AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Eagles, Catherine C.	Middle District of North Carolina	8/5/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
324 W. Market Street Greensboro, NC 27401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Eagles, Catherine C.	8/5/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	Consolidated Judicial Retirement System of NC - Pension	$74,936.22
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2021	William A. Eagles, PLLC - Earnings from law practice (Attorney/self-employed)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Eagles, Catherine C.	8/5/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
1. Brokerage Account #1 (H)									
2. Dominion Energy Inc. - common stock	C	Dividend	L	T					
3. Duke Energy Corp - common stock	C	Dividend	M	T					
4. Southern Bancshares NC Inc. - common stock	B	Dividend	O	T					
5. Enbridge, Inc.- common stock	C	Dividend	L	T					
6. Southern Company - common stock	A	Dividend	J	T					
7. Invesco Charter Fund Cl A - Mutual Fund	B	Dividend	K	T					
8. American Funds The Growth Fund of America Cl A - Mutual Fund (AGTHX)	E	Dividend	N	T					
9. American Funds The Investment Co. of America Cl A- Mutual Fund (AIVSX)	F	Dividend	P1	T	Buy (add'l)	01/11/21	J		
10.					Buy (add'l)	02/10/21	J		
11.					Buy (add'l)	03/10/21	J		
12.					Buy (add'l)	04/12/21	J		
13.					Buy (add'l)	05/10/21	J		
14.					Buy (add'l)	06/10/21	J		
15.					Buy (add'l)	07/12/21	J		
16.					Buy (add'l)	08/10/21	J		
17.					Buy (add'l)	09/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Eagles, Catherine C.	8/5/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18.					Buy (add'l)	10/11/21	J		
19.					Buy (add'l)	11/10/21	J		
20. Blackrock ETF Tr US Carbon Transition Readiness (LCTU)	A	Dividend	L	T	Buy	09/24/21	L		
21. American Funds Wash Mutual Investors Fd Inc. Cl A-Mutual Fund (AWSHX)	D	Dividend	M	T					
22. American Funds Income Fund of America Inc A-Mutual Fund (AMECX)	D	Dividend	M	T					
23. Limited Term Tax Expt Bond Fd of Amer (LTEBX)-Mutual Fund	B	Dividend	M	T					
24. Woodbury Financial - Insured Deposit Program	A	Interest	K	T					
25. IRA #1 (H)									
26. Amer. Balanced Fd Cl A - Mutual Fund	D	Dividend	M	T					
27. American Funds Investment Co. of America Cl A - Mutual Fund	E	Dividend	N	T	Sold (part)	11/12/21	K		
28. American Funds Income Fund of America Inc A - Mutual Fund	D	Dividend	M	T					
29. IRA #2 (H)									
30. Amer. Balanced Fd Cl A - Mutual Fund	D	Dividend	M	T	Sold (part)	11/12/21	J		
31. IRA #3 (H)									
32. American Funds Growth Fund Am, Cl. A-Mutual Fund	B	Dividend	K	T					
33. IRA #4 (H)									
34. American Funds Inv. Co. Am Cl A (AIVSX)-Mutual Fund	E	Dividend	N	T					

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. American Funds Amer Balaced Fd CL A - Mutual Fund	D	Dividend	N	T	Sold (part)	12/09/21	J		
36. Capital Income Bldr CL A-Mutual Fund	E	Dividend	O	T					
37. American Funds New Perspective Fd CL A-Mutual Fund	D	Dividend	L	T					
38. IRA #5 (H)									
39. American Funds Growth Fund Am CL A (AGTHX) - Mutual Fund	B	Dividend	K	T					
40. Other Assets (H)									
41. Lincoln National Life Insurance Co Policies Surrender Value-WHOLE LIFE	C	Interest	L	T					
42. Wells Fargo - Bank Account	A	Interest	K	T					
43. Bank of America-Bank Account		None	K	T					
44. Bank of America-Bank Account		None	K	T					
45. Bank of America-Bank Account		None	J	T					
46. State Employees' Credit Union-Shares Account	A	Interest	J	T					
47. State Employees' Credit Union-Dividend Checking	A	Interest	J	T					
48.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Eagles, Catherine C.	8/5/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Catherine C. Eagles

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544