AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ericksen, Joan N.	U.S. District Court - District of Minnesota	05/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States Courthouse 300 South Fourth Street Minneapolis, MN 55415		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust #1 (reportable assets are listed in Part VII)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2002	Minnesota State Judge Defined Benefit Retirement Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Minnesota State Retirement System (pension)	$13,114.32
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ericksen, Joan N.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Fidelity Advisor 529 Aggressive Growth Cl C (FFCGX)		None	K	T					
3.	Account #1 (H)									
4.	Schwab Bank Sweep Acct (cash)	A	Interest	J	T					
5.	Accenture PLC Class A (ACN)	A	Dividend	K	T					
6.	Alphabet Inc Class A (GOOGL)		None	K	T	Sold (part)	05/15/23	J		
7.						Sold (part)	08/04/23	J		
8.						Sold (part)	09/14/23	J		
9.	Amphenol Corp (APH)	A	Dividend	K	T					
10.	Check PT Software (CHKP)		None	K	T					
11.	Church & Dwight Co (CHD)	A	Dividend	K	T					
12.	Cognizant Tech Solu Class A (CTSH)		None			Sold	01/12/23	K		
13.	CVS Health Corp (CVS)	A	Dividend	K	T	Buy (add'l)	02/27/23	J		
14.						Buy (add'l)	05/09/23	J		
15.	Danaher Corp (DHR)	A	Dividend	K	T	Buy (add'l)	05/16/23	J		
16.						Buy (add'l)	10/23/23	J		
17.	Ecolab Inc (ECL)	A	Dividend			Sold	01/17/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Ericksen, Joan N.	05/15/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Expeditors Intl Wash (EXPD)	A	Dividend	K	T					
19. Fastenal Co (FAST)	A	Dividend	K	T					
20. Fedex Corporation (FDX)	A	Dividend	K	T	Sold (part)	02/23/23	J		
21. Fiserv Inc (FISV)		None	K	T	Sold (part)	02/24/23	J		
22.					Sold (part)	05/08/23	J		
23. Illinois Tool Works (ITW)	A	Dividend	K	T					
24. Intercontinental Exc (ICE)	A	Dividend	K	T					
25. Johnson & Johnson (JNJ)	A	Dividend	K	T	Buy (add'l)	11/20/23	J		
26. Merck & Co. Inc (MRK)	A	Dividend	K	T	Buy	01/18/23	K		
27. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	03/23/23	J		
28.					Sold (part)	05/08/23	J		
29.					Sold (part)	06/06/23	J		
30.					Sold (part)	11/13/23	J		
31. Qualcomm Inc (QCOM)	A	Dividend	K	T	Buy (add'l)	05/09/23	J		
32. Roper Technologies (ROP)	A	Dividend	K	T	Sold (part)	11/13/23	J		
33. Sherwin Williams Co (SHW)	A	Dividend	K	T	Buy (add'l)	02/27/23	J		
34.					Sold (part)	12/08/23	J		

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35. Stryker Corp (SYK)	A	Dividend	K	T	Sold (part)	01/10/23	J		
36. Thermo Fisher Scntfc (TMO)	A	Dividend	K	T	Buy (add'l)	11/01/23	J		
37. Veralto Corp (VLTO) (X)		None			Sold	10/09/23	J		
38. Visa Inc Class A (V)	A	Dividend	K	T	Sold (part)	05/15/23	J		
39. Wal-mart Stores Inc (WMT)	A	Dividend	K	T					
40. Walt Disney Co (DIS)		None	K	T	Buy (add'l)	05/16/23	J		
41.					Buy (add'l)	09/14/23	J		
42. Zebra Technologies (ZBRA)		None	K	T	Buy	01/13/23	K		
43.					Buy (add'l)	08/07/23	J		
44.					Buy (add'l)	09/26/23	J		
45.					Sold (part)	10/12/23	J		
46.					Buy (add'l)	10/13/23	J		
47. Account #2 (H)									
48. Accenture PLC Class A (ACN)	A	Dividend	J	T					
49. Alphabet Inc Class A (GOOGL)		None	J	T	Sold (part)	05/15/23	J		
50. Amphenol Corp (APH)	A	Dividend	J	T	Sold (part)	08/04/23	J		
