AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Fischer, Dale S.	U.S. Dist. Ct. - CA (Central)	04/12/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States District Court 350 West 1st St. Los Angeles California 90012		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	[redacted] Trust # 1 (no reportable assets)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Fischer, Dale S.	04/12/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Fischer, Dale S.	04/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Vanguard Dividend Appreciation (VIG)	C	Dividend			Sold	11/10/23	N	G	
2.	ALPS Sector Dividend Dogs ETF (SDOG)	C	Dividend			Sold	11/10/23	L	E	
3.	Vanguard Sm Cap Growth (VBK)	A	Dividend			Sold	07/11/23	M	G	
4.	RBB FN INC Motley Fool Mid-Cap Growth ETF (TMFM)		None			Sold	07/11/23	L	E	
5.	Glenmede Large Cap Core Portfolio (GTLOX)	B	Dividend			Redeemed	11/10/23	M	F	
6.	Fidelity Govt Money Market Fund (SPAXX 1)	C	Dividend	J	T	Redeemed (part)	01/20/23	K		
7.						Buy (add'l)	03/28/23	J		
8.						Buy (add'l)	03/29/23	J		
9.						Buy (add'l)	07/05/23	J		
10.						Buy (add'l)	07/13/23	N		
11.						Redeemed (part)	07/14/23	M		
12.						Buy (add'l)	10/12/23	M		
13.						Redeemed (part)	10/13/23	M		
14.						Buy (add'l)	11/07/23	N		
15.						Buy (add'l)	11/13/23	M		
16.						Buy (add'l)	11/14/23	N		
17.						Redeemed (part)	11/21/23	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Redeemed (part)	11/29/23	M		
19.					Redeemed (part)	12/14/23	M		
20.					Redeemed (part)	12/19/23	L		
21. Fidelity Money Market Fund Premium Class (FZDXX 1)	D	Dividend	L	T	Buy (add'l)	01/20/23	K		
22.					Redeemed (part)	12/19/23	M		
23. Vanguard Fed Money Market Fund	E	Dividend	N	T	Buy (add'l)	01/30/23	L		
24.					Buy (add'l)	03/17/23	L		
25.					Buy (add'l)	05/01/23	L		
26.					Buy (add'l)	06/16/23	L		
27.					Buy (add'l)	07/28/23	L		
28.					Buy (add'l)	09/22/23	L		
29.					Buy (add'l)	10/06/23	L		
30. Towne Bank CDs (brokered)	B	Interest			Closed	06/16/23	M		
31. Wells Fargo Bank CDs (brokered)	C	Interest			Closed	09/22/23	K		
32. Ally Bank CDs (brokered)	B	Interest			Closed	10/06/23	K		
33. Wells Fargo Bank Account	A	Interest	L	T					
34. 457 Plan (H)									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B: (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C: (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D: (2) Date mm/dd/yy	D: (3) Value Code 2 (J-P)	D: (4) Gain Code 1 (A-H)	
35. --Horizons Stable Income Fund	B	Interest			Redeemed	11/03/23	L		
36. 401(k) Plan (H)									
37. --Savings Stable Value Fund	D	Interest			Redeemed	11/03/23	M		
38. Fidelity Puritan Mutual Fund	B	Dividend			Redeemed	07/11/23	M	E	
39. ALPS Sector Dividend Dogs ETF (SDOG)	B	Dividend			Sold	11/10/23	L	C	
40. Fidelity Money Market Fund Premium Class (FZDXX 2)	D	Dividend	M	T	Buy (add'l)	01/20/23	K		
41.					Redeemed (part)	06/27/23	M		
42.					Redeemed (part)	07/14/23	J		
43. Allspring Discipline US Core Fund A (EVSAX)		None			Redeemed	07/12/23	M	E	
44. Fidelity Govt Money Market Fund (SPAXX 2)	B	Dividend	M	T	Buy (add'l)	01/17/23	K		
45.					Redeemed (part)	01/20/23	K		
46.					Buy (add'l)	06/05/23	M		
47.					Buy (add'l)	06/27/23	M		
48.					Redeemed (part)	07/03/23	M		
49.					Redeemed (part)	07/03/23	K		
50.					Buy (add'l)	07/05/23	M		
51.					Redeemed (part)	07/10/23	L		

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52.					Buy (add'l)	07/12/23	M		
53.					Buy (add'l)	07/13/23	M		
54.					Redeemed (part)	07/14/23	N		
55.					Buy (add'l)	10/12/23	M		
56.					Redeemed (part)	10/13/23	M		
57.					Buy (add'l)	11/09/23	M		
58.					Buy (add'l)	11/14/23	L		
59.					Redeemed (part)	11/15/23	M		
60.					Buy (add'l)	12/21/23	M		
61.					Redeemed (part)	12/28/23	M		
62.	Citizens Bank & Trust CDs (brokered)	C	Interest			Closed	07/05/23	L		
63.	Ally Bank Accounts	D	Interest	M	T					
64.	U.S.Savings Bonds	A	Interest	J	T					
65.	U.S. Treasury Bills (X)	E	Interest	P1	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: Although I am the trustee of [redacted] Trust #1, there are no reportable assets in the trust.

Part VII: Most headings used in prior report have been omitted, except for "457 Plan" (Line 34) and "401(k) Plan" (Line 36).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dale S. Fischer

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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