AO 10
Rev. 1/2021

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Engelmayer, Paul A. | U.S. District Court, Southern District of New York | 05/12/2022 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2021 **to** 12/31/2021 |
| **7. Chambers or Office Address** | | |
| Thurgood Marshall United States Courthouse<br>40 Centre Street<br>New York, NY<br>10007 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions )*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Trustee | Trust #1 |
| 2. | Trustee | Trust #2 |
| 3. | Trustee | Trust #3 |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions )*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | Judicial Conference of the United States | 12/05/2021 -12/08/2021 | Miami, FL | Attendee at JCUS Bankruptcy Committee Meeting | Transportation and Meals |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. | Brokerage # 1 (H) | | | | | | | | | |
| 2. | First Eagle Global Inst CL I | E | Int./Div. | N | T | | | | | |
| 3. | FPA Crescent | E | Int./Div. | N | T | | | | | |
| 4. | Salient MLP & Energy Infrastructure I | E | Int./Div. | N | T | Buy | 01/07/21 | N | | |
| 5. | | | | | | Buy (add'l) | 01/08/21 | K | | |
| 6. | Syntax Stratified Largecap ETF | D | Int./Div. | P1 | T | | | | | |
| 7. | Tortoise Mlp & Pipeline Fund Institutional Class | | None | | | Sold | 01/07/21 | N | B | |
| 8. | Brokerage #10 (H) | | | | | | | | | |
| 9. | Aberdeen Emerging Markets Instl | A | Int./Div. | J | T | | | | | |
| 10. | First Eagle Global Inst CL I | A | Int./Div. | J | T | | | | | |
| 11. | Vanguard Emerging Market | A | Int./Div. | K | T | | | | | |
| 12. | WCM Focused International Growth Instl | B | Int./Div. | K | T | | | | | |
| 13. | WisdomTree U.S. MidCap Dividend | A | Int./Div. | J | T | | | | | |
| 14. | WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |
| 15. | Brokerage #11 (H) | | | | | | | | | |
| 16. | Aberdeen Emerging Markets Instl | A | Int./Div. | J | T | | | | | |
| 17. | Berkshire Hathaway Inc Del B | | None | M | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 18. First Eagle Global Inst CL I | B | Int./Div. | K | T | | | | | |
| 19. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |
| 20. Brokerage #12 (H) | | | | | | | | | |
| 21. Dyal IV US Investors LP | E | Distribution | N | T | | | | | |
| 22. Brokerage #13 (H) | | | | | | | | | |
| 23. Brookhaven NY Go Ser Bds Ser 2017 4% 04/15/2026 (113152XN7) | C | Int./Div. | M | T | | | | | |
| 24. Delware St (246381DN4) | C | Int./Div. | M | T | Sold (part) | 11/22/21 | K | A | |
| 25. Fl St B/E Pub Ed (34153PG44) | C | Int./Div. | | | Sold | 06/01/21 | M | A | |
| 26. Grpvn-Cleyvle Isd T 5% Go Utx Due 08/15/22 Xtro (3886405M2) | | None | M | T | Buy | 12/09/21 | M | | |
| 27. Kansas City Mo (484873PV0) | D | Int./Div. | M | T | | | | | |
| 28. New York City Transitional (64971QXQ1) | C | Int./Div. | M | T | | | | | |
| 29. New York City Transitional Fin 5% 08/01/2029 (64971WR36) | C | Int./Div. | L | T | | | | | |
| 30. New York N Y 5% Go Utx Due 08/01/32 (64966QPU8) | D | Int./Div. | L | T | | | | | |
| 31. New York 5% 08/01/24 (64966KEQ2) | C | Int./Div. | M | T | Buy | 06/10/21 | M | | |
| 32. New York NY City Trans Fin Auth Rev Future Tax Sec Subord (64971QHR7) | C | Int./Div. | | | Sold | 09/27/21 | M | A | |
| 33. New York NY Go Utx (64966QHE3) | B | Int./Div. | K | T | | | | | |
| 34. New York St 5% 02/15/2030 (649791DS6) | C | Int./Div. | | | Sold | 02/15/21 | M | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 35. New York St Dorm Auth Revs St (64990HMQ0) | C | Int./Div. | M | T | | | | | |
