AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Fisher, D. Michael	Court of Appeals for the Third Cicuit	08/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Joseph F. Weis Jr., U.S. Courthouse 700 Grant St Suite 5360 Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor, Distinguished Jurist Fellow	University of Pittsburgh School of Law
2.	Emeritus Trustee, Board of Trustees	University of Pittsburgh
3.	Emeritus Trustee, Board of Trustees	Senator John Heinz History Center
4.	Member	St. Clair Country Club Capital Gifts Committee
5.	President	Spring Run Mens Golf Association
6.	Power of Attorney	Estate #1 (no reportable assets)
7.	Conservator	Estate #2

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1975-03	Pennsylvania State Employees Retirement Fund, Defined Benefit Pension Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	University of Pittsburgh School of Law - Salary	$75,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Academy of Trial Lawyers of Allegheny County	10/11/2023 - 10/13/2023	Farmington, PA	Retreat, CLE Program	Travel, Food, Lodging
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Fisher, D. Michael	08/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Citizens Bank of PennsylvAmania	A	Interest	L	T					
2.	PNC Bank	A	Interest	L	T					
3.	Scudder Investments, Money Market Fund, IRA	A	Int./Div.	K	T					
4.	MassMutual Evolution Variable Annuity - MML Balanced Allocation		None	N	T					
5.	Huntington Bank, Stock	A	Dividend	J	T					
6.	Ameriserve Financial, Stock	A	Dividend	J	T					
7.	Callon ConsolidatedPartners, Ltd. Stock		None	J	T					
8.	American Funds Capital Income Builder Fund CL F2	B	Dividend	L	T	Buy (add'l)	01/04/23	K		
9.						Buy (add'l)	11/03/23	L		
10.	American Funds New World Fund CL F2		None			Sold	11/03/23	K		
11.	Capital Group Divident Value ETF SHS Creation Unit	B	Dividend	M	T	Sold (part)	11/03/23	J		
12.	Clearbridge Value Trust Fund CL 1		None			Sold	11/03/23	L		
13.	Dimensional ETF Trust World Ex US Core Equity 2 ETF	A	Dividend	L	T	Buy	11/03/23	L		
14.	IShares Tr Intl Dic Growth	B	Dividend			Sold	11/03/23	K		
15.	JPMorgan 100% US Treasury SEC Money Market FD Capital CL M/M	A	Dividend	K	T	Buy	11/15/23	K		
16.	JPMorgan Core Plus Bond Fund CL1	B	Dividend			Sold	11/03/23	K		
17.	JPMorgan Equity Premium Income ETF	A	Dividend	M	T	Buy	11/03/23	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Fisher, D. Michael	08/01/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. MFS Municipal High Income Fund CL 1	A	Dividend	L	T	Buy	11/03/23	L		
19. Pimco Income Fund CL 12	C	Dividend			Sold	11/03/23	L		
20. Raymond James Bank Deposit Program	A	Int./Div.	K	T					
21. T.Rowe Price Divident Growth Find Investor CL	A	Dividend			Sold	11/03/23	L		
22. T.Rowe Proce Summit Municipal Interm FD Investor CL	A	Dividend	L	T	Buy	11/03/23	L		
23. Thornburg Strategic Income Find CL 1	B	Dividend			Sold	11/03/23	K		
24. Vanguard Divident Appreciation ETF	A	Dividend	L	T	Buy	11/03/23	L		
25. Vanguard Equity Income Fund Admiral Shares	B	Dividend			Buy (add'l)	01/04/23	L		
26.					Sold	11/03/23	L		
27. Vanguard Intermediate Term Tax Exempt Fund Admiral Shares	A	Dividend	L	T	Buy	11/03/23	L		
28. Vanguard International High Dividend ield ETF	C	Dividend	L	T	Buy (add'l)	11/03/23	K		
29. Vanguard Wellington Fund Admiral Shares	D	Dividend	M	T					
30. Estate #2 (H)									
31. PNC Bank	A	Interest	K	T					
32. Fidelity Government Cash Reserves	A	Dividend	J	T					
33. Abbott Laboratories Common Stock	A	Dividend	K	T					
34. AbbVie Inc Common Stock	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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35. Corteva Inc., Common Stock	A	Dividend	J	T					
36. Dow Inc., Common Stock	A	Dividend	J	T					
37. DuPont de Nemours Inc, Common Stock	A	Dividend	J	T					
38. Fidelity Govt Cash Reserves	A	Dividend			Sold	03/30/23	J		
39. National Financial Services/ MacWhinnie	A	Interest	J	T					
40. BlackRock Dynamic High Income	C	Dividend	J	T	Buy	01/26/23	J		
41.					Buy (add'l)	02/28/23	J		
42.					Buy (add'l)	03/30/23	J		
43.					Buy (add'l)	06/09/23	J		
44. BlackRock Floating Rate	B	Dividend	J	T	Sold	01/13/23	J	A	
45.					Buy	02/28/23	J		
46.					Buy (add'l)	03/30/23	J		
47.					Sold (part)	04/12/23	J	A	
48.					Sold (part)	10/11/23	J	A	
49. BlackRock Global Dividend	A	Dividend	J	T	Sold	01/13/23	J	A	
50.					Buy	02/28/23	J		
51.					Buy	04/12/23	J		

