AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Friedman, Paul L.	U.S. District Court	12/20/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Courthouse, Rm. 6012 333 Constitution Ave. N.W. Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member, Emeritus Member, Secretary	American Law Institute: Council Member, Emeritus Council Member, Executive Commmittee Member; Secretary (see Part VIII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2022	White & Case Retirement Income Pension Plan Administered by State Street Retiree Service - Pension Plan	$22,509.00
2. 2022	Charles Schwab & Co., Inc., IRA Rollover Account - Required Minimum Distribution	$238,350.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2022	Prudential Insurance Co of America - Retirement Plan Distribution
2. 2022	Charles Schwab & Co., Inc., IRA Rollover Account - Required Minimum Distribution
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Friedman, Paul L.	12/20/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	BROKERAGE ACCOUNT (H)									
2.	-Gabelli Small Cap Fund	D	Dividend	L	T					
3.	-iShares Cohen & Steers Realty Majors Index Fund	B	Dividend	L	T					
4.	-iShares Russell 1000 Growth Index Fund	C	Dividend	N	T					
5.	-iShares Russell 2000 Growth Index Fund	A	Dividend	M	T	Buy (add'l)	02/28/22	J		
6.	-iShares Russell 2000 Value Index Fund	B	Dividend	L	T					
7.	-Brown Capital Mgmt Small Company Fund		None			Sold	02/25/22	K	E	
8.	-SPDR S&P Dividend Fund	C	Dividend	M	T					
9.	-Vanguard Long Term Tax Exempt Fund	A	Dividend			Sold	02/25/22	L	A	
10.	-Vanguard Municipal Bond Tax Exempt Fund	A	Dividend			Sold (part)	06/14/22	K	A	
11.						Buy (add'l)	08/10/22	L		
12.						Sold	10/13/22	L	A	
13.	-SPDR Nuveen Bloomberg Barclays Short Term Tax Exempt Fund		None			Sold	01/18/22	K	A	
14.	-iShares MSCI EAFE Fund	C	Dividend	M	T					
15.	-iShares Russell MidCap Index Fund	A	Dividend	K	T	Buy (add'l)	12/15/22	K		
16.	-Vanguard Small Cap Fund	A	Dividend	K	T					
17.	-Vanguard Limited Term Tax Exempt Fund	B	Dividend	L	T	Buy	01/18/22	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Buy (add'l)	03/14/22	J		
19. -Vanguard Long Term Tax Exempt Fund Adm	A	Dividend			Buy	02/25/22	L		
20.					Sold	08/09/22	L	A	
21. -Vanguard Value Index Fund	A	Dividend	K	T	Buy	12/15/22	K		
22. -iShares National Municipal Bond Fund	A	Dividend			Buy	06/14/22	K		
23.					Sold	10/13/22	K	A	
24. -US Treasury Note		None	K	T	Buy	09/28/22	K		
25.					Buy (add'l)	09/29/22	J		
26. -US Treasury Note		None	L	T	Buy	10/14/22	K		
27.					Buy (add'l)	12/28/22	K		
28. -US Treasury Note		None	K	T	Buy	09/28/22	K		
29.					Buy (add'l)	09/29/22	J		
30. -US Treasury Note		None	K	T	Buy	10/14/22	K		
31. -US Treasury Note		None	K	T	Buy	10/14/22	K		
32. IRA ACCOUNT #1 (H)									
33. -Charles Schwab Bank Money Market Fund	A	Interest	K	T					
34. -T. Rowe Price Mid Cap Growth Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. -Dodge & Cox Stock Fund	D	Dividend	M	T					
36. -T. Rowe Price Capital Appreciation Fund	E	Dividend	N	T					
37. -SPDR S&P Dividend Fund		None			Sold	02/28/22	M	E	
38. -Dodge & Cox Income Fund	D	Dividend	M	T	Sold (part)	06/13/22	M	A	
39. -Vanguard Dividend Appreciation Fund	D	Dividend	N	T					
40. -JP Morgan Equity Income Fund	D	Dividend	N	T					
41. -Schwab US Dividend Fund	D	Dividend	N	T					
42. -Vanguard REIT Fund		None			Sold	02/28/22	M	E	
43. -Vanguard Small Cap Fund	B	Dividend	M	T	Buy (add'l)	12/15/22	K		
44. -Discover Bank CD	C	Interest			Matured	09/13/22	M	B	
45. -US Treasury STRIP		None	M	T					
46. -US Treasury STRIP		None			Buy (add'l)	09/15/22	M		
47.					Matured	11/15/22	M	A	
48. -US Treasury STRIP		None	M	T					
49. -Vanguard International Growth Fund	D	Dividend	M	T					
50. -US Treasury STRIP		None	M	T					
51. -Baird Aggregate Bond Fund	C	Dividend			Sold (part)	06/13/22	M	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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52.					Sold	10/14/22	M	A	
53. -Vanguard Growth Fund	B	Dividend	N	T					
54. -Vanguard Small Cap Growth Fund	A	Dividend	J	T	Sold (part)	12/15/22	L	D	
55. -Vanguard FTSE Developed Markets Fund	C	Dividend	M	T					
56. -iShares Russell Mid Cap Growth Fund	A	Dividend	M	T					
57. -First Eagle Overseas Fund	B	Dividend	L	T					
58. -US Treasury STRIP		None	M	T					
59. -Vanguard International Value Fund	B	Dividend	L	T					
60. -Vanguard Small Cap Value Fund	A	Dividend	K	T					
61. -Vanguard Short-Term Corporate Bond Fund	C	Dividend	M	T	Buy (add'l)	06/14/22	M		
62. -Cohen & Steers Realty Fund	D	Dividend	M	T	Buy	02/28/22	M		
63. -Vanguard Value Index Fund	C	Dividend	M	T	Buy	02/28/22	M		
64. -Vanguard Short-Term Inflation-Protected Fund	D	Dividend	M	T	Buy	06/14/22	M		
65. -US Treasury Note	A	Interest	K	T	Buy	10/18/22	K		
66. -US Treasury Note	A	Interest	K	T	Buy	10/18/22	K		
67. -US Treasury Note	A	Interest	K	T	Buy	10/18/22	K		
68. -US Treasury Note	A	Interest	K	T	Buy	10/18/22	K		