| 36. New York St Dorm Auth St Pers Income (64990ECG0) | D | Int./Div. | M | T | Buy | 07/27/21 | L | | |
| 37. | C | Int./Div. | L | T | | | | | |
| 38. New York St Dormitory Univ Edu (64990GJJ2) | D | Int./Div. | M | T | | | | | |
| 39. New York St Environ Pool Auth (64986DAR6) | D | Int./Div. | M | T | | | | | |
| 40. New York St Twy Authgen Rev Gen Rev Bds (650009YC1) | C | Int./Div. | L | T | Sold (part) | 10/01/21 | K | A | |
| 41. New York St. Dorm Auth Sales Tax 5% 03/15/2031 (64990AFB6) | B | Int./Div. | K | T | | | | | |
| 42. New York State Urba (650036BU9) | D | Int./Div. | M | T | | | | | |
| 43. Ny St Env Clean Wtr 5% 06/15/23 (64986AY82) | D | Int./Div. | M | T | Buy | 03/29/21 | M | | |
| 44. Nyc Ny Mun Fa W/S 5%23 Comb Util Due 06/15/23 (64972GMZ4) | D | Int./Div. | | | Sold | 12/15/21 | M | A | |
| 45. Orange Cnty Fl Wtr & Wstwtr Rev (684554FH6) | C | Int./Div. | | | Buy | 03/01/21 | M | | |
| 46. | C | Int./Div. | | | Sold | 11/22/21 | M | A | |
| 47. San Antonio Tx Wtr Comb Util (79642B4A8) | D | Int./Div. | M | T | | | | | |
| 48. Sw Allen In Msbc Rev Utx 5% Due 07/15/33 (844760JC3) | D | Int./Div. | | | Sold | 11/22/21 | M | A | |
| 49. Texas St (882724SY4) | C | Int./Div. | | | Sold | 08/26/21 | L | A | |
| 50. Triborough Bridge & Tunnel Auth Payroll 4% Due 05/15/34 | A | Int./Div. | M | T | Buy | 09/22/21 | M | | |
| 51. Va Clg Bldg Auth Coll Educ 5% Due 02/01/23 (927781K86) | D | Int./Div. | | | Sold | 11/22/21 | M | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 52. Washington State Ad Db Utx 5% Due 06/01/33 (93974EKA3) | A | Int./Div. | | | Sold | 03/01/21 | M | A | |
| 53. Brokerage #14 (H) | | | | | | | | | |
| 54. Hayman Hong Kong Opportunities Fund LP - Prodigious Series | | None | J | T | | | | | |
| 55. Brokerage #15 (H) | | | | | | | | | |
| 56. Brevet Direct Lending - Short Duration Fund LP | E | Int./Div. | N | T | | | | | |
| 57. Brokerage #16 (H) | | | | | | | | | |
| 58. Brevet Direct Lending - Short Duration Fund LP | E | Int./Div. | O | T | | | | | |
| 59. Brokerage #18 (H) | | | | | | | | | |
| 60. Maritime Partners American Rivers Fund LLC | E | Int./Div. | O | T | Buy | 11/24/21 | O | | |
| 61. Brokerage #4 (H) | | | | | | | | | |
| 62. Berkshire Hathaway Inc Del A | | None | P2 | T | | | | | |
| 63. Berkshire Hathaway Inc Del B | | None | M | T | Sold (part) | 04/09/21 | J | D | |
| 64. | | | | | Sold (part) | 04/23/21 | J | D | |
| 65. | | | | | Sold (part) | 11/15/21 | J | D | |
| 66. First Eagle Global Inst CL I | E | Int./Div. | O | T | | | | | |
| 67. JPMorgan Strategic Income Opportunities Fund I Class | A | Int./Div. | J | T | | | | | |
| 68. Parnassus Core Equity Institutional | E | Int./Div. | O | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 69. Salient MLP & Energy Infrastructure I | D | Int./Div. | M | T | | | | | |
| 70. Syntax Stratified Largecap ETF | D | Int./Div. | O | T | Buy (add'l) | 04/09/21 | J | | |
| 71. | | | | | Buy (add'l) | 04/23/21 | J | | |
| 72. WCM Focused International Growth Instl | E | Int./Div. | P1 | T | | | | | |
| 73. WisdomTree US LargeCap Dividend ETF | E | Int./Div. | O | T | | | | | |
| 74. Brokerage #7 (H) | | | | | | | | | |
| 75. CapitalSpring Direct Lending Partners, LP | A | Int./Div. | K | T | | | | | |
| 76. Brokerage # 8 (H) | | | | | | | | | |
| 77. Baron Growth | C | Int./Div. | L | T | | | | | |
| 78. Fidelity Contrafund | D | Int./Div. | M | T | | | | | |
