AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gaughan, Patricia A.	U.S. District Court, N.D. Ohio	05/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 801 West Superior Avenue Cleveland, Ohio 44113		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member - Board of Directors	St. John Medical Center Community Board
2.	Member - Board of Directors	Collaborative to End Human Trafficking
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1978	PERS - mandatory public retirement plan covering state and local public employees (SEE Chap 145 of the Ohio Revised Code)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Ohio Public Employee Retirement System	$32,106.44
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	St. Raphael Church (administration) - salary
2.	2021	State Teachers Retirement Pension Fund of Ohio
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gaughan, Patricia A.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Keybank National Association Savings Account	A	Interest	K	T					
2.	IRA #1 (H)									
3.	- American Funds American Mutual Fund (AMRFX)	B	Dividend	K	T	Buy (add'l)	02/10/21	J		
4.	- American Funds Europacific Growth Fund (AEPFX)	A	Dividend	J	T	Sold (part)	02/10/21	J	A	
5.	- American Funds Washington Mutual Fund (WMFFX)		None			Sold	02/10/21	J	B	
6.	- American Funds New Perspective Fund (ANWFX)	A	Dividend	J	T	Sold (part)	02/10/21	J	A	
7.	- American Funds New World Fund (NFFFX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
8.	- American Funds Smallcap World Fund (SMCFX)	B	Dividend	J	T	Buy (add'l)	02/10/21	J		
9.	- Blackrock Strategic Income Opportunities Fund (BSIIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
10.	- Bramshill Income Performance Fund (BRMSX)	A	Dividend	J	T	Buy	02/10/21	J		
11.	- Cohen & Steers Preferred Sec & Inc Fund (CPXIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
12.	- Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
13.	- PGIM Total Return Bond (PDBZX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
14.	- Putnam Ultra Short Duration Income Fund (PSDYX)	A	Dividend			Sold	02/10/21	J	A	
15.	- American Funds Growth Fund of America Fund (GFFFX)	A	Dividend	J	T	Sold (part)	02/10/21	J	A	
16.										
17.	IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. - American Funds American Mutual Fund (AMRFX)	A	Dividend	K	T	Buy (add'l)	02/10/21	J		
19. - American Funds Europacific Growth Fund (AEPFX)	A	Dividend	J	T	Sold (part)	02/10/21	J	A	
20. - American Funds Washington Mutual Fund (WMFFX)		None			Sold	02/10/21	J	B	
21. - American Funds New Perspective Fund (ANWFX)	A	Dividend	J	T	Sold (part)	02/10/21	J	A	
22. - American Funds New World Fund (NFFFX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
23. - American Funds Smallcap World Fund (SMCFX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
24. - Blackrock Strategic Income Opportunities Fund (BSIIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
25. Bramshill Income Performance Fund (BRMSX)	A	Dividend	J	T	Buy	02/10/21	J		
26. - Cohen & Steers Preferred Sec & Inc Fund (CPXIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
27. - Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
28. - PGIM Total Return Bond (PDBZX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
29. - Putnam Ultra Short Duration Income Fund (PSDYX)	A	Dividend			Sold	02/10/21	J	A	
30. - American Funds Growth Fund of America Fund (GFFFX)	A	Dividend	J	T	Sold (part)	02/10/21	J	A	
31.									
