AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gergel, Richard M.	District of South Carolina	5/9/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge- Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
85 Broad Street Charleston, SC 29401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Avila University	October 24-26, 2021	Kansas City, Missouri	Sppech	Air, Hotel, Parking
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Lincoln National Universal Life Insurance	B	Int./Div.	K	T					
2.	Am Balanced Class A	B	Interest	J	T					
3.	Am. High Income Trust Class A	A	Int./Div.	K	T					
4.	VistaBank South Carolina		None	J	T					
5.	Bank of America Checking	A	Int./Div.	M	T					
6.	TIAA-CREF Fixed Annuity	D	Int./Div.	M	T					
7.	Wachovia Certificates of Deposit	A	Int./Div.	J	T					
8.	Am. Short Term Bond/Class A	A	Int./Div.	K	T					
9.	Blackrock Global Allocation Fund	B	Int./Div.	K	T					
10.	American Fund Global Balanced Fund (AMBFX)	A	Interest	K	T	Sold (part)	02/01/21	K	C	
11.	First Eagle Global Fund (SGIIX)	A	Dividend	K	T	Buy	02/01/21	K		
12.						Buy (add'l)	12/10/21	J		
13.	FIA Card SErvices Money Market	A	Interest	J	T					
14.	Merill Lynch Bank Deposit Fund	A	Interest	J	T					
15.	BOA Cash Reserve Money Market Fund	A	Interest	J	T					
16.	IShares US Preferred Stock ETF (PFF)	A	Int./Div.	J	T					
17.	SPDR Gold Trust	A	Int./Div.			Sold	02/01/21	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. SPDR US Financial Sector Fund (XLF)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
19.					Buy (add'l)	01/25/21	J		
20.					Sold (part)	05/06/21	J	A	
21.					Buy (add'l)	05/24/21	J		
22.					Buy (add'l)	05/28/21	J		
23.					Sold (part)	07/02/21	J	A	
24.					Sold (part)	07/15/21	J	A	
25.					Buy (add'l)	07/26/21	J		
26.					Sold (part)	08/17/21	J	A	
27.					Sold (part)	08/26/21	J	A	
28.					Sold (part)	09/07/21	J	A	
29.					Sold (part)	11/09/21	J	A	
30.					Sold (part)	12/13/21	J	A	
31. Healthcare Select SPDR Fund (XLV)	A	Dividend	J	T	Buy	01/19/21	J		
32.					Buy (add'l)	01/25/21	J		
33.					Sold (part)	04/12/21	J	A	
34.					Buy (add'l)	05/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.						Buy (add'l)	05/28/21	J		
36.						Sold (part)	07/02/21	J	A	
37.						Sold (part)	07/15/21	J	A	
38.						Sold (part)	07/26/21	J	A	
39.						Sold (part)	08/17/21	J	A	
40.						Sold (part)	09/07/21	J	A	
41.						Sold (part)	12/13/21	J	A	
42.						Buy (add'l)	11/09/21	J		
43.	Consumer Discretionary SPDR Fund (XLY)	A	Dividend	J	T	Buy	01/19/21	J		
44.						Buy (add'l)	01/25/21	J		
45.						Buy (add'l)	05/24/21	J		
46.						Buy (add'l)	05/28/21	J		
47.						Sold (part)	07/02/21	J	A	
48.						Sold (part)	07/09/21	J	A	
49.						Sold (part)	07/15/21	J	A	
50.						Sold (part)	07/26/21	J	A	
51.						Sold (part)	08/26/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
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52.					Sold (part)	09/07/21	J	A	
53. Comm. Services Select Sector Fund (XLC)	A	Dividend	J	T	Buy	01/19/21	J		