| 79. Fidelity Low-Priced Stock Fund | D | Int./Div. | K | T | | | | | |
| 80. Salient MLP & Energy Infrastructure I | D | Int./Div. | M | T | Buy | 01/07/21 | M | | |
| 81. | | | | | Buy (add'l) | 01/08/21 | K | | |
| 82. SPDR S&P 500 ETF | B | Int./Div. | M | T | | | | | |
| 83. SPDR S&P MidCap 400 ETF | A | Int./Div. | K | T | | | | | |
| 84. Tortoise Mlp & Pipeline Fund Institutional Class | | None | | | Sold | 01/07/21 | M | A | |
| 85. Tweedy, Browne International Value Fund | C | Int./Div. | L | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 86. Brokerage #9 (H) | | | | | | | | | |
| 87. Carillon Reams Unconstrained Bond I | A | Int./Div. | K | T | | | | | |
| 88. JPMorgan Strategic Income Opportunities Fund I Class | A | Int./Div. | J | T | | | | | |
| 89. SPDR Blmbg 1-3 Mth T-Bill ETF | | None | J | T | | | | | |
| 90. Vanguard Short Term Federal Fd Admiral Shares | A | Int./Div. | K | T | | | | | |
| 91. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | J | T | | | | | |
| 92. IRA #11 (H) | | | | | | | | | |
| 93. Brevet Direct Lending - Short Duration Fund Ltd | D | Int./Div. | N | T | | | | | |
| 94. IRA #6 (H) | | | | | | | | | |
| 95. Orthogon Partners II LP Cayman, LLC | F | Int./Div. | N | T | | | | | |
| 96. IRA #1 Roth1 (H) | | | | | | | | | |
| 97. Carillon Reams Unconstrained Bond I | A | Int./Div. | L | T | | | | | |
| 98. Delaware Smid Cap Growth Cl I | D | Int./Div. | L | T | | | | | |
| 99. First Eagle Global Inst CL I | B | Int./Div. | K | T | | | | | |
| 100. Salient MLP & Energy Infrastructure I | A | Int./Div. | J | T | | | | | |
| 101. Vanguard Total Stock Market ETF | B | Int./Div. | L | T | | | | | |
| 102. Victory Global Energy Transition Y | A | Int./Div. | L | T | Buy | 10/18/21 | L | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 103. IRA #1 Roth2 (H) | | | | | | | | | |
| 104. Carillon Reams Unconstrained Bond I | A | Int./Div. | K | T | | | | | |
| 105. Salient MLP & Energy Infrastructure I | B | Int./Div. | K | T | | | | | |
| 106. Vanguard Total Stock Market ETF | A | Int./Div. | L | T | Buy (add'l) | 10/18/21 | J | | |
| 107. WCM Focused International Growth Instl | C | Int./Div. | L | T | | | | | |
| 108. IRA #3 (H) | | | | | | | | | |
| 109. Carillon Reams Unconstrained Bond I | A | Int./Div. | K | T | | | | | |
| 110. Delaware Smid Cap Growth Cl I | C | Int./Div. | K | T | | | | | |
| 111. First Eagle Global Inst CL I | B | Int./Div. | K | T | | | | | |
| 112. Salient MLP & Energy Infrastructure I | A | Int./Div. | J | T | | | | | |
| 113. Vanguard Total Stock Market ETF | A | Int./Div. | L | T | | | | | |
| 114. Victory Global Energy Transition Y | A | Int./Div. | J | T | Buy | 10/18/21 | J | | |
| 115. IRA #4 (H) | | | | | | | | | |
| 116. Carillon Reams Unconstrained Bond I | C | Int./Div. | M | T | Sold (part) | 03/31/21 | J | A | |
| 117. | | | | | Sold (part) | 07/13/21 | J | A | |
| 118. | | | | | Sold (part) | 11/19/21 | J | A | |
| 119. Salient MLP & Energy Infrastructure I | C | Int./Div. | L | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 120. IRA #5 (H) | | | | | | | | | |
| 121. Carillon Reams Unconstrained Bond I | B | Int./Div. | L | T | Sold (part) | 03/31/21 | J | A | |
| 122. | | | | | Sold (part) | 04/01/21 | J | A | |
| 123. | | | | | Sold (part) | 07/13/21 | J | A | |
| 124. | | | | | Sold (part) | 11/19/21 | J | A | |
| 125. IRA #6 (H) | | | | | | | | | |
| 126. CapitalSpring Investment Partners V Parallel III, LP | G | Int./Div. | N | T | | | | | |
| 127. IRA #7 (H) | | | | | | | | | |