32. IRA #3 (H)									
33. - UBS Bank USA Dep Acct (X)		Distribution	J	T					
34. - American Funds American Mutual Fund (AMRFX)	B	Dividend	K	T	Buy (add'l)	02/10/21	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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35.						Sold (part)	12/28/21	J	B	
36.	- American Funds Washington Mutual Fund (WMFFX)		None			Sold	02/21/21	K	C	
37.	- American Funds Europacific Growth Fund (AEPFX)	B	Dividend	J	T	Sold (part)	02/21/21	J	A	
38.	- American Funds New Perspective Fund (ANWFX)	B	Dividend	K	T	Sold (part)	02/10/21	J	A	
39.						Sold (part)	12/28/21	J	A	
40.	- American Funds New World Fund (NFFFX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
41.	- American Funds Smallcap World Fund (SMCFX)	B	Dividend	K	T	Buy (add'l)	02/10/21	J		
42.						Sold (part)	12/28/21	J	A	
43.	- Blackrock Strategic Income Opportunities Fund (BSIIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
44.	- Brashmill Income Performance Fund (BRMSX)	A	Dividend	J	T	Buy	02/10/21	J		
45.						Sold (part)	12/28/21	J	A	
46.	- Cohen & Steers Preferred Sec & Inc Fund (CPXIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
47.	- Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
48.	- PGIM Total Return Bond (PDBZX)	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
49.	- Putnam Ultra Short Duration Income Fund (PSDYX)	A	Dividend			Sold	02/10/21	J	A	
50.	- American Funds Growth Fund of America Fund (GFFFX)	B	Dividend	K	T	Sold (part)	02/10/21	J	B	
51.						Sold (part)	12/28/21	J	A	

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52.									
53. Brokerage #1 (H)									
54. - UBS Bank USA Dep Acct		None	J	T					
55. - American Funds New World Fund (NFFFX)	B	Dividend	K	T					
56. - American Funds Smallcap World Fund (SMCFX)	B	Dividend	K	T					
57. - Artisan International Value Fund (APDKX)	C	Dividend	K	T					
58. - Cohen & Steers Global Realty Fund (CSSPX)	B	Dividend	K	T					
59. - Jensen Quality Growth Fund (JENIX)	C	Dividend	K	T					
60. - JP Morgan Equity Income Fund (HLIEX)	B	Dividend	L	T					
61. - JP Morgan Largecap Growth Fund (SEEGX)	E	Dividend	M	T					
62. - Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend	L	T	Sold (part)	01/26/21	J	A	
63.					Sold (part)	10/20/21	J	A	
64.					Sold (part)	10/26/21	J	A	
65.					Buy (add'l)	12/16/21	J		
66. - PGIM Short-Term Corporate Bond (PIFZX)	A	Dividend			Sold	02/11/21	K	B	
67. - PGIM Total Return Bond (PDBZX)	B	Dividend	L	T	Sold (part)	07/27/21	J	A	
68.					Buy (add'l)	12/16/21	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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69. - Principal Midcap Fund (PCBIX)	C	Dividend	L	T					
70. - T. Rowe Price QM US Small-Cap Growth Equity Fund (PRDSX)	D	Dividend	K	T	Buy (add'l)	02/11/21	K		
71. - Templeton Global Bond (TGBAX)	A	Dividend			Sold	12/16/21	J		
72. - The Income Fund of America Fund (AMEFX)	B	Dividend	K	T					
73. - Thornburg Income Builder (TIBIX)	B	Dividend	K	T					
74.									
75. Brokerage #2 (H)									
76. - UBS Bank USA Deposit		None	J	T					