51. Check PT Software (CHKP)		None	J	T					

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52. Church & Dwight Co (CHD)	A	Dividend	J	T					
53. Cognizant Tech Solu Class A (CTSH)		None			Sold	01/12/23	J		
54. CVS Health Corp (CVS)	A	Dividend	J	T	Buy (add'l)	02/27/23	J		
55.					Buy (add'l)	05/09/23	J		
56. Danaher Corp (DHR)	A	Dividend	J	T	Buy (add'l)	05/16/23	J		
57.					Buy (add'l)	10/23/23	J		
58. Ecolab Inc (ECL)	A	Dividend			Sold	01/17/23	J		
59. Expeditors Intl Wash (EXPD)	A	Dividend	J	T					
60. Fastenal Co (FAST)	A	Dividend	J	T					
61. Fedex Corporation (FDX)	A	Dividend	J	T	Sold (part)	02/23/23	J		
62. Fiserv Inc (FISV)		None	J	T	Sold (part)	02/24/23	J		
63.					Sold (part)	05/08/23	J		
64. Illinois Tool Works (ITW)	A	Dividend	J	T					
65. Intercontinental Exc (ICE)	A	Dividend	J	T					
66. Johnson & Johnson (JNJ)	A	Dividend	J	T	Buy (add'l)	11/20/23	J		
67. Merck & Co. Inc (MRK)	A	Dividend	J	T	Buy	01/18/23	J		
68. Microsoft Corp (MSFT)	A	Dividend	J	T	Sold (part)	03/23/23	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.					Sold (part)	06/06/23	J		
70. Qualcomm Inc (QCOM)	A	Dividend	J	T	Buy (add'l)	05/09/23	J		
71. Roper Technologies (ROP)	A	Dividend	J	T	Sold (part)	11/13/23	J		
72. Sherwin Williams Co (SHW)	A	Dividend	J	T	Buy (add'l)	02/27/23	J		
73. Stryker Corp (SYK)	A	Dividend	J	T	Sold (part)	01/10/23	J		
74. Thermo Fisher Scntfc (TMO)	A	Dividend	J	T	Buy (add'l)	11/01/23	J		
75. Visa Inc Class A (V)	A	Dividend	J	T	Sold (part)	05/15/23	J		
76. Veralto Corp (VLTO) (X)		None			Sold	10/09/23	J		
77. Wal-mart Stores Inc (WMT)	A	Dividend	J	T					
78. Walt Disney Co (DIS)		None	J	T	Buy (add'l)	09/14/23	J		
79. Zebra Technologies (ZBRA)		None	J	T	Buy	01/13/23	J		
80. Account #3 (H)									
81. Schwab Bank Sweep Acct (cash)	A	Interest	J	T					
82. US Treasury Notes (X)		None	L	T					
83. US Treasury Note	B	Interest			Redeemed	01/03/23	M		
84. Sartell MN 3%24 Go Utx		None	K	T	Buy	11/27/23	K		
85. Alexandria MN Isd20 5%25 Go Utx		None	K	T	Buy	11/27/23	K		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86. Wright Cnty MN 4%25 Go Utx		None	J	T	Buy	12/05/23	J		
87. Moorhead MN Isd#15 3%26 Go Utx		None	K	T	Buy	11/27/23	K		
88. Bloomington MN Ds27 2%28 Go Utx		None	K	T	Buy	11/27/23	K		
89. Rockford MN Isd 2%29 Go Utx		None	K	T	Buy	11/27/23	K		
90. Maplewood MN 3%30 Go Utx		None	K	T	Buy	12/05/23	K		
91. Orono MN Isd #278 3%30 Go Utx		None	K	T	Buy	11/27/23	K		
92. Swanville Minnesota 5%32 Go Utx		None	K	T	Buy	12/14/23	K		
93. St Paul Minn Indpt 5%33 Go Utx		None	K	T	Buy	11/28/23	K		
94. Accenture PLC Class A (ACN)	A	Dividend	L	T	Buy (add'l)	01/04/23	J		
95.					Sold (part)	11/14/23	K		
96. Alphabet Inc Class A (GOOGL)		None	L	T	Buy (add'l)	01/04/23	J		
97.					Sold (part)	05/15/23	J		
98.					Sold (part)	08/04/23	J		
99.					Sold (part)	09/14/23	J		
100.					Sold (part)	11/14/23	K		
101. Amphenol Corp (APH)	A	Dividend	L	T	Buy (add'l)	01/04/23	J		
102.					Sold (part)	08/04/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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103.					Sold (part)	11/08/23	J		
104. Check PT Software (CHKP)		None	K	T	Buy (add'l)	01/04/23	J		
105.					Sold (part)	11/14/23	K		
106. Church & Dwight Co (CHD)	A	Dividend	K	T	Buy (add'l)	01/04/23	J		
107.					Sold (part)	11/14/23	K		
108. Cognizant Tech Solu Class A (CTSH)		None			Buy (add'l)	01/04/23	J		