| 128. Alerian MLP ETF | E | Int./Div. | N | T | | | | | |
| 129. Carillon Reams Unconstrained Bond I | D | Int./Div. | N | T | Sold (part) | 11/19/21 | K | A | |
| 130. First Eagle Global Inst CL I | A | Int./Div. | J | T | | | | | |
| 131. FPA Crescent | E | Int./Div. | M | T | Sold (part) | 01/25/21 | J | A | |
| 132. | | | | | Sold (part) | 03/31/21 | J | A | |
| 133. | | | | | Sold (part) | 07/13/21 | J | A | |
| 134. JPMorgan Strategic Income Opportunities Fund I Class | A | Int./Div. | K | T | | | | | |
| 135. Salient MLP & Energy Infrastructure I | C | Int./Div. | L | T | | | | | |
| 136. Vanguard Total Stock Market ETF | B | Int./Div. | L | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 137. Victory Global Energy Transition Y | A | Int./Div. | J | T | Buy | 10/18/21 | J | | |
| 138. IRA #8 (H) | | | | | | | | | |
| 139. Carillon Reams Unconstrained Bond I | B | Int./Div. | L | T | | | | | |
| 140. First Eagle Global Inst CL I | D | Int./Div. | M | T | | | | | |
| 141. Vanguard Total Stock Market ETF | B | Int./Div. | M | T | Sold (part) | 11/19/21 | J | A | |
| 142. Trust #1 (H) | | | | | | | | | |
| 143. Berkshire Hathaway Inc Del B | | None | M | T | | | | | |
| 144. Coho Relative Value Equity | C | Int./Div. | K | T | | | | | |
| 145. First Eagle Global Inst CL I | B | Int./Div. | K | T | | | | | |
| 146. FPA Crescent | C | Int./Div. | K | T | | | | | |
| 147. iShares MSCI Emerging Market Index Fund | A | Int./Div. | K | T | | | | | |
| 148. iShares Tr S&P 400 BARRA Midcap Growth | A | Int./Div. | J | T | | | | | |
| 149. Plattsburgh NY (GO) (728041XR0) | A | Int./Div. | | | Sold | 06/15/21 | J | A | |
| 150. Salient MLP & Energy Infrastructure I | A | Int./Div. | K | T | Buy | 01/07/21 | J | | |
| 151. | | | | | Buy (add'l) | 01/08/21 | J | | |
| 152. Syntax Stratified Largecap ETF | A | Int./Div. | J | T | Buy (add'l) | 10/28/21 | J | | |
| 153. Tortoise Mlp & Pipeline Fund Institutional Class | | None | | | Sold | 01/07/21 | K | A | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 154. Vanguard S&P 500 ETF | B | Int./Div. | L | T | | | | | |
| 155. Vanguard Small Cap ETF | A | Int./Div. | K | T | | | | | |
| 156. WCM Focused International Growth Instl | B | Int./Div. | L | T | | | | | |
| 157. WisdomTree U.S. MidCap Dividend | A | Int./Div. | J | T | | | | | |
| 158. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |
| 159. Trust #2 (H) | | | | | | | | | |
| 160. Berkshire Hathaway Inc Del B | | None | M | T | | | | | |
| 161. Coho Relative Value Equity | C | Int./Div. | K | T | | | | | |
| 162. First Eagle Global Inst CL I | A | Int./Div. | J | T | | | | | |
| 163. FPA Crescent | A | Int./Div. | J | T | | | | | |
| 164. iShares MSCI Emerging Market Index Fund | A | Int./Div. | K | T | | | | | |
| 165. iShares Tr S&P 400 BARRA Midcap Growth | A | Int./Div. | J | T | | | | | |
| 166. Salient MLP & Energy Infrastructure I | A | Int./Div. | K | T | Buy | 01/07/21 | J | | |
| 167. | | | | | Buy (add'l) | 01/08/21 | J | | |
| 168. Tortoise Mlp & Pipeline Fund Institutional Class | | None | | | Sold | 01/07/21 | K | A | |
| 169. Vanguard S&P 500 ETF | B | Int./Div. | L | T | | | | | |
| 170. Vanguard Small Cap ETF | A | Int./Div. | K | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 171. WCM Focused International Growth Instl | B | Int./Div. | K | T | | | | | |
| 172. WisdomTree U.S. MidCap Dividend | A | Int./Div. | J | T | | | | | |
| 173. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |
| 174. Trust #4 (H) | | | | | | | | | |
| 175. Chase Checking Account | | None | J | T | | | | | |
| 176. Trust #5 (H) | | | | | | | | | |
| 177. Ardsley NY (GO) (039857ER4) | B | Int./Div. | K | T | | | | | |
| 178. Baldwinsville Ny Cs 2% Go Utx Due 10/01/25 (058399NQ5) | A | Int./Div. | L | T | | | | | |
| 179. Berkshire Hathaway Inc Del B | | None | L | T | | | | | |
| 180. Coho Relative Value Equity | C | Int./Div. | K | T | | | | | |
| 181. Endwell NY Fire Dist (GO) (29264REZ9) | B | Int./Div. | L | T | | | | | |
| 182. FPA Crescent | C | Int./Div. | K | T | | | | | |
| 183. iShares MSCI Emerging Market Index Fund | A | Int./Div. | J | T | | | | | |
| 184. iShares Tr S&P 400 BARRA Midcap Growth | A | Int./Div. | J | T | | | | | |
| 185. New York NY Go Utx 3% Due 08/01/23 (64966LSJ1) | A | Int./Div. | K | T | Buy | 01/21/21 | K | | |
| 186. Plattsburgh NY (GO) (728041XR0) | B | Int./Div. | | | Sold | 06/15/21 | K | B | |
| 187. Salient MLP & Energy Infrastructure I | C | Int./Div. | L | T | Buy | 01/07/21 | K | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 188. | | | | | Buy (add'l) | 01/08/21 | J | | |
| 189. | | | | | Buy (add'l) | 01/22/21 | K | | |
| 190. Syntax Stratified Largecap ETF | A | Int./Div. | K | T | Buy (add'l) | 10/28/21 | J | | |
| 191. Tortoise Mlp & Pipeline Fund Institutional Class | | None | | | Sold | 01/07/21 | K | A | |
| 192. Vanguard Total Stock Market ETF | B | Int./Div. | L | T | | | | | |
| 193. Victory Global & Pipeline Fund Institutional Class | A | Int./Div. | K | T | Buy | 12/08/21 | K | | |
| 194. WCM Focused International Growth Instl | C | Int./Div. | L | T | | | | | |
| 195. WisdomTree U.S. MidCap Dividend | A | Int./Div. | J | T | | | | | |
| 196. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |
| 197. Trust #6 (H) | | | | | | | | | |
| 198. Ardsley NY (GO) (039857ER4) | B | Int./Div. | K | T | | | | | |
| 199. Baldwinsville Ny Cs 2% Go Utx Due 10/01/25 (058399NQ5) | A | Int./Div. | L | T | | | | | |
| 200. Berkshire Hathaway Inc Del B | | None | L | T | | | | | |
| 201. Coho Relative Value Equity | C | Int./Div. | K | T | | | | | |
| 202. Endwell NY Fire Dist (GO) (29264REZ9) | B | Int./Div. | L | T | | | | | |
| 203. First Eagle Global Inst CL I | B | Int./Div. | K | T | | | | | |
| 204. FPA Crescent | D | Int./Div. | L | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 205. iShares MSCI Emerging Market Index Fund | A | Int./Div. | J | T | | | | | |
| 206. iShares Tr S&P 400 BARRA Midcap Growth | A | Int./Div. | K | T | | | | | |
| 207. New York NY Go Utx 3% Due 08/01/23 (64966LSJ1) | A | Int./Div. | K | T | Buy | 01/21/21 | K | | |
| 208. Plattsburgh NY (GO) (728041XR0) | B | Int./Div. | | | Sold | 06/15/21 | L | A | |
| 209. Salient MLP & Energy Infrastructure I | C | Int./Div. | L | T | Buy | 01/07/21 | K | | |
| 210. | | | | | Buy (add'l) | 01/08/21 | J | | |
| 211. | | | | | Buy (add'l) | 01/22/21 | K | | |
| 212. | | | | | Buy (add'l) | 05/18/21 | J | | |
| 213. Syntax Stratified Largecap ETF | A | Int./Div. | K | T | Buy (add'l) | 10/28/21 | K | | |
| 214. | | | | | Buy (add'l) | 12/27/21 | J | | |
| 215. Tortoise Mlp & Pipeline Fund Institutional Class | | None | | | Sold | 01/07/21 | K | A | |
| 216. Vanguard Total Stock Market ETF | B | Int./Div. | L | T | | | | | |
| 217. Victory Global Energy Transition Y | A | Int./Div. | K | T | Buy | 10/28/21 | K | | |
| 218. WCM Focused International Growth Instl | C | Int./Div. | M | T | | | | | |
| 219. WisdomTree U.S. MidCap Dividend | A | Int./Div. | J | T | | | | | |