77. - Accenture PLC Ireland CL A Stock (ACN)	A	Dividend	J	T	Sold (part)	02/22/21	J	A	
78.					Sold (part)	02/23/21	J	A	
79.					Sold (part)	04/30/21	J	A	
80.					Sold (part)	06/15/21	J	A	
81.					Sold (part)	09/22/21	J	A	
82. - American Electric Power Co Stock (AEP)	A	Dividend	J	T					
83. - Amgen Inc Stock (AMGN)	A	Dividend	J	T					
84. - Analog Devices Inc Stock (ADI)	A	Dividend	J	T	Buy	01/12/21	J		
85.					Buy (add'l)	01/13/21	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
86.					Buy (add'l)	01/14/21	J		
87.					Buy (add'l)	01/15/21	J		
88.					Buy (add'l)	02/18/21	J		
89.					Buy (add'l)	02/19/21	J		
90.					Buy (add'l)	12/06/21	J		
91.					Buy (add'l)	12/07/21	J		
92. - Automated Data Processing Inc Stock (ADI)	A	Dividend	J	T	Buy	02/23/21	J		
93.					Buy (add'l)	02/24/21	J		
94.					Buy (add'l)	02/25/21	J		
95.					Buy (add'l)	05/05/21	J		
96.					Buy (add'l)	08/02/21	J		
97.					Buy (add'l)	08/03/21	J		
98.					Sold (part)	12/08/21	J	A	
99.					Sold (part)	12/09/21	J	A	
100. - Blackrock Inc Stock (BLK)	A	Dividend	J	T					
101. - Broadcom Inc Stock (AVGO)	A	Dividend	J	T	Buy	09/20/21	J		
102.					Buy (add'l)	10/26/21	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
103.					Buy (add'l)	10/27/21	J		
104. - Chubb LTD CHF Stock (CB)	A	Dividend	J	T	Sold (part)	06/14/21	J	A	
105.					Sold (part)	10/21/21	J	A	
106. - Cisco Systems Inc Stock (CSCO)	A	Dividend	J	T	Sold (part)	09/22/21	J	A	
107.					Sold (part)	09/23/21	J	A	
108. - Coca Cola Co Com Stock (KO)	A	Dividend	J	T	Sold (part)	06/14/21	J		
109. - Comcast New CL A Stock (CMSA)	A	Dividend	J	T	Sold (part)	03/29/21	J	A	
110.					Sold (part)	03/30/21	J	A	
111.					Sold (part)	06/10/21	J	A	
112.					Sold (part)	08/04/21	J	A	
113.					Sold (part)	08/05/21	J	A	
114.					Buy (add'l)	11/01/21	J		
115. - Crane Co Stock (CR)	A	Dividend			Sold	05/07/21	J	A	
116. - Diageo Plc New GB Spon ADR Stock (DEO)	A	Dividend	J	T					
117. - Discover Financial Services Stock (DFS)	A	Dividend	J	T	Buy	04/23/21	J		
118.					Buy (add'l)	04/26/21	J		
119.					Buy (add'l)	04/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Buy (add'l)	04/28/21	J		
121.					Buy (add'l)	04/30/21	J		
122.					Buy (add'l)	08/02/21	J		
123.					Buy (add'l)	10/26/21	J		
124. - EOG Resources Inc Stock (EOG)	A	Dividend	J	T	Buy	03/23/21	J		
125.					Buy (add'l)	03/24/21	J		
126.					Buy (add'l)	03/25/21	J		
127.					Buy (add'l)	03/26/21	J		
128.					Buy (add'l)	06/10/21	J		
129.					Buy (add'l)	06/11/21	J		
130.					Buy (add'l)	06/14/21	J		
131.					Buy (add'l)	08/02/21	J		
132.					Buy (add'l)	08/03/21	J		
133. - Home Depot Inc Stock (HD)	A	Dividend	J	T	Sold (part)	04/29/21	J	A	
134.					Sold (part)	11/03/21	J	A	
135.					Sold (part)	11/04/21	J	A	
136.					Sold (part)	12/08/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D			
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)
137. - Intel Corp Stock (INTC)	A	Dividend			Sold (part)	01/14/21	J	
138.					Sold (part)	01/15/21	J	
139.					Sold (part)	10/28/21	J	
140.					Sold (part)	10/29/21	J	
141. - Johnson & Johnson Com Stock (JNJ)	A	Dividend	J	T	Buy (add'l)	02/18/21	J	
