AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gardner, Leslie A.	United States District Court, Middle District of Georgia	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
C. B. King Federal Courthouse 201 W. Broad Ave Albany, GA 31701		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Albany Museum of Art
2.	Board Member	Leadership Albany
3.	Board Member	Boys & Girls Clubs of Albany, GA
4.	Board Member	Foundation of the Methodist Home of the South Georgia Conference, Inc.
5.	Board Member	Federal Judges Association (since 2018)

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Gardner, Leslie A.	05/09/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed (motivational speaker)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Global Antitrust Institute George Mason University Antonin Scalia Law School	November 7-12, 2021	Napa, CA	Antitrust Economics Institute	Transportation, meals, lodging
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gardner, Leslie A.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. BANK OF AMERICA (cash)	A	Interest	M	T					
3. NAVY FEDERAL CREDIT UNION (cash)	A	Interest	L	T					
4. ACCOUNT #1 (H)									
5. BANK OF AMER/ML BANK DEPOSIT PROG (cash)	A	Interest	J	T					
6. WELLPOINT INC GLB 03.300% JAN 15 2023 (94973VBA4)	A	Interest	K	T					
7. AMERIPRISE FINANCIAL INC GLB 04.000% OCT 15 2023 (03076CAF3)	A	Interest	K	T					
8. CATERPILLAR FINANCIAL SE SER MTN 03.750% NOV 24 2023 (14912L5X5)	A	Interest	K	T					
9. ADOBE INC (ADBE)		None	J	T					
10. ADVANCED MICRO D INC (AMD)		None	J	T	Buy	12/29/21	J		
11. ALIBABA GROUP HOLDING LT (BABA)		None			Sold	07/26/21	J	A	
12. ALPHABET INC SHS CL A (GOOGL)		None	J	T					
13. AMAZON COM INC (AMZN)		None	J	T					
14. APPLE INC (AAPL)	A	Dividend	J	T					
15. AT&T (T)		None	J	T	Buy	12/29/21	J		
16. AUTONATION INC (AN)		None	J	T	Buy	09/23/21	J		
17. BROADCOM LTD (AVGO)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Gardner, Leslie A.	05/09/2022

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18. THE CARLYLE GROUP INC (CG)	A	Dividend	J	T	Buy	11/05/21	J		
19. CONSTELLATION BRANDS INC (STZ)	A	Dividend			Sold	09/23/21	J	A	
20. DOVER CORP (DOV)	A	Dividend	J	T	Buy	09/23/21	J		
21. HOME DEPOT (HD)	A	Dividend	J	T					
22. JPMORGAN CHASE & CO (JPM)	A	Dividend	J	T	Buy	09/23/21	J		
23. MICROSOFT CORP (MSFT)	A	Dividend	J	T					
24. MORGAN STANLEY (MS)	A	Dividend	J	T	Buy	09/23/21	J		
25. NEVRO CORP (NVRO)		None			Sold	08/17/21	J		
26. NVIDIA (NVDA)	A	Dividend	J	T					
27. O'REILLY AUTOMOTIVE (ORLY)		None	J	T					
28. RAYTHEON TECHNOLOGIES (RTX)	A	Dividend	J	T	Buy	09/23/21	J		
29. SALESFORCE.COM (CRM)		None	J	T					
30. SVB FINL GROUP (SVB)		None	J	T	Buy	09/23/21	J		
31. TESLA INC (TSLA)		None	J	T	Buy	11/05/21	J		
32. THERMO FISHER SCIENTIFIC INC (TMO)	A	Dividend	J	T					
33. ULTA BEAUTY INC (ULTA)		None	J	T	Buy	12/29/21	J		
34. VERIZON COMMUNICATNS COM (VZ)	A	Dividend			Sold	09/23/21	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. VISA INC (V)	A	Dividend	J	T					
36. ZSCALER INC (ZS)		None	J	T	Buy	09/23/21	J		
37. INVESCO QQQ TR SER 1 (QQQ)	A	Dividend	J	T					
38. ISHARES RUSSELL 1000 GROWTH (IWF)	A	Dividend	J	T	Sold (part)	09/23/21	J	B	
39. ISHARES RUSSELL 1000 VALUE (IWD)	A	Dividend	J	T					
40. ISHARES RUSSELL MIDCAP GROWTH (IWP)	A	Dividend	J	T					
41. ISHARES 20+ YEAR TREASURY BOND ETF (TLT)	A	Dividend			Sold	03/24/21	J		
42. SPDR DOW JONES INDUST AV ETF TRUST (DIA)	A	Dividend	J	T					
43. SPDR GOLD TRUST (GLD)		None			Sold	09/23/21	J	A	
44. SPDR S&P 500 ETF TRUST (SPY)	A	Dividend	J	T	Sold (part)	09/23/21	J	B	
45. ACCOUNT #2 (H)									
46. BANK OF AMERICA/ML BANK DEPOSIT PROG (cash)	A	Interest	P1	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parenthetical numbers in Part VII are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leslie A. Gardner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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