109.					Sold	01/12/23	L		
110. CVS Health Corp (CVS)	B	Dividend	L	T	Buy (add'l)	01/04/23	J		
111.					Buy (add'l)	02/27/23	J		
112.					Buy (add'l)	05/09/23	J		
113.					Sold (part)	11/08/23	J		
114. Danaher Corp (DHR)	A	Dividend	L	T	Buy (add'l)	01/04/23	J		
115.					Buy (add'l)	05/16/23	J		
116.					Buy (add'l)	10/23/23	J		
117.					Sold (part)	11/08/23	J		
118. Ecolab Inc (ECL)	A	Dividend			Sold	01/17/23	L		
119. Expeditors Intl Wash (EXPD)	A	Dividend	L	T	Buy (add'l)	01/04/23	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Ericksen, Joan N.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.					Sold (part)	11/08/23	J		
121. Fastenal Co (FAST)	B	Dividend	K	T	Buy (add'l)	01/04/23	J		
122.					Sold (part)	11/14/23	K		
123. Fedex Corporation (FDX)	B	Dividend	K	T	Buy (add'l)	01/04/23	J		
124.					Sold (part)	02/23/23	J		
125.					Sold (part)	11/14/23	K		
126. Fiserv Inc (FISV)		None	L	T	Buy (add'l)	01/04/23	J		
127.					Sold (part)	05/08/23	J		
128.					Sold (part)	11/08/23	J		
129. Illinois Tool Works (ITW)	B	Dividend	L	T	Buy (add'l)	01/04/23	J		
130.					Sold (part)	11/14/23	J		
131. Intercontinental Exc (ICE)	A	Dividend	L	T	Buy (add'l)	01/04/23	J		
132.					Sold (part)	11/08/23	J		
133. Johnson & Johnson (JNJ)	B	Dividend	K	T	Buy (add'l)	01/04/23	J		
134.					Sold (part)	11/08/23	J		
135. Merck & Co. Inc (MRK)	B	Dividend	K	T	Buy	01/18/23	L		
136.					Sold (part)	11/08/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. Microsoft Corp (MSFT)	A	Dividend	K	T	Buy (add'l)	01/04/23	J		
138.					Sold (part)	05/08/23	J		
139.					Sold (part)	06/06/23	J		
140.					Sold (part)	11/13/23	J		
141.					Sold (part)	11/14/23	K		
142. Qualcomm Inc (QCOM)	B	Dividend	L	T	Buy (add'l)	01/04/23	J		
143.					Sold (part)	11/08/23	J		
144. Roper Technologies (ROP)	A	Dividend	K	T	Sold (part)	11/14/23	J		
145. Sherwin Williams Co (SHW)	A	Dividend	L	T	Buy (add'l)	01/04/23	J		
146.					Buy (add'l)	02/27/23	J		
147.					Sold (part)	11/08/23	K		
148. Stryker Corp (SYK)	A	Dividend	K	T	Sold (part)	11/08/23	J		
149. Thermo Fisher Scientific (TMO)	A	Dividend	L	T	Buy (add'l)	01/04/23	J		
150.					Buy (add'l)	11/01/23	J		
151.					Sold (part)	11/08/23	K		
152. Veralto Corp (VLTO) (X)		None			Sold	10/09/23	J		
153. Visa Inc Class A (V)	A	Dividend	K	T	Buy (add'l)	01/04/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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154.					Sold (part)	05/15/23	J		
155.					Sold (part)	11/14/23	K		
156. Wal-mart Stores Inc (WMT)	A	Dividend	K	T	Buy (add'l)	01/04/23	J		
157.					Sold (part)	11/08/23	K		
158. Walt Disney Co (DIS)		None	K	T	Buy (add'l)	01/04/23	J		
159.					Buy (add'l)	09/14/23	J		
160.					Sold (part)	11/08/23	J		
161. Zebra Technologies (ZBRA)		None	L	T	Buy	01/13/23	L		
162.					Buy (add'l)	08/07/23	J		
163.					Buy (add'l)	09/26/23	J		
164.					Sold (part)	10/12/23	J		
165.					Buy (add'l)	10/13/23	J		
166.					Sold (part)	11/08/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
Ericksen, Joan N.	05/15/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Parenthetical numbers in Part VII are CUSIP numbers.

Name of Person Reporting	Date of Report
Ericksen, Joan N.	05/15/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joan N. Ericksen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544