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52.					Buy (add'l)	06/09/23	J		
53. BlackRock High Equity Income	B	Dividend	J	T	Buy	01/26/23	J		
54.					Buy (add'l)	06/09/23	J		
55.					Buy (add'l)	10/11/23	J		
56. BlackRock High Yield Bond	D	Dividend			Buy	01/13/23	J		
57.					Buy (add'l)	01/26/23	J		
58.					Buy (add'l)	02/28/23	J		
59.					Buy (add'l)	03/30/23	J		
60.					Buy (add'l)	04/12/23	J		
61.					Buy (add'l)	06/09/23	J		
62.					Sold (part)	06/28/23	J	A	
63.					Sold	10/11/23	K	A	
64. BlackRock Real Estate Secs	A	Dividend			Sold (part)	01/13/23	J	A	
65.					Sold	04/12/23	J	A	
66. iShare TR 7-10 Yr	A	Dividend			Buy	01/17/23	J		
67.					Sold	04/13/23	K	A	
68. iShare TR MBS	A	Dividend	J	T	Buy	01/17/23	J		

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69.					Buy (add'l)	04/13/23	J		
70.					Buy (add'l)	06/12/23	J		
71.					Buy (add'l)	06/29/23	J		
72.					Buy (add'l)	10/12/23	J		
73. iShares iBoxx Investment	B	Dividend	J	T	Buy	01/17/23	J		
74.					Buy (add'l)	01/27/23	J		
75.					Buy (add'l)	03/30/23	J		
76.					Buy (add'l)	04/13/23	J		
77.					Buy (add'l)	06/12/23	J		
78.					Buy (add'l)	10/12/23	J		
79. iShares Intl Growth	A	Dividend	J	T	Buy	01/17/23	J		
80. iShares TR ISHS		None			Sold	01/17/23	J	A	
81. iShares TR PFD	A	Dividend			Sold	01/17/23	K	A	
82.					Buy	03/01/23	J		
83.					Buy (add'l)	06/12/23	J		
84.					Sold	06/29/23	J	A	
85. iShares Trust Core Div Gwth	B	Dividend	J	T	Buy	01/17/23	J		

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86.					Buy (add'l)	01/27/23	J		
87.					Buy (add'l)	03/01/23	J		
88.					Buy (add'l)	03/30/23	J		
89.					Sold (part)	04/13/23	J	A	
90.					Buy (add'l)	06/12/23	J		
91.					Buy (add'l)	06/29/23	J		
92. iShares Trust Fallen Angels	A	Dividend	J	T	Buy	10/12/23	K		
93. Vanguard Whitehall FDS	A	Dividend	J	T	Buy	01/17/23	J		
94.					Buy (add'l)	04/13/23	J		
95.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions lime 6 and 7, no reportable assets.

Name of Person Reporting	Date of Report
Fisher, D. Michael	08/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ D. Michael Fisher

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544