| 220. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |
| 221. Trust #3 (H) | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 222. Fidelity Treasury Money Market Fund | A | Int./Div. | J | T | | | | | |
| 223. Fidelity Total Market Index Fund | A | Int./Div. | K | T | | | | | |
| 224. Fidelity 500 Index Fund | A | Int./Div. | K | T | | | | | |
| 225. Fidelity Contrafund | C | Int./Div. | K | T | Sold (part) | 03/26/21 | J | B | |
| 226. Vanguard Intermediate Tax Exempt Admiral | A | Int./Div. | J | T | | | | | |
| 227. SPDR S&P500 ETF Trust Trust Unit | A | Int./Div. | K | T | | | | | |
| 228. SPDR Portfolio S&P 500 Value ETF | A | Int./Div. | J | T | Buy (add'l) | 03/29/21 | J | | |
| 229. iShares TR Blackrock Ultra | A | Int./Div. | J | T | Buy (add'l) | 01/11/21 | J | | |
| 230. iShares TR 0-3 Mnth Treasry | A | Int./Div. | J | T | | | | | |
| 231. J P Morgan Exchange-Trades FD Ultra Shrt Inc | A | Int./Div. | J | T | Buy (add'l) | 01/11/21 | J | | |
| 232. [redacted] #3 (H) | | | | | | | | | |
| 233. Aberdeen Emerging Markets Instl | A | Int./Div. | J | T | | | | | |
| 234. First Eagle Global Inst CL I | A | Int./Div. | J | T | | | | | |
| 235. Vanguard Emerging Market | A | Int./Div. | K | T | | | | | |
| 236. WCM Focused International Growth Instl | B | Int./Div. | K | T | | | | | |
| 237. WisdomTree U.S. MidCap Dividend | A | Int./Div. | J | T | | | | | |
| 238. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 239. [redacted] #4 (H) | | | | | | | | | |
| 240. Aberdeen Emerging Markets Instl | A | Int./Div. | J | T | | | | | |
| 241. Berkshire Hathaway Inc Del B | | None | M | T | | | | | |
| 242. First Eagle Global Inst CL I | B | Int./Div. | K | T | | | | | |
| 243. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | K | T | | | | | |
| 244. Brokerage #17 (H) | | | | | | | | | |
| 245. Carillon Reams Unconstrained Bond I | A | Int./Div. | J | T | Buy (add'l) | 01/21/21 | J | | |
| 246. First Trust Dow Jones Internet Index | | None | J | T | | | | | |
| 247. JPMorgan Strategic Income Opportunities Fund I Class | A | Int./Div. | J | T | | | | | |
| 248. Schwab Government Money Fund | | None | J | T | | | | | |
| 249. SPDR Blmbg 1-3 Mth T-Bill ETF | | None | J | T | | | | | |
| 250. Vanguard Short Term Federal Fd Admiral Shares | A | Int./Div. | J | T | | | | | |
| 251. WisdomTree US LargeCap Dividend ETF | A | Int./Div. | J | T | | | | | |
| 252. Citibank Checking Account (H) | | None | K | T | | | | | |
| 253. Chase Checking Account (H) | | None | J | T | | | | | |
| 254. Teachers Retirement Sysetm of NY 403(b) (H) | | | | | | | | | |
| 255. Diversified Equity | | None | N | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 256. Stable Equity | | None | M | T | | | | | |
| 257. International Equity | | None | L | T | | | | | |
| 258. 529 #1 (H) | | | | | | | | | |
| 259. Growth Stock Index Portfolio | | None | K | T | Buy (add'l) | 12/06/21 | J | | |
| 260. | | | | | Sold (part) | 12/06/21 | K | | |
| 261. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 262. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 263. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 264. Inflation-Protected Securities Portfolio | | None | L | T | Buy (add'l) | 12/06/21 | K | | |
| 265. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 266. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 267. | | | | | Sold (part) | 12/06/21 | K | | |
| 268. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 269. Interest Accumulation Portfolio | | None | K | T | Buy (add'l) | 12/06/21 | J | | |
