AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Furman, Jesse M.	U.S. District Court, NY-S	8/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Thurgood Marshall United States Courthouse 40 Centre Street, Room 2202 New York. NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Executor	Estate #1
2.	Trustee	Irrevocable Trust #1
3.	Trustee	[redacted] Trust #1
4.	Trustee	[redacted] Trust #2
5.	Trustee	[redacted] Trust #3

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	[redacted] Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	J.P. Morgan Chase	Secured Line of Credit	P1
2.			
3.			
4.			
5.			

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Furman, Jesse M.	8/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. MF Est LLC	G	Dividend	P1	U					
2. MF Est L.P.	B	Dividend	M	U					
3. 3750 Monroe Avenue Associates, LLC	A	Dividend	J	U					
4. Ashland Greenup LLC	A	Dividend	J	U					
5. AFMB Russell, LLC	D	Dividend	J	U					
6. Kim Fur Retail Holdings LLC	C	Dividend	J	U					
7. Southgate Associates LP	A	Dividend	J	U					
8. Peco Rho LLC	C	Dividend	M	U					
9. MJS Ponce II LP	A	Dividend	J	U					
10. MJS Ponce III LP	A	Dividend	J	U					
11. MFB Ashland Parcel 5 LLC	B	Dividend	J	U					
12. 319 Stanhope LLC	E	Dividend	M	U					
13. Rho Capital Partners 1999 LP	A	Dividend	M	U					
14. Jay Furman Family LLC	A	Dividend	L	U					
15. Jay Furman Investors LLC	D	Dividend	L	U					
16. Akiva Armon, Personal Loan - Note Receivable (Y)									
17. Christina Ponsa, Personal Loan - Note Receivable (Y)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Rental Property, Providence, RI (2011) ($305,000)	D	Rent			Sold	09/14/21	N	E	
19.	Citibank - Checking & Savings	A	Interest	M	T					
20.	Citibank - Checking	A	Interest	J	T					
21.	J.P. Morgan - Checking	A	Interest	P1	T					
22.	J.P. Morgan - Brokerage (H)									
23.	-Vanguard 500 Index-ADM	D	Dividend	O	T					
24.	-Vanguard FTSE All World Ex-US Small Cap		None			Sold	03/03/21	O	G	
25.	-Vanguard European Stock Index-ADM		None			Sold	03/02/21	P1	G	
26.	-iShares MSCI Emerging Market		None			Donated				
27.	-Vanguard FTSE All World Ex-US		None			Donated				
28.	-Vanguard FTSE All World Ex-US		None			Sold	03/03/21	O	G	
29.	-Vanguard FTSE All World Ex-US		None			Donated (part)				
30.	-US Dollar JPM Deposit Sweep	A	Interest	L	T					
31.	-JPM Ultra Short Muni Fund	A	Dividend	N	T					
32.	-Vanguard Total Bond Market index - ADM	B	Dividend	L	T					
33.	-Edgewood Growth Fund-INST EGFIX	C	Dividend	O	T	Buy	03/02/21	O		
34.	-Polen Growth Fund-INST - POLI	C	Dividend	O	T	Buy	03/02/21	O		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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35. -WCM Focused Intl Growth-INST		None	O	T	Buy	03/02/21	O		
36. -MSIF Asia Opportunity-I		None	O	T	Buy	03/02/21	O		
37. -ARK Innovation ETF		None	O	T	Buy	03/03/21	O		
38. -Sands Cap Global Growth-INS		None	O	T	Buy	03/02/21	O		
39. J.P. Morgan - CMAP	C	Interest	P1	T					
40. J.P. Morgan Chase (Inherited IRA) (H)									
41. -Edgewood Growth Funds	B	Interest	M	T					
42. -US Dollar JPM Deposit Sweep (Inherited IRA)	A	Interest	K	T					
43. J.P. Morgan (Jesse Furman IRA) (H)									
44. -Vanguard 500 Index-ADM	B	Dividend	L	T					
45. J.P. Morgan ([redacted] IRA) (H)									
46. -Dodge & Cox Stock	B	Dividend	M	T	Buy	08/18/21	M		
47. -Edgewood Growth Fund-INST		None	M	T	Buy	08/18/21	M		
48. -Polen Growth Fund-INST		None	M	T	Buy	08/18/21	M		
49. -Vanguard 500 INDEX ADM	B	Dividend	M	T	Buy	08/18/21	M		
50. -iShares Russell 2000 ETF	A	Dividend	M	T	Buy	08/18/21	M		
51. -WCM Focused Intl Growth INST	A	Dividend	M	T	Buy	08/18/21	M		

