Glasser LLP -- income as partner in law firm |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | Northwestern Pritzker School of Law | 3/6/2024 to 3/7/2024 | Chicago, IL | Attend and present at complex litigation conference | transportation, food, lodging |
| 2. | Duke University Law School | 4/4/2024 to 4/5/2024 | Durham, NC | Attend Bolch Law Prize ceremony | transportation, food, lodging |
| 3. | Perfect Law UK | 5/22/2024 to 5/24/2024 | London, UK | Attend and present at class action conference | transporation, food, lodging |
| 4. | Texas Tech Law School | 6/2/2024 to 6/4/2024 | Aspen, CO | Attend and present at MDL conference | transportation, food, lodging |

| | | | | | |
|---|---|---|---|---|---|
| 5. | Federal Bar Association of WDNC | 6/7/2024 | Asheville, NC | Presentation at annual meeting | transportation, food |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | Chase Bank | Credit Card | K |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Dow, Jr., Robert M.** | 05/14/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | First Community Bank accounts | A | Interest | J | T | | | | | |
| 2. | Harris Bank accounts | A | Interest | J | T | | | | | |
| 3. | TD Ameritrade (HSA Bank) | | None | J | T | | | | | |
| 4. | Sauk Valley Community Bank Common Stock | A | Dividend | | | Sold | 03/22/24 | J | C | |
| 5. | Sequella, Inc. | | None | J | T | | | | | |
| 6. | Jackson National Life Annuity (H) | | | | | | | | | |
| 7. | -- JNL/American Growth | E | Dividend | M | T | Sold (part) | 03/25/24 | L | | |
| 8. | -- JNL/Double Line CAPE | A | Dividend | M | T | Sold (part) | 03/25/24 | K | | |
| 9. | -- JNL MultiMgrSmCapGr | A | Dividend | L | T | Sold (part) | 03/25/24 | K | | |
| 10. | -- JNL/American GIGrowth | A | Dividend | L | T | Sold (part) | 03/25/24 | K | | |
| 11. | -- JNL/MC Hthcare Sector | A | Dividend | K | T | Sold (part) | 03/25/24 | J | | |
| 12. | -- JNL/T. Rowe Price MidCapGr | B | Dividend | K | T | Sold (part) | 03/25/24 | J | | |
| 13. | -- JNL/MC Info Tech Sector | D | Dividend | L | T | Sold (part) | 03/25/24 | K | | |
| 14. | -- JNL/MC Sm Cap Index | A | Dividend | K | T | Sold (part) | 03/25/24 | J | | |
| 15. | -- JNL/American Global SmCap | A | Dividend | K | T | Sold (part) | 03/25/24 | J | | |
| 16. | -- JNL/WestchesterCapEventDr | A | Dividend | K | T | Sold (part) | 03/25/24 | K | | |
| 17. | Transamerica Life Annuity (H) | | | | | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Dow, Jr., Robert M.** | 05/14/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. -- TA Morgan Stanley Capital Growth (Y) | | | | | | | | | |
| 19. -- TA WMC US Growth | E | Dividend | M | T | | | | | |
| 20. -- TA T. Rowe Price Small Cap | D | Dividend | M | T | | | | | |
| 21. -- TA Janus Mid-Cap Growth | D | Dividend | L | T | | | | | |
| 22. -- American Funds Growth Fund | E | Dividend | M | T | | | | | |
| 23. -- American Funds Growth -- Income Fund | D | Dividend | L | T | | | | | |
| 24. [redacted] Trust #1 (H) (Y) | | | | | | | | | |
| 25. -- [redacted] Trust Cash Assets Commerce Trust Co. (Y) | | | | | | | | | |
| 26. -- Marital Trust Cash Assets Commerce Trust Co. (Y) | | | | | | | | | |
| 27. Interim Trust (H) (Y) | | | | | | | | | |
| 28. -- Other Cash Assets Commerce Trust Co. (Y) | | | | | | | | | |
| 29. -- Real Estate Vineyard Haven, MA (Y) | | | | | | | | | |
| 30. Bailey & Glasser 401(K) Profit Sharing Plan (H) (X) | | | | | | | | | |
| 31. -- American Funds 2045 Trgt Date Retire R6 (X) | A | Dividend | J | T | | | | | |
| 32. -- Vanguard Int Growth Adm (X) | A | Dividend | J | T | | | | | |
| 33. -- Vanguard 500 Index Admiral (X) | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV (continued):

Source Dates Location Purpose Items Paid or Provided

6. Federal Bar Association of EDLA 8/21/2024 to 8/23/2024 New Orleans, LA Speak at investiture transportation, food, and lodging

7. United States Military Academy 10/30/2024 to 10/31/2024 West Point, NY Attend lecture and dinner transportation, food, and lodging

Part VI (explanation):

Per the instructions in the letter from the Committee, I have omitted the mortgage on the Chicago condomium listed on my 2023 FDR as it is a [redacted], not a rental propery.

Part VII (explanation):

1. Lines 6, 17, 24, 27, and 30 are headers.

2. Line 3 is for a defunct HSA account that has a zero balance, but my original contact at TD Ameritrade no longer works there and I have not managed to locate anybody to close the account.

3. Lines 6 through 16 and 17 through 22 are for two variable rate annunities. Per the guidance on page 74 of the 2024 Filing Instructions, I have reported in Column B the amount credited to each asset in the contract. I have listed those amounts as dividends although my quarterly reports do not specify the basis for the gains in the value of each asset.

4. Lines 30 through 33 relate to a 401K plan that my [redacted] opted into when she rejoined [redacted] former law firm at the end of 2023.

5. As noted in my 2023 FDR, I previously reported a position as Co-Trustee of a [redacted] trust, but my duties in regard to all of the assets previously reported terminated in 2023 with the distribution of the last of those assets to other [redacted] members. Per the instructions on page 58 of the 2024 Filing Instructions, I have indicated a (Y) as to each of the former trust assets and will not report them on my 2025 FDR.

| Name of Person Reporting | Date of Report |
|---|---|
| **Dow, Jr., Robert M.** | 05/14/2025 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Robert M. Dow, Jr.**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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