54.					Buy (add'l)	01/25/21	J		
55.					Sold (part)	05/06/21	J	A	
56.					Buy (add'l)	05/24/21	J		
57.					Buy (add'l)	01/28/21	J		
58.					Sold (part)	07/02/21	J	A	
59.					Sold (part)	07/26/21	J	A	
60.					Sold (part)	08/17/21	J	A	
61.					Sold (part)	09/07/21	J	A	
62.					Buy (add'l)	11/09/21	J		
63.					Sold (part)	11/23/21	J	A	
64.					Sold (part)	12/15/21	J	A	
65. Vanguard MSCI Pacific Fund (VPL)	A	Dividend	J	T	Buy	01/25/21	J		
66.					Buy (add'l)	05/06/21	J		
67.					Buy (add'l)	05/28/21	J		
68.					Sold (part)	07/02/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.					Buy (add'l)	07/26/21	J		
70.					Sold (part)	08/17/21	J	A	
71.					Buy (add'l)	08/26/21	J		
72.					Buy (add'l)	11/09/21	J		
73.					Sold (part)	11/23/21	J	A	
74.					Buy (add'l)	12/27/21	J		
75. Vanguard FTSE All World Fund (VEU)	A	Dividend	J	T	Buy	01/19/21	J		
76.					Buy (add'l)	01/25/21	J		
77.					Buy (add'l)	05/06/21	J		
78.					Buy (add'l)	05/24/21	J		
79.					Sold (part)	07/02/21	J	A	
80.					Sold (part)	07/15/21	J	A	
81.					Sold (part)	07/26/21	J	A	
82.					Sold (part)	08/17/21	J	A	
83.					Sold (part)	08/26/21	J	A	
84.					Sold (part)	09/07/21	J	A	
85.					Buy (add'l)	11/09/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86.					Sold (part)	11/23/21	J	A	
87.					Sold (part)	12/15/21	J	A	
88. Select Sector SPDR Fund ((XLK)	A	Dividend	K	T	Buy	01/19/21	J		
89.					Buy (add'l)	01/25/21	J		
90.					Buy (add'l)	05/24/21	J		
91.					Buy (add'l)	05/28/21	J		
92.					Sold (part)	07/02/21	J	A	
93.					Sold (part)	07/15/21	J	A	
94.					Sold (part)	07/28/21	J	A	
95.					Sold (part)	08/17/21	J	A	
96.					Redeemed (part)	08/26/21	J	A	
97.					Sold (part)	09/07/21	J	A	
98.					Buy (add'l)	10/19/21	J		
99.					Buy (add'l)	09/16/21	J		
100.					Sold (part)	11/09/21	J	A	
101.					Sold (part)	12/13/21	J	A	
102. Select SPDR Industrial Fund (XLI)	A	Dividend	J	T	Buy (add'l)	01/19/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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103.					Sold (part)	05/06/21	J	A	
104.					Buy (add'l)	05/24/21	J		
105.					Buy (add'l)	05/28/21	J		
106.					Sold (part)	07/02/21	J	A	
107.					Sold (part)	08/26/21	J	A	
108.					Sold (part)	11/09/21	J	A	
109.					Sold (part)	12/13/21	J	A	
110. Graniteshares Gold Shares (BAR)	A	Dividend	J	T	Buy	01/25/21	J		
111.					Buy (add'l)	05/24/21	J		
112.					Buy (add'l)	05/28/21	J		
113.					Sold (part)	07/02/21	J		
114.					Sold (part)	07/15/21	J	A	
115.					Sold (part)	08/19/21	J	A	
116.					Sold (part)	09/07/21	J	A	
117.					Sold (part)	08/26/21	J	A	
118.					Sold (part)	10/19/21	J	A	
119.					Sold (part)	11/23/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/27/21	J		
121. Vanguard Intermediate Term Corporate Bond Fund (VCIT)	A	Dividend	K	T	Sold (part)	01/19/21	J	A	
122.					Buy (add'l)	01/25/21	J		
123.					Buy (add'l)	05/06/21	J		
124.					Buy (add'l)	05/24/21	J		
125.					Buy (add'l)	05/28/21	J		
126.					Sold (part)	07/02/21	J	A	