142. - JPMorgan Chase & Co Stock (JPM)	A	Dividend	J	T	Buy (add'l)	02/18/21	J	
143.					Buy (add'l)	02/19/21	J	
144. - Linde PLC EUR Stock (LIN)	A	Dividend	J	T				
145. - Lockheed Martin Corp Stock (LMT)	A	Dividend	J	T				
146. - Marsh & McLennan Cos Inc Stock (MMC)	A	Dividend	J	T	Sold (part)	02/22/21	J	
147.					Sold (part)	02/24/21	J	
148.					Sold (part)	10/19/21	J	A
149.					Sold (part)	10/20/21	J	A
150. - McDonalds Corp Stock (MCD)	A	Dividend	J	T	Buy (add'l)	11/01/21	J	
151.					Sold (part)	08/04/21	J	A
152.					Sold (part)	08/05/21	J	A
153. - Medtronic PLC Stock (MDT)	A	Dividend	J	T				

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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154. - Microsoft Corp Stock (MSFT)	A	Dividend	J	T	Sold (part)	02/25/21	J	A	
155.					Sold (part)	11/03/21	J	A	
156. - Morgan Stanley Stock (MS)	A	Dividend	J	T	Buy	10/15/21	J		
157.					Buy (add'l)	10/18/21	J		
158.					Buy (add'l)	10/19/21	J		
159.					Buy (add'l)	10/26/21	J		
160. - Nextra Energy Inc Com Stock (NEE)	A	Dividend	J	T	Sold (part)	01/13/21	J	A	
161.					Sold (part)	01/14/21	J	A	
162. - Novartis AG Spon ADR Stock (NVS)	A	Dividend	J	T	Sold (part)	02/22/21	J		
163.					Sold (part)	02/23/21	J		
164.					Sold (part)	08/04/21	J		
165.					Sold (part)	08/05/21	J		
166. - Phillips 66 Stock (PSX)	A	Dividend	J	T	Sold (part)	06/14/21	J	A	
167.					Sold (part)	06/15/21	J	A	
168. - Procter & Gamble Co Stock (PG)	A	Dividend	J	T	Sold (part)	03/29/21	J	A	
169. - Raytheon Technologies Corp Stock (RTX)	A	Dividend	J	T	Buy (add'l)	02/18/21	J		
170.					Buy (add'l)	02/19/21	J		

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171.					Buy (add'l)	08/02/21	J		
172.					Buy (add'l)	08/03/21	J		
173.					Buy (add'l)	11/01/21	J		
174. - Republic Services Inc Stock (RSG)	A	Dividend	J	T					
175. - Rockwell Automation Inc New Stock (ROK)	A	Dividend	J	T	Buy (add'l)	05/07/21	J		
176.					Sold (part)	08/05/21	J	A	
177.					Sold (part)	09/22/21	J	A	
178. - Starbucks Corp Stock (SBUX)	A	Dividend	J	T	Buy	09/20/21	J		
179.					Buy (add'l)	09/21/21	J		
180.					Buy (add'l)	11/01/21	J		
181.					Buy (add'l)	11/02/21	J		
182. - Texas Instuments Stock (TXN)	A	Dividend	J	T	Sold (part)	02/22/21	J	A	
183.					Sold (part)	02/23/21	J	A	
184. - Truist Finl Corp Stock (TFC)	A	Dividend	J	T	Buy	02/18/21	J		
185.					Buy (add'l)	02/19/21	J		
186.					Buy (add'l)	02/22/21	J		
187.					Buy (add'l)	06/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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188. - Union Pacific Corp Stock (UNP)	A	Dividend	J	T	Sold (part)	12/08/21	J	A	
189.					Sold (part)	12/09/21	J	A	
190. - United Parcel Services Inc CL B Stock (UPS)	A	Dividend	J	T	Buy (add'l)	02/18/21	J		
191.					Buy (add'l)	08/02/21	J		
192.					Buy (add'l)	08/03/21	J		
193.					Sold (part)	11/03/21	J	A	
194. - Unitedhealth Group Inc Stock (UNH)	A	Dividend	J	T	Buy	12/06/21	J		
195.					Buy (add'l)	12/07/21	J		
196. - VF Corp Stock (VFC)	A	Dividend	J	T	Buy (add'l)	06/10/21	J		
197.					Sold (part)	12/08/21	J		
198.					Sold (part)	12/09/21	J		
199.									
