AO 10
Rev. 1/2015

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Furman, Jesse M. | U.S. District Court, NY-S | 8/13/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| District Judge - Active Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2023<br>**to**<br>12/31/2023 |
| **7. Chambers or Office Address** | | |
| Thurgood Marshall United States Courthouse<br>40 Centre Street, Room 2202<br>New York. NY 10007 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Trustee | Irrevocable Trust #1 |
| 2. | Trustee | [redacted] Trust #1 |
| 3. | Trustee | [redacted] Trust #2 |
| 4. | Trustee | [redacted] Trust #3 |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Furman, Jesse M.** | 8/13/2024 |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | 2023 | [redacted] Salary |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | University of Virginia Law School | 11/8-10/2023 | Charlottesville, VA | Moot Court | Transportation, Food |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | J.P. Morgan Chase | Secured Line of Credit | P1 |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Furman, Jesse M.** | 8/13/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 1. MF Est LLC | H1 | Distribution | J | U | | | | | |
| 2. MF Est L.P. | A | Distribution | N | U | | | | | |
| 3. 3750 Monroe Avenue Associates, LLC | A | Distribution | J | U | | | | | |
| 4. Ashland Greenup LLC | A | Distribution | J | U | | | | | |
| 5. AFMB Russell, LLC | D | Distribution | J | U | | | | | |
| 6. Kim Fur Retail Holdings LLC | D | Distribution | J | U | | | | | |
| 7. Southgate Associates LP | A | Distribution | J | U | | | | | |
| 8. MJS Ponce II LP | A | Distribution | J | U | | | | | |
| 9. MJS Ponce III LP | A | Distribution | J | U | | | | | |
| 10. MFB Ashland Parcel 5 LLC | B | Distribution | K | U | | | | | |
| 11. 319 Stanhope LLC | E | Distribution | M | U | | | | | |
| 12. Jay Furman Family LLC | A | Distribution | L | U | | | | | |
| 13. Jay Furman Investors LLC | E | Distribution | L | U | | | | | |
| 14. Citibank - Checking & Savings | A | Interest | L | T | | | | | |
| 15. J.P. Morgan - Checking | A | Interest | P1 | T | | | | | |
| 16. J.P. Morgan - Brokerage (H) | | | | | | | | | |
| 17. -Vanguard 500 Index-ADM | D | Dividend | O | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Furman, Jesse M.** | 8/13/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 18. | -JPM NY Muni MMKT FD-USD-Prem | A | Interest | M | T | Buy (add'l) | 12/05/23 | L | | |
| 19. | -JPM Managed Income FD-USD-L | E | Interest | O | T | | | | | |
| 20. | -US Dollar JPM Deposit Sweep | A | Interest | J | T | | | | | |
| 21. | -JPM Ultra Short Muni Fund (Y) | | | | | | | | | |
| 22. | -Vanguard Total Bond Market index - ADM | A | Interest | | | Sold | 12/05/23 | L | A | |
| 23. | -Edgewood Growth Fund-INST EGFIX | | None | O | T | | | | | |
| 24. | -Polen Growth Fund-INST - POLI | | None | O | T | | | | | |
| 25. | -WCM Focused Intl Growth-INST | C | Distribution | O | T | | | | | |
| 26. | -MSIF Asia Opportunity-I | B | Dividend | N | T | | | | | |
| 27. | -ARK Innovation ETF | | None | N | T | | | | | |
| 28. | -Sands Cap Global Growth-INS | | None | O | T | | | | | |
| 29. | J.P. Morgan - CMAP Managed Bond Strategy | E | Interest | P1 | T | Buy (add'l) | 12/05/23 | N | | |
| 30. | J.P. Morgan Chase (Inherited IRA) (H) | | | | | | | | | |
| 31. | -Edgewood Growth Funds | | None | L | T | | | | | |
| 32. | -US Dollar JPM Deposit Sweep | A | Interest | J | T | | | | | |
| 33. | J.P. Morgan (IRA #1) (H) | | | | | | | | | |
| 34. | -Vanguard 500 Index-ADM | B | Dividend | L | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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|---|---|---|---|---|---|---|---|---|---|
