AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Friedman, Paul L.	U.S. District Court	05/13/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse, Rm. 6012 333 Constitution Ave. N.W. Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member and Secretary	American Law Institute: Member of the Council since 1998; member of the Executive Committee since 2001; Secretary since 2013
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	White & Case Retirement Income Pension Plan Administered by State Street Retiree Service - Pension Plan	$22,509.00
2. 2021	Charles Schwab & Co., Inc., IRA Rollover Account - Required Minimum Distribution	$233,350.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2021	Prudential Insurance Co of America - Retirement Plan Distribution
2. 2021	Charles Schwab & Co., Inc., IRA Rollover Account - Required Minimum Distribution
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Friedman, Paul L.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. BROKERAGE ACCOUNT (H)									
2. -Gabelli Small Cap Fund	D	Dividend	L	T					
3. -Gabelli Asset Fund	A	Dividend			Sold	06/15/21	K	D	
4. -iShares Cohen & Steers Realty Majors Index Fund	B	Dividend	L	T					
5. -iShares Russell 1000 Growth Index Fund	B	Dividend	N	T					
6. -iShares Russell 2000 Growth Index Fund	A	Dividend	M	T					
7. -iShares Russell 2000 Value Index Fund	B	Dividend	L	T					
8. -Brown Capital Mgmt Small Company Fund	C	Dividend	K	T					
9. -SPDR S&P Dividend Fund	C	Dividend	M	T					
10. -Vanguard Long Term Tax Exempt Fund	B	Dividend	L	T	Buy (add'l)	12/10/21	K		
11. -Vanguard Municipal Bond Tax Exempt Fund	A	Dividend	L	T	Buy (add'l)	08/23/21	J		
12. -SPDR Nuveen Bloomberg Barclays Short Term Tax Exempt Fund	A	Dividend	K	T	Buy (add'l)	03/15/21	J		
13. -iShares MSCI EAFE Fund	C	Dividend	M	T					
14. -iShares Russell MidCap Index Fund	A	Dividend	K	T					
15. -Vanguard Small Cap Fund	A	Dividend	K	T					
16. IRA ACCOUNT #1 (H)									
17. -Charles Schwab Bank Interest Fund	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Friedman, Paul L.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -T. Rowe Price Mid Cap Growth Fund	B	Dividend	J	T	Sold (part)	07/14/21	K	E	
19. -Dodge & Cox Stock Fund	C	Dividend	M	T					
20. -T. Rowe Price Capital Appreciation Fund	E	Dividend	N	T					
21. -T. Rowe Price Growth Stock Fund		None			Sold (part)	03/12/21	L	E	
22.					Sold	12/10/21	L	E	
23. -SPDR S&P Dividend Fund	C	Dividend	M	T	Sold (part)	08/23/21	K	D	
24. -Dodge & Cox Income Fund	D	Dividend	N	T	Buy (add'l)	08/23/21	K		
25.					Buy (add'l)	12/10/21	K		
26. -Vanguard Dividend Appreciation Fund	D	Dividend	N	T	Sold (part)	07/15/21	M	F	
27. -JP Morgan Equity Income Fund	D	Dividend	N	T					
28. -Schwab US Dividend Fund	D	Dividend	N	T					
29. -Vanguard REIT Fund	C	Dividend	M	T					
30. -Vanguard Small Cap Fund	B	Dividend	M	T					
31. -Discover Bank CD	C	Interest	M	T					
32. -US Treasury STRIP		None	M	T					
33. -US Treasury STRIP		None	L	T					
34. -US Treasury STRIP		None			Sold	08/20/21	L	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. -US Treasury STRIP		None	M	T					
36. -Vanguard International Growth Fund	E	Dividend	M	T	Sold (part)	08/20/21	K	D	
37. -iShares 1-3 Year Treasury Fund	A	Dividend			Sold (part)	01/13/21	L	B	
38.					Sold	11/16/21	M	A	
39. -US Treasury STRIP		None	M	T	Buy (add'l)	01/13/21	L		
40. -Baird Aggregate Bond Fund	D	Dividend	N	T	Buy (add'l)	08/23/21	K		
41.					Buy (add'l)	12/10/21	K		
42. -Vanguard Short Term Treasury Fund	A	Dividend			Sold	11/15/21	L	A	
43. -Vanguard Growth Fund	B	Dividend	N	T					
44. -Vanguard Small Cap Fund	A	Dividend	L	T	Sold (part)	12/13/21	L	E	
45. -Vanguard FTSE Developed Markets Fund	D	Dividend	M	T					
46. -iShares Russell Mid Cap Growth Fund	A	Dividend	M	T					
47. -First Eagle Overseas Fund	C	Dividend	L	T	Sold (part)	08/20/21	L	D	
48. -US Treasury STRIP		None	M	T	Buy	03/12/21	L		
49.					Buy (add'l)	07/15/21	L		
50.					Buy (add'l)	08/20/21	L		
51. -Vanguard International Value Fund	C	Dividend	L	T	Buy	08/20/21	L		

