AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
FLEISSIG, AUDREY G.	EASTERN DISTRICT OF MISSOURI	05/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE - SENIOR STATUS	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
111 S. 10TH STREET: 12S ST. LOUIS, MISSOURI 63102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Minnesota State Bar Association and Federal Bar Association - MN Chapter	February 20-23, 2023	Minneapolis, MN	A Look at the Future of the Federal Criminal Justice System Seminar	Transportation, meals, hotel, parking
2.	International Association of Judges	May 21-24, 2023	Washington, DC	Access to Justice for the New Age Courtroom Seminar	Transportation, hotel
3.	Rabiej Litigation Law Center	September 27-29, 2023	Chicago, IL	Bench-Bar Forum Conference: Experiences and Insights of Mass-Tort MDL Attorneys	Transportation, meals, hotel, parking, seminar fees

4. _____ _____ _____ _____ _____

5. _____ _____ _____ _____ _____

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
FLEISSIG, AUDREY G.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. IRA #1 (H)									
2. - PIMCO FUNDS COMMODITY/REAL RET	C	Int./Div.			Sold	04/26/23	L		
3. - COHEN & STEERS REALTY SHS INSTL	C	Int./Div.	M	T	Buy (add'l)	04/26/23	J		
4. - AMERITRADE FDIC INSURED DEPOSIT ACCOUNT		None	J	T					
5. - BAIRD CORE PLUS BD INSTL	E	Int./Div.	O	T	Buy (add'l)	04/26/23	M		
6. - PIMCO INVESTMENTS INCOME INST	E	Int./Div.	O	T	Buy (add'l)	04/26/23	M		
7.					Buy (add'l)	08/31/23	J		
8.					Buy (add'l)	09/29/23	J		
9.					Buy (add'l)	10/31/23	J		
10.					Buy (add'l)	11/30/23	J		
11.					Buy (add'l)	12/29/23	J		
12. - SCHWAB FUNDS VALUE ADVANTAGE MONEY INVESTOR	A	Int./Div.	K	T	Buy	04/12/23	K		
13.					Buy (add'l)	04/17/23	N		
14.					Sold	04/26/23	N		
15.					Buy	08/10/23	K		
16.					Buy (add'l)	08/15/23	J		
17.					Buy (add'l)	09/15/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FLEISSIG, AUDREY G.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Buy (add'l)	10/16/23	J		
19.					Buy (add'l)	11/15/23	J		
20.					Buy (add'l)	12/29/23	J		
21. - ISHARES TRUST RUS 1000 ETF	D	Int./Div.	O	T	Buy (add'l)	04/27/23	K		
22. - VANGUARD GROWTH ETF	A	Int./Div.			Sold	04/26/23	K	B	
23. - VANGUARD SMALL CP ETF	A	Int./Div.	L	T	Buy (add'l)	04/27/23	K		
24. IRA #2 (H)									
25. - COHEN & STEERS REALTY SHS INSTL	B	Int./Div.	L	T	Buy (add'l)	04/26/23	J		
26. - AMERITRADE FDIC INSURED DEPOSIT ACCOUNT		None	J	T					
27. - BAIRD CORE PLUS BD INSTL	C	Int./Div.	M	T	Buy (add'l)	04/26/23	K		
28. - PIMCO FUND COMMODITY/REAL RET	C	Int./Div.			Sold	04/26/23	L		
29. - PIMCO INVESTMENTS INCOME INST	D	Int./Div.	M	T	Buy (add'l)	04/26/23	K		
30.					Buy (add'l)	08/31/23	J		
31.					Buy (add'l)	09/29/23	J		
32.					Buy (add'l)	10/31/23	J		
33.					Buy (add'l)	11/30/23	J		
34.					Buy (add'l)	12/29/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35. - SCHWAB FUNDS VALUE ADVANTAGE MONEY INVESTOR	A	Int./Div.	J	T	Sold	04/26/23	J		
36.					Buy	08/10/23	J		
37.					Buy (add'l)	08/15/23	J		
38.					Buy (add'l)	09/15/23	J		
39.					Buy (add'l)	10/16/23	J		
40.					Buy (add'l)	11/15/23	J		
41.					Buy (add'l)	12/29/23	J		
42. - ISHARES TRUST RUS 1000 ETF	A	Int./Div.	K	T	Buy	04/27/23	K		
43. BROKERAGE #1 (H)									
44. - AMERITRADE FDIC INSURED DEPOSIT ACCOUNT		None	K	T					
45. - ISHARES TRUST RUS 1000 GRW ETF	B	Int./Div.	N	T					
46. - ISHARES TRUST RUS 1000 ETF	B	Int./Div.	M	T	Buy (add'l)	04/27/23	K		
47.					Buy (add'l)	05/25/23	K		
48.					Buy (add'l)	06/27/23	K		
49. - VANGUARD VALUE ETF	E	Int./Div.	O	T	Buy (add'l)	04/27/23	J		
50.					Buy (add'l)	05/25/23	J		
51.					Buy (add'l)	06/27/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. - VANGUARD SMALL CP EFT	B	Int./Div.	M	T	Buy (add'l)	04/27/23	J		
53.					Buy (add'l)	05/25/23	J		
54.					Buy (add'l)	06/27/23	J		
55. - AMERICAN FUNDS EUROPACIFIC GROWTH F3	D	Int./Div.	M	T					
56. - HARTFORD SCHRODER INTL MULTICAP VALUE I	D	Int./Div.	N	T					
57. - SCHWAB FUNDS VALUE ADVANTAGE MONEY INVESTOR	D	Int./Div.	J	T	Sold (part)	04/11/23	O		
58.					Sold (part)	04/17/23	K		
59.					Sold (part)	04/28/23	K		
60.					Sold (part)	05/24/23	K		
61.					Sold	06/08/23	L		
62.					Buy	08/10/23	J		
63.					Buy (add'l)	08/15/23	J		
64.					Buy (add'l)	09/15/23	J		
65.					Buy (add'l)	10/16/23	J		
66.					Buy (add'l)	11/15/23	J		
67.					Buy (add'l)	12/29/23	J		
68. BANK OF AMERICA CASH EQUIVALENT ACCOUNT		None	J	T					

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Name of Person Reporting	Date of Report
FLEISSIG, AUDREY G.	05/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The IRA accounts listed on lines 1-23 and 24-42 of my report were transferred from TD Ameritrade to Charles Schwab during September 2023.

The brokerage account listed on lines 43-67 of my report was transferred from TD Ameritrade to Charles Schwab during September 2023.

Name of Person Reporting	Date of Report
FLEISSIG, AUDREY G.	05/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ AUDREY G. FLEISSIG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544