200. Brokerage #3 (H)									
201. - UBS Bank USA Deposit		None	J	T					
202. - Accenture PLC Ireland CL A Stock (ACN)	A	Dividend			Sold (part)	06/23/21	J	A	
203.					Sold (part)	06/24/21	J	A	
204.					Sold (part)	07/20/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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205.					Sold (part)	08/25/21	J	A	
206.					Sold (part)	08/26/21	J	A	
207.					Sold (part)	08/27/21	J	A	
208.					Sold	08/30/21	J	A	
209. - Abbott Labs Stock (ABT)	A	Dividend	J	T	Buy	01/27/21	J		
210.					Buy (add'l)	01/28/21	J		
211.					Buy (add'l)	06/02/21	J		
212.					Buy (add'l)	06/03/21	J		
213. - ADOBE Inc. Stock (ADBE)		None	J	T	Sold (part)	08/04/21	J	A	
214. - ALPHABET Inc CL A Stock (GOOGL)		None	J	T	Sold (part)	01/27/21	J	A	
215. - Amazon.com Inc Stock (AMZN)		None	J	T					
216. - American Tower Corp REIT Stock (AMT)	A	Dividend	J	T	Sold (part)	06/23/21	J	A	
217.					Sold (part)	10/04/21	J	A	
218. - Ameriprise Financial Inc Stock (AMP)	A	Dividend	J	T					
219. - Apple Inc Stock (AAPL)	A	Dividend	J	T					
220. - Applied Materials Inc Stock (AMAT)	A	Dividend	J	T	Buy	03/09/21	J		
221.					Buy (add'l)	03/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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222.					Buy (add'l)	06/21/21	J		
223.					Buy (add'l)	06/22/21	J		
224.					Buy (add'l)	08/23/21	J		
225.					Buy (add'l)	08/24/21	J		
226.					Buy (add'l)	08/25/21	J		
227. - Boston Scientific Corp Stock (BSX)	A	Dividend	J	T	Buy	02/24/21	J		
228.					Buy (add'l)	02/25/21	J		
229.					Buy (add'l)	02/26/21	J		
230.					Buy (add'l)	12/14/21	J		
231.					Buy (add'l)	12/15/21	J		
232. - Comcast Corp New CL A Stock (CMCSA)	A	Dividend			Sold (part)	03/11/21	J	A	
233.					Sold (part)	03/12/21	J	A	
234.					Sold (part)	05/25/21	J	A	
235.					Sold (part)	06/23/21	J	A	
236.					Sold (part)	06/24/21	J	A	
237.					Sold	06/25/21	J	A	
238. - Costco Wholesale Corp Stock (COST)	A	Dividend	J	T	Buy (add'l)	06/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gaughan, Patricia A.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
239. - Danaher Corp Stock (DHR)	A	Dividend	J	T	Sold (part)	01/29/21	J	A	
240.					Sold (part)	08/04/21	J	A	
241. - Dollar Gen Corp New Stock (DG)	A	Dividend	J	T					
242. - Meta Platforms Inc Stock (FB)		None	J	T	Buy (add'l)	01/25/21	J		
243.					Sold (part)	05/26/21	J	A	
244.					Sold (part)	08/04/21	J	A	
245.					Sold (part)	10/04/21	J	A	
246. - Fidelity Natl Information Svcs Stock (FIS)	A	Dividend	J	T	Buy (add'l)	12/15/21	J		
247. - Home Depot Inc Stock (HD)	A	Dividend	J	T	Buy (add'l)	06/21/21	J		
248.					Buy (add'l)	06/22/21	J		
249.					Sold (part)	10/04/21	J	A	
250. - Honeywell Intl Inc Stock (HON)	A	Dividend	J	T	Sold (part)	04/27/21	J	A	
251.					Sold (part)	04/29/21	J	A	
252. - Intercontinenetalexchange Group Stock (ICE)	A	Dividend	J	T					
253. - Lauder Estee Cos CL A Stock (EL)	A	Dividend	J	T					
254. - Lowes Companies Inc Stock (LOW)	A	Dividend	J	T	Sold (part)	04/28/21	J	A	
255.					Buy (add'l)	09/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
256. - Medtronic Plc Stock (MDT)	A	Dividend			Sold (part)	02/26/21	J		
257.					Sold (part)	12/16/21	J		
258.					Sold	12/17/21	J		
