AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Fitzwater, Sidney A.	U.S. District Court, Northern District of Texas	03/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2021 **to** 12/31/2021
7. Chambers or Office Address U.S. Courthouse 1100 Commerce St., Room 1358 Dallas, Texas 75242-1314		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The George Washington University	10/06//2021-10/08/2021	Washington, D.C.	Attend Geo. Wash. School of Law Mass Tort MDL Conference for Transferee MDL Judges	Airfare, lodging, food, and ground transportation
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	UBS Bank USA	UBS Premier Credit Line - Non-Purpose	N
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Fitzwater, Sidney A.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	American Funds-Wash. Mut. Invs. Fund-A	A	Dividend	K	T					
2.	UBS Bank USA Deposit Acct. (See Part VIII)	A	Interest	K	T					
3.	3M Corp. Common Stock	A	Dividend	K	T					
4.	J.P. Morgan Chase & Co. Common Stock	A	Dividend	J	T					
5.	Trust # 2 (Nos. 5-10) (H)									
6.	-UBS Bank USA Business Acct.(See Part VIII)	A	Interest	L	T					
7.	-Lord Abbett Income Fund-Class A	B	Dividend	K	T					
8.	-Lord Abbett Intermediate Tax Free Fund-Class A	B	Dividend	L	T					
9.	-Lord Abbett Short Duration Income Fund-Class A	A	Dividend	K	T					
10.	-Thornburg Limited Term Municipal Fund-Class A	A	Dividend	K	T					
11.	Calamos Growth and Income Fund-Class A	A	Dividend	J	T					
12.	Lord Abbett Fundamental Equity-Class A	B	Dividend	J	T					
13.	MFS Total Return Bond Fund-A	A	Dividend	J	T					
14.	Lord Abbett Income Fund-Class A	A	Dividend	J	T					
15.	Lord Abbett Intermediate Tax Free Fund-Class A	A	Dividend	J	T					
16.	Lord Abbett Short Duration Income Fund-Class A	A	Dividend	J	T					
17.	Thornburg Limited Term Municipal Fund-Class A	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Fitzwater, Sidney A.	03/16/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. Lincoln Nat'l Corp. Secure Line	B	Interest	M	T					
19. IRA #1 (Nos. 19-28) (H)									
20. -Royce Premier Fund (See Part VIII)	A	Dividend	K	T	Sold (part)	02/09/21	J		
21.					Sold (part)	03/29/21	J		
22. -Blackrock Strategic Income A	A	Dividend	K	T	Sold (part)	02/09/21	J	A	
23.					Buy (add'l)	03/29/21	J		
24. -Blackrock Global Allocation Fund Inc. A	A	Dividend	K	T	Sold (part)	02/09/21	J	A	
25.					Sold (part)	03/29/21	J	A	
26. -First Eagle Global Fund Class A	B	Dividend	L	T	Sold (part)	02/09/21	J	A	
27.					Sold (part)	03/29/21	J	A	
28. -FT-Franklin Income	B	Dividend	L	T	Sold (part)	02/09/21	J	A	
29. Aberdeen Asia-Pacific Income Fund	A	Dividend	J	T					
30. Ameren Corporation Common Stock	A	Dividend	J	T					
31. Consolidated Edison, Inc. Common Stock	B	Dividend	K	T					
32. Dominion Energy, Inc. Common Stock	B	Dividend	K	T					
33. BNY Mellon Strategic Municipals, Inc.	A	Dividend	J	T					
34. Duke Energy Corp. Common Stock	B	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Entergy Corp. Common Stock	A	Dividend	K	T					
36. Exelon Corp. Common Stock	B	Dividend	K	T					
37. ExxonMobil Corp. Common Stock	D	Dividend	L	T					
38. Firstenergy Corp. Common Stock	A	Dividend	J	T					
39. NextEra Energy, Inc. Common Stock	B	Dividend	M	T					
40. Merck & Co. Inc. Common Stock	A	Dividend	K	T					
41. Nuveen Quality Municipal Income Fund	C	Dividend	L	T					
42. Nuveen Municipal Credit Income Fund	C	Dividend	L	T					
43. Nuveen AMT-Free Quality Municipal Income Fund	B	Dividend	K	T					
44. Nuveen Municipal Value Fund Inc.	B	Dividend	K	T					
45. Nuveen Select Maturities Municipal Fund	A	Dividend	K	T					
46. Pepsico, Inc. Common Stock	B	Dividend	L	T					
47. Pfizer Inc. Common Stock	A	Dividend	K	T					
48. Invesco Van Kampen Municipal Opportunity Trust	A	Interest	J	T					
49. Xcel Energy Inc. Common Stock	A	Dividend	K	T					
50. TIAA-CREF Savings and Investment Plan (Fixed Annuity)		None	M	T					
51. TIAA-CREF (IRA) Managed Allocation Fund II R		None	M	T	Sold (part)	04/01/21	J	B	

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52. USAA Tax Exempt Long-Term Bond Fund	C	Interest	M	T					
53. Blackrock Strategic Income Opportunities Fund A	A	Dividend	J	T					
54. Emerson Electric Co. Common Stock	A	Dividend	K	T					
55. Royal Dutch Shell PLC Common Stock	A	Dividend	J	T					
56. WEC Energy Group Inc. Common Stock	A	Dividend	K	T					
57. Minn. Life Ins. Co. Eclipse Protector Indexed Univ. Life Policy		None	K	T					
58. Enbridge Inc. Common Stock	A	Dividend	J	T					
59. Bank of America Cash Account		None	N	T					
60. Evergy Inc. Common Stock	A	Dividend	J	T					
61. Minn. Life Ins. Co. Eclipse Protector Indexed Univ. Life Policy		None	K	T					
62. Viatris Inc. Common Stock		None			Sold	02/10/21	J	A	
63. Organon & Co. Common Stock (X)	A	Dividend	J	T	Spinoff (from line 40)	06/03/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Fitzwater, Sidney A.	03/16/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Item No. 2

This item is reported at Part VII, Item No. 2, of this report as “UBS Bank USA Deposit Acct.” These funds are still on deposit at UBS Bank USA as part of the "UBS Insured Sweep Program Account APY (Annual Percentage Yield)."

Part VII, Item No. 6

This item is reported at Part VII, Item No. 6, of this report as “UBS Bank USA Business Acct.” These funds are still on deposit at UBS Bank USA as part of the "UBS Insured Sweep Program Business Account APY (Annual Percentage Yield)."

Part VII, Item Nos. 20 and 21

No gain code is disclosed in Column D(4) for these items because they were sold at a loss.

Name of Person Reporting	Date of Report
Fitzwater, Sidney A.	03/16/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sidney A. Fitzwater

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544