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2. Value Codes (See Columns C1 and D3)
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52. -Vanguard Small Cap Value Fund	A	Dividend	L	T	Buy	07/15/21	K		
53. -Vanguard Short-Term Corporate Bond Fund	A	Dividend	L	T	Buy	11/16/21	K		
54.					Buy (add'l)	12/13/21	L		
55. IRA ACCOUNT #2 (H)									
56. -Charles Schwab Bank Interest Fund	A	Interest	J	T					
57. -T. Rowe Price Mid Cap Growth Fund	D	Dividend	M	T					
58. -T. Rowe Price Growth Stock Fund	D	Dividend	M	T	Sold (part)	03/12/21	L	E	
59.					Sold (part)	12/10/21	K	E	
60. -Brown Capital Mgmt Small Company Fund		None			Sold	08/20/21	L	E	
61. -SPDR S&P Dividend Fund	C	Dividend	M	T					
62. -Dodge & Cox Income Fund	C	Dividend	M	T	Buy (add'l)	08/20/21	J		
63.					Buy (add'l)	12/10/21	K		
64. -Vanguard Small Cap Fund	B	Dividend	M	T					
65. -Schwab US Dividend Fund	C	Dividend	M	T					
66. -Capital One Bank CD	A	Interest			Matured	10/13/21	J	A	
67. -US Treasury STRIP		None	L	T					
68. -US Treasury STRIP		None	L	T					

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69. -US Treasury STRIP		None	L	T					
70. -iShares 1-3 Year Treasury Fund	A	Dividend			Sold (part)	03/15/21	J	A	
71.					Sold (part)	11/16/21	K	A	
72.					Sold	12/13/21	K	A	
73. -Baird Aggregate Bond Fund	B	Dividend	M	T	Buy (add'l)	08/20/21	J		
74.					Buy (add'l)	12/10/21	K		
75. -Vanguard FTSE Developed Markets Fund	B	Dividend	K	T					
76. -First Eagle Overseas Fund	B	Dividend	K	T					
77. -Vanguard International Growth Fund	C	Dividend	K	T	Sold (part)	08/20/21	J	B	
78. -US Treasury STRIP		None	L	T					
79. -US Treasury STRIP		None	L	T	Buy	03/12/21	L		
80. -Vanguard Small Cap Value Fund	A	Dividend	L	T	Buy	08/23/21	L		
81. -Vanguard Short Term Corporate Bond Fund	A	Dividend	K	T	Buy	12/13/21	K		
82. MONEY MARKET ACCOUNTS (H)									
83. T. Rowe Price US Treasury Money Fd	A	Interest	L	T					
84. Schwab US Treasury Money Market Fund	A	Interest	K	T					
85. BROKERAGE ACCOUNT: Tax Exempt (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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86. -District of Columbia Revenue Bond	B	Interest	K	T					
87. -District of Columbia Revenue Bond	B	Interest			Redeemed	12/01/21	K	A	
88. -District of Columbia Revenue Bond	B	Interest	K	T					
89. -Maryland State General Obligation Bond	B	Interest	L	T					
90. -Maryland State General Obligation Bond	B	Interest	L	T					
91. -Massachusetts General Obligation Bond	B	Interest	K	T					
92. -Massachusetts General Obligation Bond	B	Interest	K	T	Buy (add'l)	12/06/21	J		
93. -Maryland State Revenue Bond	A	Interest	K	T					
94. -Montgomery County Maryland General Obligation Bond	A	Interest	K	T	Buy	06/16/21	K		
95. -Concord New Hampshire General Obligation Bond		None	K	T	Buy	12/06/21	K		
96. -New Hampshire State General Obligation Bonds		None	K	T	Buy	12/06/21	K		
97. -District of Columbia Revenue Bond		None	L	T	Buy	12/13/21	L		
98. -Harford County Maryland General Obligation Bond		None	L	T	Buy	12/13/21	L		
99. STOCK WARRANTS (H)									
100. -Scios Nova Inc		None	J	T					
101. LIFE INSURANCE (H)									
102. Security Mutual Life Ins. Co. of NY Universal Life Policy	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
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F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 100: Scios Nova Inc stock warrants. Four Contingent Payment Rights issued to former limisted partners of Nova Technology Limited Partnership in 1993.

Part VII, Line 102: Security Mutual Life Ins. Co. Of NY, Universal Life Policy. No underlying investment options.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul L. Friedman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544