AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gee, Dolly M.	United States District Court, Central District of California	05/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court, Centeral District of CA 350 W. First Street, Suite 4311 Los Angeles, CA 90012-4565		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2021	Former Law Firm 401(k) through ABA Retirement Funds Program/TD Ameritrade
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gee, Dolly M.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Bank of America account (cash-equivalent)	A	Interest	J	T					
2.	Citibank accounts (cash-equivalent)	A	Interest	L	T					
3.	E*Trade Financial accounts (cash-equivalent)	A	Interest	J	T					
4.	OneWest Bank accounts (cash-equivalent)	A	Interest	J	T					
5.	Ally Bank accounts (cash-equivalent)	D	Interest	N	T					
6.	Capital One accounts (cash-equivalent)	A	Interest	K	T					
7.	Wells Fargo accounts (cash-equivalent)	A	Interest	J	T					
8.	Brokerage Account #1 (H)									
9.	-Blackberry Ltd Common Stock		None			Sold	06/03/21	J	A	
10.	-Live Oak Bancshares Inc. Common Stock	A	Dividend	J	T					
11.	-Stitch Fix Inc. Common Stock		None	J	T	Sold (part)	06/04/21	J	B	
12.	-Equinor ASA Common Stock (formerly StatOil Hydro ASA)	A	Dividend	J	T					
13.	-Nvidia Corp. Common Stock	A	Dividend	M	T	Sold (part)	11/29/21	K	E	
14.	-Ambarella Common Stock		None	J	T	Sold (part)	02/01/21	J	B	
15.	-Skyworks Common Stock	A	Dividend	K	T					
16.	-Kinder Morgan Common Stock	A	Dividend	J	T					
17.	-Enterprise Products Partners Common Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
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☐ NONE *(No reportable income, assets, or transactions.)*

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18. -Mastercard, Inc. Common Stock	A	Dividend	L	T					
19. -Talend S.A. Common Stock		None			Sold	08/02/21	J	C	
20. -Shopify Inc. Common Stock		None	K	T					
21. -STMicroelectronics Common Stock	A	Dividend	K	T					
22. -Okta, Inc. Common Stock		None	K	T					
23. -Axon Enterprise, Inc. Common Stock		None	J	T					
24. -Zoom Video Communications, Inc. Common Stock		None	K	T					
25. -Fastly, Inc. Common Stock		None			Sold	09/16/21	J	B	
26. -Rockwell Automation, Inc. Common Stock	B	Dividend	L	T					
27. -Zynga Inc. Common Stock		None	J	T					
28. -Lemonade, Inc. Common Stock		None	J	T	Buy	02/22/21	J		
29.					Buy (add'l)	05/13/21	J		
30. -Sea Limited Common Stock		None	K	T	Buy	09/09/21	J		
31.					Buy (add'l)	12/16/21	J		
32. -3M Company Common Stock	A	Dividend	J	T					
33. -Charles Schwab Corporation Common Stock	A	Dividend	J	T					
34. -Upstart Holdings, Inc. Common Stock		None	J	T	Buy	06/11/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.						Buy (add'l)	06/22/21	J		
36.	-The Trade Desk, Inc. Common Stock		None	J	T	Buy	08/06/21	J		
37.	-Beam Global Common Stock		None	J	T	Buy	08/11/21	J		
38.	-Blink Charging Co. Common Stock		None	J	T	Buy	08/12/21	J		
39.	-Cerence Inc. Common Stock		None	J	T	Buy	10/04/21	J		
40.	-United Microelectronics Corp. Common Stock	A	Dividend	J	T	Buy	11/29/21	J		
41.	-T Rowe Price Tax-Free Short-Intermediate Fund (X)	A	Dividend	L	T					
42.	-Vanguard Short-Term Investment-Grade Fund (X)	A	Dividend	L	T					
43.	Citigroup Common Stock	A	Dividend	J	T					
44.	Brokerage Account #2 (401(k)) (H)									
45.	-ABA Retirement Stable Asset Return Fund	A	Interest	O	T					
46.	-ABA Retirement Bond Core Plus Fund	A	Dividend	J	T					
47.	-ABA Retirement Large Cap Equity Fund	B	Dividend	M	T					
48.	-ABA Retirement Large Cap Index Equity Fund	A	Dividend	K	T					
49.	-ABA Retirement All Cap Index Equity Fund	B	Dividend	M	T					
50.	-ABA Retirement Small-Mid Cap Equity Fund	A	Dividend	L	T					
51.	-ABA Retirement International All Cap Equity Fund	A	Dividend	J	T					