| 35. J.P. Morgan (IRA #2) (H) | | | | | | | | | |
| 36. -Dodge & Cox Stock | B | Distribution | M | T | | | | | |
| 37. -Edgewood Growth Fund-INST | | None | L | T | | | | | |
| 38. -Polen Growth Fund-INST | | None | L | T | | | | | |
| 39. -Vanguard 500 INDEX ADM | B | Dividend | M | T | | | | | |
| 40. -iShares Russell 2000 ETF | B | Dividend | L | T | | | | | |
| 41. -WCM Focused Intl Growth INST | A | Distribution | L | T | | | | | |
| 42. -MSIF ASIA Opportunity-I | A | Dividend | K | T | | | | | |
| 43. -AB Sustain Global them-ADV | A | Dividend | L | T | | | | | |
| 44. -ARK Innovation ETF | | None | K | T | | | | | |
| 45. -Ecofin GLBL Renew Infra-INST | B | Dividend | K | T | | | | | |
| 46. -iShares Global Clean Energy | A | Dividend | K | T | | | | | |
| 47. - US Dollar JPM Deposit Sweep | A | Interest | J | T | | | | | |
| 48. TIAA (IRA #3) (H) | | | | | | | | | |
| 49. -T-C Lfcycle Idx 2035-Inst | E | Dividend | O | T | | | | | |
| 50. Irrevocable Trust #1 (H) | | | | | | | | | |
| 51. -iShares Core S&P US | C | Dividend | M | T | Buy (add'l) | 12/05/23 | L | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 52. -PROSHARES S&P 500 Dividend | B | Dividend | L | T | | | | | |
| 53. -US Dollar JPM Deposit Sweep | A | Interest | J | T | | | | | |
| 54. [redacted] Trust #1 (H) | | | | | | | | | |
| 55. -Vanguard TTL STK Mkt-ADM | D | Dividend | N | T | | | | | |
| 56. -Dodge & Cox Stock - I | D | Distribution | N | T | Sold (part) | 03/24/23 | K | A | |
| 57. -Edgewood Growth Fund-INST | | None | N | T | | | | | |
| 58. -Sands Cap Global Growth-INS | | None | N | T | | | | | |
| 59. -US Dollar JPM Deposit Sweep | A | Interest | J | T | Buy (add'l) | 03/24/23 | K | | |
| 60. [redacted] Trust # 2 (H) | | | | | | | | | |
| 61. -Vanguard TTL STK Mkt-ADM | D | Dividend | N | T | | | | | |
| 62. -Dodge & Cox Stock - I | D | Distribution | N | T | Sold (part) | 03/24/23 | K | A | |
| 63. -Edgewood Growth Fund-INST | | None | N | T | | | | | |
| 64. -Sands Cap Global Growth-INS | | None | N | T | | | | | |
| 65. -US Dollar JPM Deposit Sweep | A | Interest | J | T | Buy (add'l) | 03/24/23 | K | | |
| 66. [redacted] Trust #3 (H) | | | | | | | | | |
| 67. -Vanguard TTL STK Mkt-ADM | D | Dividend | N | T | | | | | |
| 68. -Dodge & Cox Stock - I | D | Distribution | N | T | Sold (part) | 03/24/23 | K | A | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
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E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
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Q =Appraisal
R =Cost (Real Estate Only)
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| Name of Person Reporting | Date of Report |
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| **Furman, Jesse M.** | 8/13/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 69. -Edgewood Growth Fund-INST | | None | N | T | | | | | |
| 70. -Sands Cap Global Growth-INS | | None | N | T | | | | | |
| 71. -US Dollar JPM Deposit Sweep | A | Interest | J | T | Buy (add'l) | 03/23/23 | K | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The 2023 K-1 statements for MF Est LLC, MF Est LP, 3750 Monroe Ave Associates, Ashland Greenup LLC, AFMB Russell LLC, Kim Fur Retail Holdings LLC, Southgate Associates LP, MJS Ponce II LP, MJS Ponce III LP, MFB Ashland Parcel 5 LLC, 319 Stanhope LLC, Jay Furman Family LLC, Jay Furman Investors LLC are not yet available; accordingly, the 2022 K-1 Statements were used.

| Name of Person Reporting | Date of Report |
|---|---|
| **Furman, Jesse M.** | 8/13/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Jesse M. Furman**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544