| 270. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 271. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 272. | | | | | Sold (part) | 12/06/21 | L | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 273. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 274. Value Stock Index Portfolio | | None | L | T | Buy (add'l) | 12/06/21 | K | | |
| 275. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 276. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 277. | | | | | Sold (part) | 12/06/21 | L | | |
| 278. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 279. 529 #2 (H) | | | | | | | | | |
| 280. Aggressive Growth Portfolio | | None | L | T | Buy (add'l) | 12/06/21 | J | | |
| 281. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 282. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 283. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 284. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 285. | | | | | Sold (part) | 12/06/21 | L | | |
| 286. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 287. Developed Markets Index Portfolio | A | Int./Div. | | | Sold | 12/06/21 | K | A | |
| 288. Growth Portfolio | A | Int./Div. | | | Sold | 12/06/21 | J | A | |
| 289. Growth Stock Index Portfolio | | None | K | T | Buy (add'l) | 12/06/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 290. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 291. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 292. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 293. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 294. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 295. Inflation-Protected Securities Portfolio | | None | K | T | Buy (add'l) | 12/06/21 | J | | |
| 296. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 297. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 298. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 299. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 300. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 301. Interest Accumulation Portfolio | | None | K | T | Buy (add'l) | 12/06/21 | J | | |
| 302. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 303. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 304. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 305. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 306. | | | | | Buy (add'l) | 12/06/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 307. | | | | | Sold (part) | 12/06/21 | K | A | |
| 308. Mid-Cap Stock Index Portfolio | A | Int./Div. | | | Sold | 12/06/21 | K | A | |
| 309. Value Stock Index Portfolio | | None | L | T | Buy (add'l) | 12/06/21 | J | | |
| 310. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 311. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 312. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 313. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 314. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 315. | | | | | Sold (part) | 12/06/21 | J | A | |
| 316. 529 #3 (H) | | | | | | | | | |
| 317. Aggressive Growth Portfolio | | None | J | T | Sold (part) | 12/06/21 | K | A | |
| 318. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 319. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 320. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 321. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 322. Developed Markets Index Portfolio | A | Int./Div. | | | Sold | 12/06/21 | J | A | |