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52. -ABA Retirement International Index Equity Fund	A	Dividend	J	T					
53. -TD Ameritrade Money Market Portfolio	A	Int./Div.	J	T					
54. -Ariel Appreciation Mid-Cap Blend Mutual Fund	A	Int./Div.			Sold	10/14/21	J	B	
55. -Bridgeway Ultra Small Co. Mkt Fund	A	Int./Div.	J	T					
56. -Dodge & Cox Int'l Stock Fund	A	Int./Div.	J	T					
57. -Federated Prudent Bear Fund	A	Int./Div.	J	T					
58. -Voya Corporate Leaders Trust Series B Mutual Fund (formerly ING)	A	Dividend	K	T					
59. -Meridian Growth Fund	A	Int./Div.	K	T	Sold (part)	08/25/21	J	B	
60. -Vanguard Star Mutual Fund	A	Int./Div.	K	T					
61. -San Juan Basin Royalty Trust (Y)									
62. -GE Common Stock	A	Dividend	J	T					
63. -Home Depot Common Stock	A	Dividend	L	T					
64. -Intel Corp. Common Stock	A	Dividend	J	T					
65. -MSFT Common Stock	B	Dividend	M	T					
66. -Equinor ASA Common Stock (formerly StatOil Hydro ASA)	A	Dividend	J	T					
67. -Vanguard Dividend Growth Fund	A	Int./Div.	J	T					
68. -Parnassus Equity Income Fund	A	Int./Div.	K	T					

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69. -2030 Retirement Date Fund	A	Int./Div.	L	T					
70. -Activision Blizzard, Inc. Common Stock		None	J	T					
71. -Apple Common Stock	A	Dividend	M	T					
72. -Paypal Common Stock		None	K	T					
73. -Shopify Common Stock		None	N	T					
74. -iQIYI, Inc. Common Stock		None	J	T					
75. -Zuora, Inc. Common Stock		None	J	T					
76. -Salesforce.com, Inc. Common Stock		None	K	T					
77. -Zscaler, Inc. Common Stock		None	K	T					
78. -Anaplan, Inc. Common Stock		None	J	T					
79. -Teradyne, Inc. Common Stock	A	Dividend	J	T					
80. -CrowdStrike Holdings, Inc. Common Stock		None	J	T					
81. -Viavi Solutions Inc. Common Stock		None	J	T					
82. -Inphi Corp. Common Stock		None			Merged (with line 83)	04/21/21	J		
83. -Marvell Technology, Inc. Common Stock		Dividend	J	T	Open	04/21/21	J		
84. -II-VI Inc. Common Stock		None	J	T					
85. -QuantumScape Corp. Common Stock		None	J	T	Buy (add'l)	01/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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86. -Opendoor Technologies Inc. Common Stock		None	J	T	Buy	02/10/21	J		
87. -Upstart Holdings, Inc. Common Stock		None	J	T	Buy	07/07/21	J		
88. -Clean Harbors, Inc. Common Stock		None	J	T	Buy	10/04/21	J		
89. -Lucid Group, Inc. Common Stock		None	J	T	Buy	10/21/21	J		
90. -United Microelectronics Corp. Common Stock		None	J	T	Buy	12/13/21	J		
91. Brokerage Account #3 (IRA) (H)									
92. -Metropolitan West Bond Fund	A	Int./Div.	K	T					
93. -T Rowe Price Tax Free High Yield Bond Fund	A	Dividend	J	T					
94. -JP Morgan Municipal Money Market Fund	A	Int./Div.	J	T					
95. -Gabelli US Treasury Money Market Fund (Y)									
96. -Parnassus Core Equity Income Fund	A	Dividend	J	T	Buy	11/29/21	J		
97.					Buy (add'l)	12/16/21	J		
98. -Vanguard Star Mutual Fund	A	Dividend	J	T	Buy	11/29/21	J		
99. -PIMCO Short-Term Fund Class A (X)	A	Dividend	L	T					
100. -Thompson Bond (X)	A	Dividend	L	T					
101. -Vanguard Short-Term Investment-Grade Fund (X)		Dividend	L	T					
102. Brokerage Account #4 (IRA) (H)									

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103. -AF Bond Fund of America R-6 (X)	A	Dividend	L	T					
104. -FH Treasury Obs Fund IS (X)	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Inphi Corp. (line 80) was acquired by Marvell Technology, Inc. (line 81). Lines 41-42, 99-101, and lines 103-104 were added due to inheritance.

Name of Person Reporting	Date of Report
Gee, Dolly M.	05/16/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dolly M. Gee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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