AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gallagher, John M.	U.S. District Court, Eastern District of Pennsylvania	08/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court (Active)	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2021 **to** 12/31/2021
7. Chambers or Office Address 504 W Hamilton St. Allentown, PA 18101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Gallagher, John M.	08/12/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	ECW Press Ltd. Book Royalty	$377.22
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed (accountant)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Gallagher, John M.	08/12/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gallagher, John M.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. EMBASSY BANK BALANCE (cash)		None	M	T					
3. GUARDIAN WHOLE LIFE INSURANCE #1	D	Dividend	L	T					
4. GUARDIAN WHOLE LIFE INSURANCE #2	B	Dividend	K	T					
5. ACCOUNT #1 (H)									
6. SCHWAB INTERMEDIATE TERM US TRS ETF (SCHR)	A	Dividend	J	T	Buy	11/10/21	J		
7. SCHWAB INTERNATIONAL SMALL CAP EQY ETF (SCHC)	A	Dividend	J	T	Buy	11/10/21	J		
8. SCHWAB US BROAD MARKET ETF (SCHB)	A	Dividend	J	T	Buy	11/10/21	J		
9. SPDR S&P 600 SMALL CAP VALUE ETF IV (SLYV)	A	Dividend	J	T	Buy	11/10/21	J		
10. VANGUARD FTSE DEVELOPED MKTS ETF IV (VEA)	A	Dividend	J	T	Buy	11/10/21	J		
11. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	J	T	Buy	11/10/21	J		
12. VANGUARD INTERMEDIATE TERM COR ETF (VCIT)	A	Dividend	J	T	Buy	11/10/21	J		
13. VANGUARD SHORT TERM COR BD ETF (VCHS)	A	Dividend	J	T	Buy	11/10/21	J		
14. VANGUARD TOTAL INTERNATIONAL BND ETF (BNDX)	A	Dividend	J	T	Buy	11/10/21	J		
15. ACCOUNT #2 (H)									
16. GUIDEPATH GROWTH ALLOCATION FUND (GPSTX)	B	Dividend	L	T					
17. GUIDEPATH CONSERVE ALLOCATION FUND (GPTCX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
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18.	GUIDEPATH TACTICAL ALLOCATION FUND (GPTUX)	A	Dividend	K	T					
19.	GUIDEPATH MANAGED FUTURES STRATEGY (GPMFX)	A	Dividend	J	T					
20.	GUIDEMARK LARGE CAP CORE (GMLGX)	A	Dividend	K	T					
21.	GUIDE MARK WORLD EX-US (GMWEX)	A	Dividend	J	T					
22.	ACCOUNT #3 (H)									
23.	GUARDIAN INTERNATIONAL VALUE VIP FUND		None	J	T					
24.	ACCOUNT #4 (H)									
25.	WELLS FARGO STABLE RETURN I35	A	Dividend	J	T					
26.	ACCOUNT #5 (H)									
27.	AMERICAN FUNDS WASH MUTUAL INV FUND		None	L	T	Sold (part)	03/14/21	J		
28.						Sold (part)	12/14/21	J		
29.	LVIP AF PRESERVATION		None	K	T	Buy (add'l)	03/14/21	J		
30.	AMERICAN FUNDS MR GROWTH LARGE CAP		None	K	T					
31.	AMER FUNDS MR INTERNATIONAL GLOBAL EQUITY		None	K	T	Buy (add'l)	12/14/21	J		
32.	ACCOUNT #6 (H)									
33.	ISHARES NATIONAL MUNI BOND ETF (MUB)	A	Dividend	J	T	Buy	09/24/21	J		
34.	ISHARES SHORT TERM NTNL MUN BND ETF (SUB)	A	Dividend	J	T	Buy	09/24/21	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. SCHWAB INTERNATNAL SMALL CAP EQY ETF (SCHC)	A	Dividend	J	T	Buy	09/24/21	J		
36. SCHWAB US BROAD MARKET ETF (SCHB)	A	Dividend	J	T	Buy	09/24/21	J		
37. SPDR S&P 600 SMALL CAP VALUE ETF IV (SLYV)	A	Dividend	J	T	Buy	09/24/21	J		
38. VANGUARD FTSE DEVELOPED MKTS ETF IV (VEA)	A	Dividend	J	T	Buy	09/24/21	J		
39. VANGUARD FTSE EMERGING MARK ETF IV (VWO)	A	Dividend	J	T	Buy	09/24/21	J		
40. ACCOUNT #7 (H)									
41. NH PORTFOLIO 2021 (FIDELITY FUNDS)		None	K	T					
42. ACCOUNT #8 (H)									
43. NH PORTFOLIO 2021 (FIDELITY FUNDS)		None			Sold	01/05/21	K		
44. ACCOUNT #9 (H)									
45. First Trust Global Tactical Fund (FTGC)		None			Sold	05/01/21	J		
46. ISHARES TRU ESG AWR USO CORPBND ETF (SUSC)	A	Dividend	J	T	Buy	05/01/21	J		
47. ISHARES ESG AWR 1 5 Y USO CP BND ETF (SUSB)	A	Dividend	J	T	Buy	05/01/21	J		
48. ISHARES GLOBAL REIT ETF (REET)	A	Dividend	J	T					
49. ISHARES 0-5 YEAR TIPS BOND ETFIV (STIP)	A	Dividend	J	T	Buy	05/01/21	J		
50. SCHWAB INTERMEDIATE TERM USTRS ETF (SCHR)	A	Dividend	J	T	Buy	05/01/21	J		
51. VANGUARD TOTAL INTERNATIONAL BND ETF (BNDX)	A	Dividend	J	T	Buy	05/01/21	J		

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52.	iShares Treasury Inflation Protected Bonds (TIP)		None			Sold	05/01/21	J		
53.	Schwab Short Term US Treasury ETF (SCHO)	A	Dividend			Sold	05/01/21	J		
54.	Doubleline Core Fixed Income Fund (DBLFX)		None			Sold	05/01/21	J		
55.	PIMCO International Bond Fund (PFORX)		None			Sold	05/01/21	J		
56.	First Eagle Global Bond Fund A (SGENX)		None			Sold	05/01/21	J		
57.	FPA Crescent Fund (FPACX)		None			Sold	05/01/21	J		
58.	PAX INTERNATIONAL SUSTAINABLE ECON INV (PXINX)	A	Dividend	K	T					
59.	PAX LARGE CAP FUND INDIVIDUAL INVESTOR (PAXLX)	B	Dividend	K	T					
60.	PAX SMALL CAP INVESTOR (PXSCX)	A	Dividend	J	T					
61.	ACCOUNT #10 (H)									
62.	New York City Police Pension Fund (cash)		None			Closed	11/05/21	K		

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	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Account #9: Transaction dates for this account are not provided by the broker. Despite request, the filer has been unable to secure those details. As a result, the transaction dates listed in this account are based upon the filer's best knowledge, information, and belief.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John M. Gallagher

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544