AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
FREUDENTHAL, NANCY D.	UNITED STATES DISTRICT COURT - DISTRICT OF WYOMING	05/04/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE - ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
OFFICE OF JUDGE FREUDENTHAL 2120 CAPITOL AVE. CHEYENNE, WY 82001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	BOARD MEMBER	WYOMING PROFESSIONAL ASSISTANCE PROGRAM
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2003	STATE OF WYOMING RETIREMENT PLAN WITH FORMER EMPLOYER, NO CONTROL, AGREEMENT SIGNED IN 2003
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	STATE OF WYOMING RETIREMENT DISTRIBUTION	$42,107.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	STATE OF WYOMING - RETIREMENT DISTRIBUTION
2.	2021	SELF-EMPLOYED ATTORNEY
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. MERIDIAN TRUST FCU (cash)	A	Interest	L	T					
3. MASS MUTUAL FIXED WHOLE LIFE PAID-UP AT AGE 65 INSURANCE	C	Dividend	N	T					
4. VOYA/SECURITY LIFE OF DENVER WHOLE LIFE INSURANCE POLICY	C	Interest	L	T					
5. AMERIPRISE NON-QUALIFIED CASH RESERVE CERTIFICATE (cash)	A	Interest	K	T					
6. ACCOUNT #1 (H)									
7. AMERIPRISE BANK INSURED SWEEP (ABISA) (cash)	A	Interest	J	T					
8. INVESCO BALANCED RISK COMM STRAT Y (BRCYX)		None			Sold (part)	02/22/21	J		
9.					Sold	07/19/21	K		
10. BLACKROCK EMERGING MARKETS INSTL CL (MADCX)	B	Dividend	K	T	Sold (part)	02/22/21	J		
11.					Sold (part)	06/21/21	J		
12. COLUMBIA SELECT LARGE CAP GROWTH CL Z (UMLGX)	D	Dividend	K	T	Sold (part)	02/22/21	J		
13.					Buy (add'l)	07/19/21	J		
14. FIRST EAGLE OVERSEAS CL 1 (SGOIX)	B	Dividend	K	T	Buy (add'l)	07/19/21	J		
15. GOLDMAN SACHS SMALL CAP VALUE INSIGHTS INVESTOR CL (GTTTX)	D	Dividend	K	T	Sold (part)	02/22/21	J		
16.					Sold (part)	06/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. GOLDMAN SACHS GQG PARTNERS INTL OPPTYS INVESTOR CL (GSINX)	A	Dividend	K	T	Buy (add'l)	07/19/21	J		
18.					Sold (part)	10/18/21	J		
19.					Sold (part)	11/22/21	J		
20. JOHN HANCOCK CLASSIC VALUE CL I (JCVIX)	A	Dividend	L	T	Sold (part)	02/22/21	J		
21.					Buy (add'l)	04/19/21	K		
22. HARTFORD INTL VALUE CL I (HILIX)	A	Dividend	K	T	Sold (part)	02/22/21	J		
23.					Buy (add'l)	06/21/21	J		
24.					Sold (part)	11/22/21	J		
25. HARTFORD INTL GROWTH CL I (HNCJX)	A	Dividend	K	T	Buy (add'l)	06/21/21	J		
26.					Sold (part)	10/18/21	J		
27. DELAWARE IVY VALUE CL I (IYAIX)	C	Dividend	K	T	Sold (part)	02/22/21	J		
28.					Sold (part)	04/19/21	J		
29.					Buy (add'l)	06/21/21	J		
30.					Buy (add'l)	07/19/21	J		
31. JANUS HENDERSON US MANAGED VOLATILITY CL I (JRSIX)	D	Dividend	K	T					
32. JOHN HANCOCK STRATEGIC INCOME OPPTYS CL I (JIPIX)	A	Dividend	K	T	Sold (part)	10/18/21	J		
