**Annual, 2025**

## Financial Disclosure Report (AO Form 10)

**Filer's Information**
**Garaufis, Nicholas G.**
U.S. District Court - New York Eastern
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

**/s/ Garaufis, Nicholas G. [electronically signed on Aug 11, 2026 11:48am by Garaufis, Nicholas G. in JEFS]**

### I. Positions

I do not have any Positions to report
No entries in this section

### II. Agreements

| | EMPLOYER OR PARTY NAME | TERMS | AGREEMENT START MONTH | AGREEMENT START YEAR |
|---|---|---|---|---|
| 1. | ABC, INC | PENSION PLAN MONTHLY SURVIVOR BENEFIT | February | 1997 |

### III A. Filer Non-Investment Income

| | SOURCE | INCOME TYPE | INCOME AMOUNT | HONORARIUM DATE |
|---|---|---|---|---|
| 1. | ABC INC (FIDELITY INVESTMENT) | Fixed Retirement / Pension Benefits | $11,422.00 | |

### III B. Spouse Non-Investment Income

| | SOURCE | DESCRIPTION | HONORARIUM | HONORARIUM AMOUNT | HONORARIUM DATE |
|---|---|---|---|---|---|
| 1. | JP MORGAN CHASE | PENSION INCOME (1099-R) | | $0.00 | |
| 2. | CITIZENS BUDGET COMMISSION | CONSULTING INCOME (1099-NEC) | | $0.00 | |

### IV. Reimbursements

I do not have any Reimbursements to report
No entries in this section

## V. Gifts

I do not have any Gifts to report
No entries in this section

## VI. Liabilities

I do not have any Liabilities to report
No entries in this section

## VII. Investments and Trusts

| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|
| 1. | **ABC PENSION PLAN** | | None | $15,000 or less | Cash/Market |
| 2. | **AB BERNSTEIN** | $1,000 or less | Interest | $15,001 - $50,000 | Cash/Market |
| 3. | **BERNSTEIN DIVERSIFIED MUNICIPAL PORTFOLIO** | $2,501 - $5,000 | Dividend | $100,001 - $250,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy (add'l) | Jan 1, 2025 | $15,000 or less |
| (2). | Buy (add'l) | Jan 17, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Feb 20, 2025 | $15,000 or less |
| (4). | Buy (add'l) | Mar 20, 2025 | $15,000 or less |
| (5). | Buy (add'l) | Apr 17, 2025 | $15,000 or less |
| (6). | Buy (add'l) | May 20, 2025 | $15,000 or less |
| (7). | Buy (add'l) | Jun 20, 2025 | $15,000 or less |
| (8). | Buy (add'l) | Jul 18, 2025 | $15,000 or less |
| (9). | Buy (add'l) | Aug 20, 2025 | $15,000 or less |
| (10). | Buy (add'l) | Sep 19, 2025 | $15,000 or less |
| (11). | Buy (add'l) | Oct 20, 2025 | $15,000 or less |
| (12). | Buy (add'l) | Nov 20, 2025 | $15,000 or less |
| (13). | Buy (add'l) | Dec 19, 2025 | $15,000 or less |

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|---|---|---|---|---|---|
| 4. | **AB TAX MANAGED WEALTH APPRECIATION STRATEGY ADV CL** | $5,001 - $15,000 | Dividend,Gain | $500,001 - $1,000,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold (part) | Jan 23, 2025 | $15,000 or less |
| (2). | Sold (part) | Apr 23, 2025 | $15,000 or less |
| (3). | Sold (part) | Jul 23, 2025 | $15,000 or less |
| (4). | Sold (part) | Oct 23, 2025 | $15,000 or less |
| (5). | Buy (add'l) | Dec 18, 2025 | $15,001 - $50,000 |

