AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dugan, David W.	US District Court for the Southern District of Illinois	06/28/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Illinois Southern District Court - East St. Louis, IL Melvin Price Federal Building and United States Courthouse 750 Missouri Ave East St. Louis, IL 62201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Power of Attorney	disabled relative
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	07/31/2024	KATZMAN, LAMPERT & STOLL, PLLC - WORK COMPLETED IN 2017	$205,812.75
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Dugan, David W.	06/28/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Checking Account - Busey Bank - CASH EQUIVALENT ACCOUNT	A	Int./Div.	J	T					
2.	Savings Account - Busey Bank - CASH EQUIVALENT ACCOUNT	A	Int./Div.	L	T	Buy (add'l)	11/18/24	J		
3.	SAVINGS ACCOUNT - Busey Bank	C	Int./Div.	L	T	Buy	12/10/24	L		
4.	Certificate of Deposit - Busey Bank	C	Int./Div.	L	T	Open	12/10/24	L		
5.	Certificate of Deposit - FCB Bank	D	Int./Div.	M	T	Open	12/10/24	M		
6.	Certificate of Deposit - 1st MidAmerica Credit Union	D	Int./Div.	M	T	Open	12/10/24	M		
7.	Savings Account ASSOCIATED BANK - CASH EQUIVALENT ACCOUNT	A	Int./Div.			Closed	11/18/24	J	A	
8.	Checking Account ASSOCIATED BANK - CASH EQUIVALENT ACCOUNT	A	Int./Div.			Closed	11/18/24	J	A	
9.	Savings Account ASSOCIATED BANK - CASH EQUIVALENT ACCOUNT	A	Int./Div.			Closed	11/18/24	J	A	
10.	LIBERTY BANK IRA - CASH EQUIVALENT ACCOUNT	A	Int./Div.			Merged (with line 76)	01/24/24	J	A	
11.	LIBERTY BANK IRA - CASH EQUIVALENT ACCOUNT	A	Int./Div.			Merged (with line 76)	01/24/24	J	A	
12.	METLIFE WHOLE LIFE POLICY	A	Int./Div.	M	T					
13.	Thrift Savings Plan	F	Int./Div.	P1	T					
14.										
15.	Investment Account CNB BANK (H)									
16.	GEORGIA BANKING COMPANY CD	B	Int./Div.			Buy	04/04/24	K		
17.	GEORGIA BANKING COMPANY CD	B	Int./Div.			Matured	10/17/24	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Dugan, David W.	06/28/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. BAIRD AGGREGATE BOND FUND CLASS INSTL	A	Int./Div.			Sold	04/03/24	J	A	
19. BAIRD AGGREGATE BOND FUND CLASS INSTL	C	Int./Div.			Sold	04/03/24	M	A	
20. BAIRD AGGREGATE BOND FUND CLASS INSTL	A	Int./Div.			Sold	04/03/24	J	A	
21. Federated Hermes Institutional High Yield Bond Fund R6	A	Int./Div.			Sold	04/03/24	J	A	
22. Federated Hermes Institutional High Yield Bond Fund R6	B	Int./Div.			Sold	04/03/24	J	A	
23. LAZARD GLOBAL LISTED INFR INSTL	A	Int./Div.			Sold	04/03/24	J	A	
24. PRINCIPAL FDS INC REAL EST SEC	A	Int./Div.			Sold	04/03/24	J	A	
25. MFS RESEARCH INTERNATIONAL R6	A	Int./Div.			Sold	04/03/24	K	B	
26. JPMORGAN EMERGING MARKETS EQUITY I	A	Int./Div.			Sold	04/03/24	J	A	
27. JPMORGAN EMERGING MARKETS EQUITY I	A	Int./Div.			Sold	04/03/24	J	A	
28. FULLER & THALER BEHAVIORAL SMALL CAP R6	A	Int./Div.			Sold	04/03/24	J	D	
29. FIDELITY ADVISOR INTL SMALL CAP Z	A	Int./Div.			Sold	04/03/24	J	B	
30. FIDELITY ADVISOR INTL SMALL CAP Z	A	Int./Div.			Sold	04/03/24	J	A	
31. Vanguard Large Cap IND Adm	B	Int./Div.			Sold	04/03/24	L	E	
32. Vanguard Large Cap IND Adm	B	Int./Div.			Sold	04/03/24	J	B	
33. Vanguard Mid-Cap Index Fund Admiral Shares	A	Int./Div.			Sold	04/03/24	K	C	
34. Vanguard Mid-Cap Index Fund Admiral Shares	A	Int./Div.			Sold	04/03/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35.	Vanguard Developed Mkts IND Adm	A	Int./Div.			Sold	04/03/24	K	B	
36.	VANGUARD INFLATION PROTECTED SECS ADM	B	Int./Div.			Sold	04/03/24	K	A	
37.	VANGUARD INFLATION PROTECTED SECS ADM	A	Int./Div.			Sold	04/03/24	J	A	
38.	BANK OF CHINA CD	C	Int./Div.	L	T	Buy	04/10/24	K		
39.	BEAL BANK CD	C	Int./Div.	L	T	Buy	04/10/24	K		
40.	BANK OF AMERICA CD	C	Int./Div.	K	T	Buy	04/11/24	K		
41.	BANK OF AMERICA CD	C	Int./Div.	L	T	Buy	04/11/24	K		
42.	SPOKANE TEACHERS CREDIT UNION CD	C	Int./Div.	L	T	Buy	04/15/24	L		
43.	AMERICAN COMMERCIAL BANK & TRUST CD	C	Int./Div.	L	T	Buy	04/19/24	K		
44.	ENTERPRISE BANK & TRUST CD	C	Int./Div.	L	T	Buy	04/23/24	K		
45.	INCREDIBLEBANK CD	A	Int./Div.			Buy	01/05/24	J		
46.	INCREDIBLEBANK CD	A	Int./Div.			Matured	06/05/24	J	A	
47.	CNB BANK Money Market - CASH EQUIVALENT	A	Int./Div.	J	T	Buy (add'l)	04/04/24	L		
48.	CNB BANK Money Market - CASH EQUIVALENT	A	Int./Div.			Sold (part)	12/18/24	L	A	
49.										