33. BRANDYWINE GLOBAL BOND I (LROIX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. LORD ABBETT SHORT DURATION INCOME CL F (LDLFX)	A	Dividend	K	T					
35. NATIXIS OAKMARK INTL CL Y (NOIYX)	A	Dividend	K	T	Sold (part)	02/22/21	J		
36. PUTNAM INCOME CL Y (PNCYX)	C	Dividend	L	T	Buy (add'l)	02/22/21	J		
37.					Sold (part)	04/19/21	J		
38.					Buy (add'l)	10/18/21	K		
39. WESTERN ASSET CORE PLUS BOND I (WACPX)	A	Dividend	K	T	Buy (add'l)	02/22/21	J		
40.					Sold (part)	10/18/21	J		
41. ISHARES 20+ YR TREAS BOND ETF (TLT)	A	Dividend	K	T	Buy (add'l)	02/22/21	J		
42.					Buy (add'l)	04/19/21	J		
43.					Sold (part)	06/21/21	J		
44.					Sold (part)	07/19/21	K		
45. ISHARES 7-10YR TREASURY BOND ETF (IEF)	A	Dividend	K	T	Buy	07/19/21	K		
46. ISHARES 1-3 YR TRES BOND ETF (SHY)	A	Dividend	J	T	Buy	02/22/21	J		
47.					Buy (add'l)	04/19/21	J		
48.					Buy (add'l)	06/21/21	J		
49.					Sold	07/19/21	K		
50.					Buy	11/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. SPDR PORTFOLIO S&P 500 ETF (SPLG)	A	Dividend	K	T	Buy (add'l)	02/22/21	J		
52.					Sold (part)	06/21/21	J		
53.					Buy (add'l)	10/18/21	J		
54. VANGUARD HEALTH CARE ETF (VHT)	A	Dividend	K	T	Buy	06/21/21	J		
55.					Buy (add'l)	11/22/21	J		
56. VANECK VECTORS FALLEN ANGEL HIGH YIELD ETF (ANGL)	A	Dividend			Sold	02/22/21	K		
57. VANGUARD CONSUMER STAPLES ETF (VDC)	A	Dividend			Sold	06/21/21	J		
58. VANGUARD FINANCIALS ETF (VFH)	A	Dividend			Sold (part)	02/22/21	J		
59.					Sold	04/19/21	J		
60. ACCOUNT #2 (H)									
61. COLUMBIA ADAPTIVE RISK ALLOC CL Z (CRAZX)	D	Dividend	L	T	Sold (part)	08/02/21	J		
62. COLUMBIA MULTI STRATEGY ALTS INSTL CL (CLAZX)	A	Dividend	J	T					
63. ISHARES TIPS BOND ETF (TIP)	A	Dividend	J	T	Sold	07/01/21	J		
64.					Buy	08/02/21	J		
65.					Sold	09/01/21	J		
66.					Buy	10/01/21	J		
67. ISHARES IBOXX $INVESTMENT GRADE CORP BOND ETF (LQD)	A	Dividend	J	T	Sold (part)	08/02/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68.					Buy (add'l)	09/01/21	J		
69.					Sold (part)	10/01/21	J		
70. ISHARES 20+ YR TREAS BD ETF (TLT)	A	Dividend			Sold (part)	06/01/21	J		
71.					Sold (part)	07/01/21	J		
72.					Buy (add'l)	10/01/21	J		
73.					Sold	11/01/21	J		
74. ISHARES 7-10 YR TREASURY BOND ETF (IEF)	A	Dividend	K	T	Buy (add'l)	06/01/21	J		
75.					Sold (part)	07/01/21	J		
76.					Buy (add'l)	08/02/21	J		
77.					Sold (part)	09/01/21	J		
78.					Buy (add'l)	10/01/21	J		
79.					Buy (add'l)	11/01/21	J		
80.					Sold (part)	12/01/21	J		
81. ISHARES MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy (add'l)	07/01/21	J		
82.					Sold (part)	08/02/21	J		
83.					Buy (add'l)	09/01/21	J		
84.					Sold (part)	10/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
85.					Sold (part)	11/01/21	J		
86.					Buy (add'l)	12/01/21	J		
87. ISHARES JPMORGAN USD EMERGING MARKETS BOND ETF (EMB)	A	Dividend	J	T	Sold (part)	05/03/21	J		