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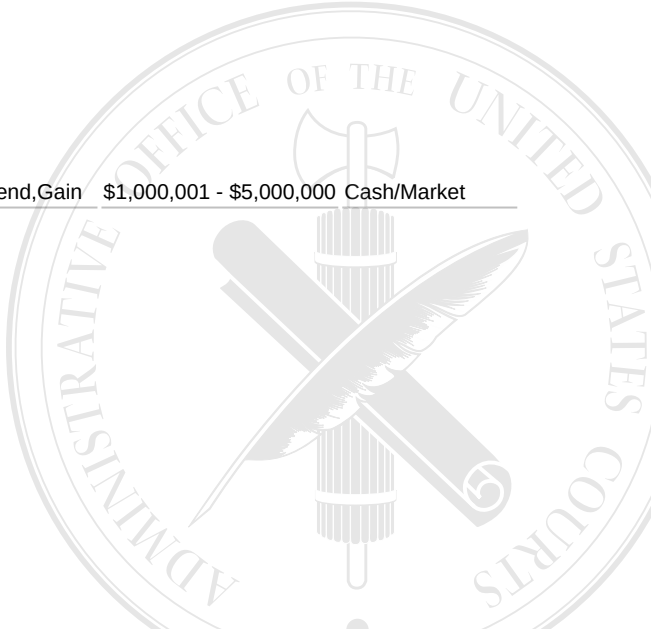
|---|---|---|---|---|---|
| 5. | **AB WEALTH APPRECIATION STRATEGY FD ADV CL** | $50,001 - $100,000 | Dividend,Gain | $1,000,001 - $5,000,000 | Cash/Market |



| DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold (part) | Jan 23, 2025 | $15,000 or less |
| (2). | Sold (part) | Feb 20, 2025 | $15,001 - $50,000 |
| (3). | Buy (add'l) | Apr 11, 2025 | $15,000 or less |
| (4). | Sold (part) | Apr 23, 2025 | $15,000 or less |
| (5). | Sold (part) | Jul 23, 2025 | $15,000 or less |
| (6). | Sold (part) | Jul 28, 2025 | $15,001 - $50,000 |
| (7). | Sold (part) | Oct 23, 2025 | $15,000 or less |
| (8). | Sold (part) | Nov 21, 2025 | $15,000 or less |
| (9). | Buy (add'l) | Dec 18, 2025 | $100,001 - $250,000 |

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|---|---|---|---|---|---|
| 6. | **AB GLOBAL BOND FUND ADVISOR** | $5,001 - $15,000 | Dividend | $250,001 - $500,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy (add'l) | Jan 3, 2025 | $15,000 or less |
| (2). | Buy (add'l) | Feb 4, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Mar 4, 2025 | $15,000 or less |
| (4). | Buy (add'l) | Apr 2, 2025 | $15,000 or less |
| (5). | Buy (add'l) | Apr 11, 2025 | $15,000 or less |
| (6). | Buy (add'l) | May 2, 2025 | $15,000 or less |
| (7). | Buy (add'l) | Jun 3, 2025 | $15,000 or less |
| (8). | Buy (add'l) | Jul 2, 2025 | $15,000 or less |
| (9). | Buy (add'l) | Jul 28, 2025 | $15,000 or less |
| (10). | Buy (add'l) | Aug 4, 2025 | $15,000 or less |
| (11). | Buy (add'l) | Sep 3, 2025 | $15,000 or less |
| (12). | Buy (add'l) | Oct 2, 2025 | $15,000 or less |
| (13). | Buy (add'l) | Nov 4, 2025 | $15,000 or less |
| (14). | Buy (add'l) | Dec 2, 2025 | $15,000 or less |
| (15). | Buy (add'l) | Dec 19, 2025 | $15,000 or less |

