AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Engelhardt, Kurt D.	United States Fifth Circuit Court of Appeals	05/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
John Minor Wisdom United States Court of Appeals Building 600 Camp Street, Room 230 New Orleans, Louisiana 70130		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member - Board of Directors (Uncompensated)	Cancer Association of Greater New Orleans (CAGNO)
2.	Member - Dean's Advisory Planning Board (Uncompensated)	Louisiana State University - College of Humanities & Social Sciences
3.	Trustee (Uncompensated)	Special Needs Trust
4.	Member - Board of Directors (Uncompensated)	The Semreh Club (Mardi Gras)
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Parish of Jefferson-24th Judicial District Court - Administrative Assistant
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The Heritage Foundation	3/23/2022-3/25/2022	Washington, DC	Speaker at Federal Judicial Clerkship Training Academy	Transportation, Lodging, Food
2.	The Federalist Society	4/11/2022-4/12/2022	Chicago, IL	Speaker at University of Chicago Federalist Society Function	Transportation, Lodging, Food
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Engelhardt, Kurt D.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	New York Life - LifeStages Variable Annuity (H)									
2.	- MainStay VP S&P 500 Index Initial CL	A	Dividend	J	T					
3.	- MainStay VP Wellington Growth Initial CL.	B	Dividend	J	T					
4.	- MainStay VP Income Builder - Initial CL.	A	Dividend	J	T					
5.	- MainStay VP Wellington U.S. Equity - Initial CL.	A	Dividend	J	T					
6.	- MainStay VP MacKay High Yield Corporate Bond Initial CL.	A	Dividend	K	T					
7.	- Fidelity VIP Equity - Income Initial CL.	A	Dividend	J	T					
8.	- Fidelity VIP Contrafund Initial CL.	A	Dividend	J	T					
9.	- Janus Henderson Global Research Portfolio - Institut. Shares	A	Dividend	J	T					
10.	- MainStay VP MacKay Convertible - Initial CL.	A	Dividend	K	T					
11.	- MainStay VP Winslow Large Cap Growth - Initial CL.	B	Dividend	J	T					
12.	- MainStay VP Wellington Mid Cap - Initial CL.	A	Dividend	J	T					
13.	- MainStay VP Wellington Small Cap - Initial CL.	A	Dividend	K	T					
14.	- MainStay VP Epoch U.S. Equity Yield - Initial CL.	A	Dividend	K	T					
15.	- MainStay VP Janus Henderson Balanced - Initial CL.	A	Dividend	J	T					
16.	New York Life Annuity - Fixed	B	Interest	K	T					
17.	New York Life Whole Life Policy #1	B	Dividend	L	T	Redeemed (part)	05/04/22	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Engelhardt, Kurt D.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	New York Life Whole Life Policy #2	A	Dividend	K	T					
19.	New York Life Secure Term Choice Fixed Annuity	A	Interest			Closed	05/31/22	K		See Explanation Part VIII
20.	New York Life Secure Term Choice Fixed Annuity	A	Interest	K	T	Open	05/31/22	K		See Explanation Part VIII
21.	AT&T (stock)	A	Dividend	J	T					
22.	Jefferson Parish Retirement Plan	A	Dividend	K	W					See Explanation-Part VIII
23.	Special Needs Trust (H)									
24.	-First Horizon (formerly Iberia Bank) (Fixed)	A	Interest	N	T					See Explanation-Part VIII

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Engelhardt, Kurt D.	05/12/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 19 - New York Life Secure Term Choice Fixed Annuity - This account was closed on 5/31/2022 as noted on Line 19 in Part VII - Investments and Trusts. The funds contained within this annuity were transferred into a new annuity entitled the same as the closed annuity - New York Life Secure Term Choice Fixed Annuity.

Line 20 - New York Life Secure Term Choice Fixed Annuity - This account was opened on 5/31/2022 as noted on Line 20 in Part VII - Investments and Trusts with the funds contained in the now-closed New York Life Secure Term Choice Fixed Annuity noted on Line 19.

Line 22 - Jefferson Parish Retirement Plan - The Jefferson Parish Retirement Plan is a plan maintained, controlled and owned by Jefferson Parish. It is a 401A - defined benefit government Pension Plan. There are no provisions for making loans from this account regardless of need.

Line 24 - First Horizon merged with Iberia Bank and now goes by the name of First Horizon in lieu of Iberia Bank.

Name of Person Reporting	Date of Report
Engelhardt, Kurt D.	05/12/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kurt D. Engelhardt

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544