88.					Buy (add'l)	07/01/21	J		
89.					Sold (part)	08/02/21	J		
90.					Buy (add'l)	09/01/21	J		
91.					Sold (part)	11/01/21	J		
92.					Buy (add'l)	12/01/21	J		
93. ISHARES IBOXX $ HIGH YIELD CORP BOND ETF (HYG)	A	Dividend	J	T	Buy (add'l)	05/03/21	J		
94.					Buy (add'l)	07/01/21	J		
95.					Sold (part)	08/02/21	J		
96.					Buy (add'l)	09/01/21	J		
97.					Sold (part)	10/01/21	J		
98.					Sold (part)	11/02/21	J		
99.					Buy (add'l)	12/01/21	J		
100. ISHARES 3-7 YR TREAS BOND ETF (IEI)	A	Dividend			Sold	06/01/21	J		
101. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T	Buy (add'l)	07/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102.					Sold (part)	08/02/21	J		
103.					Buy (add'l)	09/01/21	J		
104.					Sold (part)	10/01/21	J		
105.					Sold (part)	11/01/21	J		
106.					Buy (add'l)	12/01/21	J		
107. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	J	T	Buy (add'l)	07/01/21	J		
108.					Sold (part)	08/02/21	J		
109.					Buy (add'l)	09/01/21	J		
110.					Sold (part)	10/01/21	J		
111.					Sold (part)	11/01/21	J		
112.					Buy (add'l)	12/01/21	J		
113. VANGUARD MORTGAGE BACKED SECURITIES ETF (VMBS)	A	Dividend			Buy (add'l)	11/01/21	J		
114.					Sold	12/01/21	J		
115. VANGUARD REAL ESTATE INDEX ETF (VNQ)	A	Dividend	J	T	Sold	07/01/21	J		
116.					Buy	08/02/21	J		
117.					Sold	09/01/21	J		
118.					Buy	10/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. BARCLAYS BANK PLC IPATH BLOOMBERG COMMODITY INDEX (DJP) (X)		None	J	T					
120. ACCOUNT #3: (H)									
121. RIVERSOURCE VP-MODERATE PORTFOLIO CLASS 2		None	M	T					
122. ACCOUNT #4 (H)									
123. RIVERSOURCE COLUMBIA VP-MANAGED VOLATILITY MODERATE GROWTH FUND CL 2		None	M	T					
124. ACCOUNT #5 (H)									
125. RIVERSOURCE COLUMBIA VP-MANAGED VOLATILITY MODERATE GROWTH FUND CL 2		None	N	T					
126. RIVERSOURCE VP-MODERATE PORTFOLIO CLASS 2		None	N	T					
127. ACCOUNT #6 (H)									
128. RIVERSOURCE VP MODERATE C12		None	K	T					
129. ACCOUNT #7 (H)									
130. AMERIPRISE INSURED MONEY MARKET (AIMMA) (cash)	A	Interest	K	T					
131. AMERICAN CENTURY MID CAP VALUE CL I (AVUAX)		None			Sold	02/10/21	K		
132. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL (BSIIX)	B	Dividend	L	T	Buy (add'l)	02/10/21	J		
133.					Buy (add'l)	08/13/21	J		
134. BLACKROCK INCOME OPPTY INSTL CL (BMSIX)	B	Dividend	K	T	Buy	02/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135.					Buy (add'l)	05/17/21	J		
136. COLUMBIA INCOME OPPTY INSTL CL (CIOZX)	A	Dividend			Sold (part)	02/10/21	J		
137.					Sold	05/17/21	J	B	
138. MULTI MANAGER VALUE STRATEGIES CL Z (CZMVX)	D	Dividend	M	T	Buy (add'l)	02/10/21	J		
139.					Sold (part)	05/18/21	J	B	
140.					Buy (add'l)	08/13/21	J		
141.					Sold (part)	11/18/21	J	A	
142. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z (CTRZX)	D	Dividend	N	T	Buy (add'l)	02/10/21	K		