259. - Microsoft Corp Stock (MSFT)	A	Dividend	J	T	Buy (add'l)	06/21/21	J		
260.					Buy (add'l)	08/02/21	J		
261.					Buy (add'l)	08/03/21	J		
262. - Mondelez Intl Inc Stock (MDLZ)	A	Dividend	J	T	Sold (part)	02/02/21	J		
263.					Sold (part)	02/03/21	J	A	
264. - Nike Inc Stock (NKE)	A	Distribution	J	T	Buy	09/30/21	J		
265.					Buy (add'l)	10/01/21	J		
266.					Buy (add'l)	10/04/21	J		
267. - O Reilly Automotive Inc Stock (ORLY)		None	J	T					
268. - Parker Hannifin Corp Stock (PH)	A	Dividend	J	T	Sold (part)	04/29/21	J	A	
269.					Buy (add'l)	08/02/21	J		
270. - Rockwell Automation Inc New Stock (ROK)	A	Dividend	J	T					
271. - S&P Global Inc Stock (SPGI)	A	Dividend	J	T	Buy	05/21/21	J		
272.					Buy (add'l)	05/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
273. - Salesforce.com Inc Stock (CRM)		None	J	T	Buy (add'l)	01/29/21	J		
274. - Sherwin Williams Co Stock (SHW)	A	Dividend	J	T					
275. - Texas Instruments Stock (TXN)	A	Dividend	J	T	Buy	03/09/21	J		
276.					Buy (add'l)	03/10/21	J		
277.					Buy (add'l)	06/21/21	J		
278.					Buy (add'l)	06/22/21	J		
279.					Buy (add'l)	07/16/21	J		
280.					Buy (add'l)	07/19/21	J		
281. - Thermo Fisher Scientific Inc Stock (TMO)	A	Dividend	J	T	Sold (part)	01/29/21	J	A	
282.					Sold (part)	06/23/21	J	A	
283.					Sold (part)	08/04/21	J	A	
284. - TJX Cos Inc New Stock (TJX)	A	Dividend	J	T	Buy	08/02/21	J		
285.					Buy (add'l)	08/03/21	J		
286. - Union Pacific Corp Stock (UNP)	A	Dividend	J	T	Buy	04/23/21	J		
287.					Buy (add'l)	04/26/21	J		
288.					Buy (add'l)	04/27/21	J		
289.					Buy (add'l)	04/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
290.					Buy (add'l)	06/21/21	J		
291.					Buy (add'l)	06/22/21	J		
292.					Buy (add'l)	06/23/21	J		
293.					Buy (add'l)	08/02/21	J		
294.					Buy (add'l)	08/03/21	J		
295.					Sold (part)	12/16/21	J	A	
296. - Unitedhealth Group Inc Stock (UNH)	A	Dividend	J	T	Sold (part)	02/26/21	J	A	
297.					Sold (part)	03/11/21	J	A	
298.					Sold (part)	06/04/21	J	A	
299.					Sold (part)	06/23/21	J	A	
300.					Sold (part)	06/24/21	J	A	
301. - VISA Inc CL A Stock (V)	A	Dividend	J	T	Buy (add'l)	01/29/21	J		
302.					Buy (add'l)	01/29/21	J		
303.									
304. UBS Lincoln National Life Insurance Co Moneyguard II 2017 (Whole)		None	K	T					
305.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

On the 2020 Report - Part VII, LINE 89: Inadvertantly left a code "J" and a code "T" in Column C, although it was gone in 2020. The Gross Value at the end of 2020 should have been left blank to show a zero balance. In additon, line 95, Column D, line 1 reflected "Sold (part)", whereas it should have been marked as "Sold" to reflect the final sale.

On the 2020 Report - Part VII, LINE 174: Inadvertantly left a code "J" and a code "T" in Column C, although it was gone in 2020. The Gross Value at the end of 2020 should have been left blank to show a zero balance. In additon, line 175, Column D, line 1 reflected "Sold (part)", whereas it should have been marked as "Sold" to reflect the final sale.

Name of Person Reporting	Date of Report
Gaughan, Patricia A.	05/11/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patricia A. Gaughan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544