| 323. Growth Stock Index Portfolio | | None | J | T | Buy (add'l) | 12/06/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 324. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 325. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 326. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 327. Inflation-Protected Securities Portfolio | | None | J | T | Buy (add'l) | 12/06/21 | J | | |
| 328. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 329. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 330. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 331. Interest Accumulation Portfolio | | None | J | T | Sold (part) | 01/25/21 | J | A | |
| 332. | | | | | Sold (part) | 07/12/21 | J | A | |
| 333. | | | | | Sold (part) | 09/07/21 | J | A | |
| 334. | | | | | Sold (part) | 12/06/21 | K | A | |
| 335. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 336. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 337. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 338. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 339. Mid-Cap Stock Index Portfolio | A | Int./Div. | | | Sold | 12/06/21 | J | A | |
| 340. Value Stock Index Portfolio | | None | K | T | Buy (add'l) | 12/06/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 341. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 342. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 343. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 344. 529 #4 (H) | | | | | | | | | |
| 345. Growth Stock Index Portfolio | | None | M | T | Sold (part) | 07/12/21 | J | A | |
| 346. | | | | | Sold (part) | 12/06/21 | M | A | |
| 347. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 348. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 349. | | | | | Buy (add'l) | 12/06/21 | L | | |
| 350. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 351. | | | | | Sold (part) | 12/13/21 | J | A | |
| 352. Inflation-Protected Securities Portfolio | | None | L | T | Sold (part) | 07/12/21 | J | A | |
| 353. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 354. | | | | | Sold (part) | 12/06/21 | K | A | |
| 355. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 356. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 357. | | | | | Buy (add'l) | 12/06/21 | K | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 358. | | | | | Sold (part) | 12/13/21 | J | A | |
| 359. Interest Accumulation Portfolio | | None | K | T | Sold (part) | 07/12/21 | J | A | |
| 360. | | | | | Sold (part) | 07/12/21 | J | A | |
| 361. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 362. | | | | | Sold (part) | 12/06/21 | K | A | |
| 363. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 364. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 365. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 366. | | | | | Sold (part) | 12/13/21 | J | A | |
| 367. | | | | | Sold (part) | 12/13/21 | J | A | |
| 368. Value Stock Index Portfolio | | None | M | T | Sold (part) | 07/12/21 | J | A | |
| 369. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 370. | | | | | Buy (add'l) | 12/06/21 | J | | |
| 371. | | | | | Sold (part) | 12/06/21 | M | A | |
| 372. | | | | | Buy (add'l) | 12/06/21 | L | | |
| 373. | | | | | Buy (add'l) | 12/06/21 | K | | |
| 374. | | | | | Sold (part) | 12/13/21 | J | A | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Engelmayer, Paul A.** | 05/12/2022 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Paul A. Engelmayer**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544