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|---|---|---|---|---|---|
| 7. | **AB GOVERNMENT MONEY MARKET PORTFOLIO** | $2,501 - $5,000 | Dividend,Gain | $15,001 - $50,000 | Cash/Market |

| DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy (add'l) | Jan 1, 2025 | $15,000 or less |
| (2). | Buy (add'l) | Jan 31, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Feb 21, 2025 | $15,001 - $50,000 |
| (4). | Buy (add'l) | Feb 28, 2025 | $15,000 or less |
| (5). | Sold (part) | Mar 24, 2025 | $15,000 or less |
| (6). | Sold (part) | Mar 27, 2025 | $15,000 or less |
| (7). | Buy (add'l) | Mar 31, 2025 | $15,000 or less |
| (8). | Buy (add'l) | Apr 30, 2025 | $15,000 or less |
| (9). | Sold (part) | May 28, 2025 | $15,000 or less |
| (10). | Buy (add'l) | May 30, 2025 | $15,000 or less |
| (11). | Sold (part) | Jun 9, 2025 | $15,001 - $50,000 |
| (12). | Buy (add'l) | Jun 30, 2025 | $15,000 or less |
| (13). | Sold (part) | Jul 21, 2025 | $15,000 or less |
| (14). | Buy (add'l) | Jul 31, 2025 | $15,000 or less |
| (15). | Sold (part) | Aug 5, 2025 | $15,000 or less |
| (16). | Sold (part) | Aug 21, 2025 | $15,000 or less |
| (17). | Buy (add'l) | Aug 29, 2025 | $15,000 or less |
| (18). | Buy (add'l) | Sep 30, 2025 | $15,000 or less |
| (19). | Sold (part) | Oct 8, 2025 | $15,000 or less |
| (20). | Sold (part) | Oct 22, 2025 | $15,000 or less |
| (21). | Buy (add'l) | Oct 31, 2025 | $15,000 or less |
| (22). | Buy (add'l) | Nov 28, 2025 | $15,000 or less |
| (23). | Sold (part) | Dec 22, 2025 | $15,001 - $50,000 |

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|---|---|---|---|---|---|
| 8. | **AB CORE BOND PORTFOLIO** | $5,001 - $15,000 | Dividend | $250,001 - $500,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy (add'l) | Jan 1, 2025 | $15,000 or less |
| (2). | Buy (add'l) | Jan 17, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Feb 20, 2025 | $15,000 or less |
| (4). | Buy (add'l) | Mar 20, 2025 | $15,000 or less |
| (5). | Buy (add'l) | Apr 11, 2025 | $15,000 or less |
| (6). | Buy (add'l) | Apr 17, 2025 | $15,000 or less |
| (7). | Buy (add'l) | May 20, 2025 | $15,000 or less |
| (8). | Buy (add'l) | Jun 20, 2025 | $15,000 or less |
| (9). | Buy (add'l) | Jul 18, 2025 | $15,000 or less |
| (10). | Buy (add'l) | Jul 28, 2025 | $15,000 or less |
| (11). | Buy (add'l) | Aug 20, 2025 | $15,000 or less |
| (12). | Buy (add'l) | Sep 19, 2025 | $15,000 or less |
| (13). | Buy (add'l) | Oct 20, 2025 | $15,000 or less |
| (14). | Buy (add'l) | Nov 20, 2025 | $15,000 or less |
| (15). | Buy (add'l) | Dec 17, 2025 | $15,000 or less |
| (16). | Buy (add'l) | Dec 19, 2025 | $15,000 or less |

## VIII. Additional Information or Explanations

| | ADDITIONAL INFORMATION OR EXPLANATION |
|---|---|
| 1. | PART VII, ASSET 1, ABC INC PENSION PLAN. I AM DESIGNATED TO RECEIVE MONTHLY PENSION BENEFITS UNDER MY LATE WIFE'S PENSION PLAN. PART III DISCLOSES INCOME RECEIVED. THESE PAYMENTS WILL CEASE UPON MY DEATH. |

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

**ADDITIONAL INFORMATION OR EXPLANATION**

PART VII, ASSET 8

2. NAME CHANGE. AB CORE BOND PORTFOLIO IS THE SAME FUND PREVIOUSLY REPORTED AS BERNSTEIN INTERMEDIATE DURATION PORTFOLIO. FUND WAS RENAMED AB CORE BOND PORTFOLIO; EFFECTIVE IN 2025. TICKER REMAINED SNIDX.

Produced by Administrative Office of the United States Courts