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69. -US Treasury Note	A	Interest	K	T	Buy	10/18/22	K		
70. -US Treasury STRIP		None	K	T	Buy	12/14/22	K		
71. IRA ACCOUNT #2 (H)									
72. -Charles Schwab Bank Interest Fund	A	Interest	J	T					
73. -T. Rowe Price Mid Cap Growth Fund	B	Dividend	L	T					
74. -T. Rowe Price Growth Stock Fund		None			Sold	10/12/22	L	A	
75. -SPDR S&P Dividend Fund		None			Sold	02/28/22	M	F	
76. -Dodge & Cox Income Fund	B	Dividend	L	T	Sold (part)	06/13/22	L	A	
77. -Vanguard Small Cap Fund	B	Dividend	L	T					
78. -Schwab US Dividend Fund	D	Dividend	M	T					
79. -US Treasury STRIP		None			Matured	11/15/22	L	A	
80. -US Treasury STRIP		None	L	T					
81. -US Treasury STRIP		None	L	T					
82. -Baird Aggregate Bond Fund	B	Dividend			Sold (part)	06/13/22	L	A	
83.					Sold	10/12/22	L	A	
84. -Vanguard FTSE Developed Markets Fund	B	Dividend	K	T					
85. -First Eagle Overseas Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. -Vanguard International Growth Fund	B	Dividend	K	T					
87. -US Treasury STRIP		None	L	T					
88. -US Treasury STRIP		None	L	T					
89. -Vanguard Small Cap Value Fund	A	Dividend	L	T					
90. -Vanguard Short Term Corporate Bond Fund	B	Dividend	L	T	Buy (add'l)	06/14/22	K		
91. -Vanguard Value Fund	C	Dividend	M	T	Buy	02/28/22	M		
92. -Vanguard Short-Term Inflation-Protected Fund	C	Dividend	L	T	Buy	06/14/22	L		
93. -iShares Russell 1000 Growth Fund	A	Dividend	L	T	Buy	10/13/22	L		
94. -US Treasury Note		None	J	T	Buy	10/14/22	J		
95. -US Treasury Note		None	J	T	Buy	10/14/22	J		
96. -US Trreasury Note		None	J	T	Buy	10/14/22	J		
97. -US Treasury Note		None	J	T	Buy	10/14/22	J		
98. -US Treasury STRIP		None	K	T	Buy	12/14/22	K		
99. MONEY MARKET ACCOUNTS (H)									
100. T. Rowe Price US Treasury Money Fd	B	Dividend	L	T					
101. Schwab US Treasury Money Market Fund	A	Dividend	K	T					
102. BROKERAGE ACCOUNT: Tax Exempt (H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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103. -District of Columbia Revenue Bond	B	Interest			Matured	12/01/22	K	A	
104. -District of Columbia Revenue Bond	B	Interest			Redeemed	12/01/22	K	A	
105. -Maryland State General Obligation Bond	B	Interest	L	T	Buy (add'l)	12/19/22	K		
106. -Maryland State General Obligation Bond	B	Interest	L	T					
107. -Massachusetts General Obligation Bond	B	Interest	K	T					
108. -Massachusetts General Obligation Bond	B	Interest	L	T	Buy (add'l)	12/20/22	K		
109. -Maryland State Revenue Bond	A	Interest	K	T					
110. -Montgomery County Maryland General Obligation Bond	A	Interest	L	T	Buy (add'l)	12/21/22	K		
111. -Concord New Hampshire General Obligation Bond	A	Interest	K	T					
112. -New Hampshire State General Obligation Bonds	B	Interest	K	T					
113. -District of Columbia Revenue Bond	A	Interest			Sold	09/28/22	K	A	
114. -Harford County Maryland General Obligation Bond	B	Interest	L	T					
115. STOCK WARRANTS (H)									
116. -Scios Nova Inc		None	J	T					
117. LIFE INSURANCE (H)									
118. Security Mutual Life Ins. Co. of NY Universal Life Policy	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: American Law Institute, Member of the Council, 1998-May 2022; Emeritus Member of the Council since may 2022; member of the Executive Committee, 2001-May 2022; Secretary 2013-May 2022.

Part VII, Line 116: Scios Nova Inc stock warrants. Four Contingent Payment Rights issued to former limisted partners of Nova Technology Limited Partnership in 1993.

Part VII, Line 118: Security Mutual Life Ins. Co. Of NY, Universal Life Policy. No underlying investment options.

Name of Person Reporting	Date of Report
Friedman, Paul L.	12/20/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul L. Friedman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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