143.					Buy (add'l)	05/18/21	J		
144.					Sold (part)	08/13/21	J	A	
145.					Buy (add'l)	11/18/21	J		
146. MULTIMANAGER SMALL CAP EQUITY STRATEGIES CL Z (CZMSX)	C	Dividend	K	T	Sold (part)	02/10/21	J	B	
147.					Sold (part)	11/18/21	J	A	
148. MULTI MANAGER GROWTH STRATEGIES CL Z (CZMGX)	D	Dividend	M	T	Buy (add'l)	02/10/21	J		
149.					Buy (add'l)	05/18/21	J		
150.					Sold (part)	08/13/21	J	C	
151.					Sold (part)	11/18/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. MULTI MANAGER INTL EQ STRAT INSTL (CMIEX)	B	Dividend	L	T	Sold (part)	02/10/21	J	A	
153.					Sold (part)	05/18/21	J		
154.					Buy (add'l)	08/13/21	J		
155.					Buy (add'l)	11/18/21	J		
156. DELAWARE US GROWTH INSTL CL (DEUIX)	B	Dividend			Sold (part)	02/10/21	J	A	
157.					Sold	04/14/21	K		
158. JPMORGAN MID CAP EQUITY CL I (VSNGX)	B	Dividend	K	T	Buy	02/10/21	K		
159.					Sold (part)	11/18/21	J	A	
160. MFS INTL VALUE CL I (MINIX)	B	Dividend	K	T	Sold (part)	08/13/21	J	C	
161. MFS VALUE CL I (MEIIX)	B	Dividend	K	T	Sold (part)	02/10/21	J	B	
162.					Sold (part)	05/17/21	J	B	
163. MFS GROWTH CL I (MFEIX)		None	K	T	Buy	11/18/21	K		
164. JACKSON SQUARE LARGE CAP GROWTH INSTL CL (JSPIX)		None			Buy	04/16/21	K		
165.					Buy (add'l)	05/17/21	J		
166.					Sold (part)	08/13/21	J	A	
167.					Sold	11/18/21	K	D	
168. MFS MID CAP GROWTH CL I (OTCIX)		None			Sold	02/10/21	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. ALLSPRING EMERGING MARKETS EQUITY INSTL CL (EMGNX)	A	Dividend	K	T	Buy (add'l)	02/10/21	J		
170.					Buy (add'l)	05/17/21	J		
171.					Buy (add'l)	08/13/21	J		
172.					Sold (part)	11/18/21	J	B	
173. ACCOUNT #8 (H)									
174. AMERIPRISE INSURED MONEY MARKET (AIMMA) (cash)	A	Interest	J	T					
175. COLUMBIA DIVIDEND OPPTY CL A (INUTX)	A	Dividend	K	T					
176. COLUMBIA LARGE CAP VAL A (INDZX)	B	Dividend	K	T					
177. VIRTUS NEWFLEET MULTI SECTOR SHORT TERM BOND CL A (NARAX)	A	Dividend	J	T					
178. ANNALY CAPITAL MANAGEMENT INC (NLY)	A	Dividend	J	T					
179. STARWOOD PROPERTY TRUST INC (STWD)	A	Dividend	J	T					
180. ARES CAPITAL CORP (ARCC)	B	Dividend	K	T					
181. CHS INC CL B CUML REDEEMABLE PFD SER (CHSCL)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Accounts 3-5: Each account is a RIVERSOURCE LIFE RIVERSOURCE RAVA 5 ADVANTAGE VARIABLE ANNUITY. Underlying assets are listed in Part VII.

Account 6 is a RIVERSOURCE LIFE RETIREMENT ADVISOR ADV VARIABLE ANNUITY. The underlying asset is listed in Part VII.

ALLSPRING EMERGING MARKETS EQUITY INSTL CL (EMGNX) was WELLS FARGO EMERGING MARKETS EQUITY INSTL CL (EMGNX).

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	05/04/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ NANCY D. FREUDENTHAL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544