AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gardephe, Paul G.	U.S. District Court, SDNY	05/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge, senior status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 40 Foley Square New York, New York 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board Member	Sunsglow
2.	Adjunct Professor of Law	New York Law School
3.	Adjunct Professor of Law	Fordham Law School
4.	Executor	Estate of [redacted]
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2003	with Time Warner Inc., regarding deferred compensation plan, Time Warner Savings Plan
2.	2008	with Patterson Belknap Webb & Tyler, LLP, regarding capital account and fees earned while a firm partner, and 401k, money purchase, and cash balance plans
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2023	New York Law School, wages	$7,500.00
2. 2023	Fordham Law School, wages	$2,500.00
3. 2023	AT&T Inc. (Warner Media) pension and excess pension	$21,730.32
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2023	Justice Innovation Inc., wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gardephe, Paul G.	05/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Dreyfus Government Cash Management - Wealth Shares (Money Market Fund)	D	Dividend	M	T	Redeemed (part)	01/04/23	J		
2.					Redeemed (part)	01/20/23	J		
3.					Redeemed (part)	01/24/23	J		
4.					Redeemed (part)	01/24/23	J		
5.					Buy (add'l)	02/08/23	J		
6.					Redeemed (part)	03/22/23	J		
7.					Redeemed (part)	03/24/23	K		
8.					Redeemed (part)	04/14/23	J		
9.					Redeemed (part)	04/20/23	J		
10.					Redeemed (part)	04/26/23	J		
11.					Redeemed (part)	05/15/23	J		
12.					Redeemed (part)	06/12/23	J		
13.					Redeemed (part)	06/22/23	J		
14.					Buy (add'l)	06/26/23	L		
15.					Buy (add'l)	11/30/23	J		
16.					Redeemed (part)	12/29/23	J		
17. Apple Bank cert of deposit	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Gardephe, Paul G.	05/08/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Neuberger Berman Int'l Equity Fund	D	Dividend	L	T					
19. Janus Henderson Growth and Income Fund	F	Dividend	N	T					
20. Fidelity Magellan Fund	E	Dividend	L	T					
21. Neuberger Berman Large Cap Value Fund (f/k/a NB Partners Fund)		None	K	T					
22. AT&T Inc. Common Stock (f/k/a Time Warner Stock Fund)		None	J	T					
23. New York College Savings Plan (no control other than risk) (H)									
24. -- Income Portfolio	A	Dividend	K	T	Buy (add'l)	12/08/23	J		
25. -- Income Portfolio					Redeemed (part)	12/04/23	J		
26. -- Income Portfolio					Redeemed (part)	08/22/23	J		
27. -- Aggressive Growth Portfolio	E	Dividend	M	T	Buy (add'l)	12/08/23	J		
28. -- Aggressive Growth Portfolio					Redeemed (part)	12/04/23	J		
29. -- Value Stock Index Portfolio	A	Dividend	L	T	Buy (add'l)	12/08/23	J		
30. -- Value Stock Index Portfolio					Redeemed (part)	12/04/23	J		
31. -- Value Stock Portfolio					Redeemed (part)	08/22/23	J		
32. Patterson Belknap Money Purchase Plan (H)									
33. -- Vanguard Institutional Index Fund	G	Dividend	O	T					
34. -- DFA US Lg Cap Value Fund	D	Dividend	L	T	Sold (part)	07/24/23	L		

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35. -- Vanguard Primecap Fund	C	Dividend	L	T	Buy	07/24/23	L		V
36. Patterson Belknap Webb & Tyler 401k (H)									
37. -- Vanguard Institutional Index Fund	F	Dividend	N	T					
38. -- DFA US Lg Cap Value Fund	D	Dividend			Sold	07/24/23	L		
39. -- Vanguard Primecap Fimd	D	Dividend	L	T	Buy	07/24/23	L		
40. Vanguard Tax Managed Capital Appreciation Fund	F	Dividend	N	T					
41. Vanguard 500 Index Fund	C	Dividend	M	T					
42. Vanguard Tax Managed Small Cap Fund	E	Dividend	M	T					
43. Vanguard Cash Reserves Federal Money Market Fund	B	Dividend	L	T					
44. Northwestern Mutual Life ins. policy cash value,no control,whole life	B	Dividend	K	T					
45. Northwestern Mutual Life ins. policy cash value,no control,whole life	D	Dividend	L	T					
46. Northwestern Mutual Life ins. policy cash value,no control,whole life	D	Dividend	M	T					
47. Savings Bank Life ins. (cash value, no control, whole life)	A	Dividend	J	T					
48. Savings Bank Life ins. (cash value, no control, whole life	A	Dividend	J	T					
49. Fidelity Small Cap Growth Fund	E	Dividend	M	T					
50. Fidelity Total Market Index Fund	E	Dividend	M	T					
51. Fidelity 500 Index Fund	E	Dividend	M	T					

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52. Vanguard 500 Index Fund	E	Dividend	M	T					
53. Warner Bros. Discovery Inc. Com Ser A	A	Dividend	J	T					
54. U.S. Series I Savings Bond	C	Interest	K	T	Buy (add'l)	01/06/23	J		
55. U.S. Series I Savings Bond	B	Interest	K	T	Buy (add'l)	01/06/23	J		
56. Principal (H)									
57. -- TIAA-CREF Lfcy Idx 2020	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 4 I am the executor for my [redacted] estate. The estate's only asset was an Allianz annuity. I was the beneficiary of the annuity. Allianz issued a check payable to the Estate of [redacted] which I deposited into a JP Morgan Chase bank account that I opened in the name of the estate. The value of the annuity falls within Value Code K.

Part VII, Line 26. The investment in the New York College Savings Plan Value Stock Index Portfolio was the product of an exchange out from the Income Portfolio fund. The Value Stock Index fund investment was funded with approximately $71,000 from the Income Portfolio fund.

Part VII, Line 57 This is a new 403(b) retirement account that my [redacted] opened at work. It is funded by deductions from [redacted] paycheck.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul G. Gardephe

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544