50.	Investment Account CNB BANK - SEP 2 (H)									
51.	ENTERPRISE BANK & TRUST CD	B	Int./Div.	K	T	Buy	04/23/24	K		

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52. BAIRD AGGREGATE BOND FUND CLASS INSTL - SEP 2	A	Int./Div.			Buy (add'l)	02/08/24	J		
53. BAIRD AGGREGATE BOND FUND CLASS INSTL - SEP 2	A	Int./Div.			Sold	04/04/24	K	A	
54. Federated Hermes Institutional High Yield Bond Fund R6 - SEP 2	A	Int./Div.			Buy (add'l)	02/08/24	J		
55. Federated Hermes Institutional High Yield Bond Fund R6 - SEP 2	A	Int./Div.			Sold	04/04/24	J	A	
56. VANGUARD RUSSELL 1000 INDEX INSTL - SEP 2	A	Int./Div.			Buy (add'l)	02/08/24	J		
57. VANGUARD RUSSELL 1000 INDEX INSTL - SEP 2	A	Int./Div.			Sold	04/04/24	J	A	
58. FULLER & THALER BEHAVIORAL SMALL CAP R6	A	Int./Div.			Buy (add'l)	02/08/24	J		
59. FULLER & THALER BEHAVIORAL SMALL CAP R6	A	Int./Div.			Sold	04/04/24	J	A	
60. FIDELITY MID CAP INDEX	A	Int./Div.			Buy (add'l)	02/08/24	J		
61. FIDELITY MID CAP INDEX	A	Int./Div.			Sold	04/04/24	J	A	
62. FIDELITY ADVISOR INTL SMALL CAP Z	A	Int./Div.			Buy (add'l)	02/08/24	J		
63. FIDELITY ADVISOR INTL SMALL CAP Z	A	Int./Div.			Sold	04/04/24	J	A	
64. FIDELITY INTERNATIONAL INDEX	A	Int./Div.			Buy (add'l)	02/08/24	J		
65. FIDELITY INTERNATIONAL INDEX	A	Int./Div.			Sold	04/04/24	J	A	
66. MFS RESEARCH INTERNATIONAL R6	A	Int./Div.			Buy (add'l)	02/08/24	J		
67. MFS RESEARCH INTERNATIONAL R6	A	Int./Div.			Sold	04/04/24	J	A	
68. JPMORGAN EMERGING MARKETS EQUITY I	A	Int./Div.			Buy (add'l)	02/08/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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69.	JPMORGAN EMERGING MARKETS EQUITY I	A	Int./Div.			Sold	04/04/24	J	A	
70.	PRINCIPAL REAL ESTATE SECURITIES INSTL	A	Int./Div.			Buy (add'l)	02/08/24	J		
71.	PRINCIPAL REAL ESTATE SECURITIES INSTL	A	Int./Div.			Sold	04/04/24	J	A	
72.	LAZARD GLOBAL LISTED INFR INSTL	A	Int./Div.			Buy (add'l)	02/08/24	J		
73.	LAZARD GLOBAL LISTED INFR INSTL	A	Int./Div.			Sold	04/04/24	J	A	
74.	VANGUARD INFLATION PROTECTED SEC ADM	A	Int./Div.			Buy (add'l)	02/08/24	J		
75.	VANGUARD INFLATION PROTECTED SEC ADM	A	Int./Div.			Sold	04/04/24	J	A	
76.	CNB BANK Money Market - CASH EQUIVALENT - SEP 2	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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Dugan, David W.	06/28/2025

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Dugan, David W.	06/28/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David W. Dugan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544