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2. Value Codes (See Columns C1 and D3)
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52. -MSIF ASIA Opportunity-I		None	L	T	Buy	08/17/21	L		
53. -AB Sustain Global them-ADV	A	Dividend	M	T	Buy	08/17/21	M		
54. -ARK Innovation ETF		None	L	T	Buy	08/17/21	L		
55. -Ecofin GLBL Renew Infra-INST	A	Dividend	L	T	Buy	08/17/21	L		
56. -iShares Global Clean Energy	A	Dividend	L	T	Buy	08/18/21	L		
57. - US Dollar JPM Deposite Sweep	A	Interest	J	T					
58. Vanguard IRA#3 (H) (Y)									
59. -Vanguard Long-Term Bond Index Fund (Retirement)	D	Dividend			Sold	06/09/21	M	G	
60. -Vanguard Intermediate-Term Bond Index Fund (Retirement)	C	Dividend			Sold	06/09/21	M	G	
61. -Vanguard Extended Market Index Fund (Retirement)	C	Dividend			Sold	06/09/21	M	G	
62. -Vanguard Inst Index Fund (Retirement)	D	Dividend			Sold	06/09/21	M	G	
63. MassMutual (IRA #4) (H)									
64. -SF Guaranteed	A	Interest			Sold	08/31/21	K	C	
65. -BlackRock iShrs US Ag Bd In Fd	A	Dividend			Sold	08/31/21	L	E	
66. -MM S&P 500 Index Fd(Nrthrn Tr)	B	Dividend			Sold	08/31/21	M	F	
67. -MM S&P Md Cp Indx Fd (Nrtn Tr)	A	Dividend			Sold	08/31/21	K	C	
68. -MM Rsl 2000 SmCp Idx Fd (N Tr)	A	Dividend			Sold	08/31/21	L	E	

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69. -MM MSCI EAFE Intl Idx Fd(N Tr)	B	Dividend			Sold	08/31/21	L	E	
70. TIAA (IRA #4) (H) (X)									
71. -T-C Lfcycle Idx 2035-Inst	D	Dividend	N	T					
72. MFB IV, Personal Loan - Note Receivable (Y)									
73. Estate #1 (H)									
74. -J.P. Morgan (Checking)		None	J	T					
75. -J.P. Morgan (Savings)	A	Interest	J	T					
76. -JPM 100% US Treasury FD Premier fund	A	Dividend	L	T					
77. -Greycroft Managers II, LLC	A	Int./Div.	M	U					
78. -Greycroft Managers III, LLC	A	Int./Div.	M	U					
79. -Greycroft Growth, LLC	A	Int./Div.	M	U					
80. -Greycroft Partners Annex Fund, L.P.	E	Distribution	M	U					
81. -Greycroft Partners II, L.P.	E	Distribution	M	U					
82. -Greycroft Partners III, L.P.	E	Distribution	N	U					
83. -Greycroft Growth, L.P.	F	Distribution	N	U					
84. -Frontier Payments LLC (Y)									
85. -Hunting Lane SPV I, LLC	C	Distribution	J	U					

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86. -Rho Ventures IV (QP), L.P.	F	Distribution	L	U					
87. -Sterling American IV-B, LP	A	Distribution	K	U					
88. -Property 1, NY, NY (10/21/19)		None	P1	Q					
89. Irrevocable Trust #1 (H) (X)									
90. -iShares Core S&P US	B	Dividend	L	T	Buy	09/02/21	L		
91. -iShares Core S&P US		None	L	T	Buy (add'l)	12/13/21	L		
92. [redacted] Trust #1 (H) (X)									
93. -Vanguard TTL STK Mkt-ADM	C	Dividend	N	T	Buy	09/01/21	N		
94. -Dodge & Cox Stock - I	D	Dividend	N	T	Buy	09/01/21	N		
95. -Edgewood Growth Fund-INST	E	Dividend	N	T	Buy	09/01/21	N		
96. -Sands Cap Global Growth-INS	E	Dividend	N	T	Buy	09/01/21	N		
97. [redacted] Trust # 2 (H) (X)									
98. -Vanguard TTL STK Mkt-ADM	C	Dividend	N	T	Buy	09/01/21	N		
99. -Dodge & Cox Stock - I	D	Dividend	N	T	Buy	09/01/21	N		
100. -Edgewood Growth Fund-INST	E	Dividend	N	T	Buy	09/01/21	N		
101. -Sands Cap Global Growth-INS	E	Dividend	N	T	Buy	09/01/21	N		
102. [redacted] Trust #3 (H) (X)									

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103. -Vanguard TTL STK Mkt-ADM	C	Dividend	N	T	Buy	09/01/21	N		
104. -Dodge & Cox Stock - I	D	Dividend	N	T	Buy	09/01/21	N		
105. -Edgewood Growth Fund-INST	E	Dividend	N	T	Buy	09/01/21	N		
106. -Sands Cap Global Growth-INS	E	Dividend	N	T	Buy	09/01/21	N		

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3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The 2021 K-1 statements for MF Est LLC, MF Est LP, Monroe Ave Associates, LLC Ashland Greenup LLC, AFMB Russell LLC, Kim Fur Retail Holdings LLC, Soughtgate Associates LP, Peco Rho LLC, MJS Ponce II LP, MJS Ponce III LP, MFB Ashland Parcel 5 LLC, Stanhope LLC, Rho Capital Partners 1099 LP, JAY Furman Family LLC, Jay Furman Investors LLC are not yet available; accordingly, the 2020 K-1 Statements were used.

With respect to the assets of Estate #1: The 2021 K-1 Statements for Greycroft Managers II LLC, Greycroft Managers III, LLC, Greycroft Growth, L.P, Frontier Payments LLC, Sterling American Prop IV,and RHO Ventures IV are not available; accordingly, the 2020 K-1 Statements were used.

Name of Person Reporting	Date of Report
Furman, Jesse M.	8/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jesse M. Furman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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