127.					Buy (add'l)	07/13/21	J		
128.					Sold (part)	01/15/21	J	A	
129.					Sold (part)	08/17/21	J	A	
130.					Sold (part)	08/26/21	J	A	
131.					Sold (part)	09/07/21	J	A	
132.					Buy (add'l)	11/09/21	J		
133.					Sold (part)	11/23/21	K	A	
134.					Buy (add'l)	12/27/21	K		
135. IShares 3-7 Year Treasury Bond Fund (IEI)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
136.					Buy (add'l)	01/25/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.						Buy (add'l)	05/06/21	J		
138.						Buy (add'l)	05/24/21	J		
139.						Buy (add'l)	05/28/21	J		
140.						Sold (part)	07/02/21	J	A	
141.						Sold (part)	07/15/21	J	A	
142.						Buy (add'l)	07/26/21	J		
143.						Sold (part)	08/17/21	J	A	
144.						Sold (part)	08/26/21	J		
145.						Sold (part)	09/07/21	J	A	
146.						Sold (part)	11/23/21	J	A	
147.						Buy (add'l)	12/27/21	J		
148.	SPSD Portfolio Short Term Corp Bond Fund (SPSB)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
149.						Buy (add'l)	01/25/21	J		
150.						Buy (add'l)	05/06/21	J		
151.						Buy (add'l)	05/24/21	J		
152.						Buy (add'l)	05/28/21	J		
153.						Sold (part)	07/02/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	07/15/21	J	A	
155.					Buy (add'l)	07/26/21	J		
156.					Sold	08/17/21	J	A	
157.					Sold (part)	08/26/21	J	A	
158.					Sold (part)	09/07/21	J	A	
159.					Buy (add'l)	11/09/21	J		
160.					Sold (part)	11/23/21	J	A	
161.					Buy (add'l)	12/27/21	J		
162. IShares MSCI All Country Asia Ex Japan Fund ((AAXJ)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
163.					Buy (add'l)	01/25/21	J		
164.					Buy (add'l)	05/06/21	J		
165.					Buy (add'l)	05/24/21	J		
166.					Buy (add'l)	05/28/21	J		
167.					Sold (part)	07/02/21	J	A	
168.					Sold (part)	07/15/21	J	A	
169.					Sold (part)	07/26/21	J	A	
170.					Sold (part)	08/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.						Sold	09/07/21	J	A	
172.						Sold (part)	11/09/21	J	A	
173.	IShares TR Russell 2000 Product Fund (IWM)	A	Dividend			Sold	05/06/21	J	A	
174.	IShares RS 2000 Fund (IWN)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
175.						Buy (add'l)	01/25/21	J		
176.						Buy (add'l)	05/24/21	J		
177.						Buy (add'l)	05/28/21	J		
178.						Sold (part)	07/02/21	J	A	
179.						Sold (part)	07/15/21	J	A	
180.						Sold (part)	08/17/21	J	A	
181.						Sold (part)	08/26/21	J	A	
182.						Sold (part)	09/07/21	J	A	
183.						Sold (part)	10/19/21	J	A	
184.						Sold (part)	12/15/21	J	A	
185.	Ishares Investment Grade Corporate Bond (LQD)	A	Dividend			Sold (part)	01/25/21	J	A	
186.						Buy (add'l)	05/06/21	J		
187.						Buy (add'l)	05/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.						Sold (part)	07/02/21	J		
189.						Sold (part)	07/15/21	J	A	
190.						Sold	07/26/21	J	A	
191.	Ishares TR S & P Global Materials (MXI)	A	Dividend	J	T	Buy	01/25/21	J		
192.						Buy (add'l)	05/28/21	J		
193.						Sold (part)	07/15/21	J	A	
194.						Sold (part)	08/26/21	J	A	
195.						Sold (part)	09/07/21	J	A	
196.						Sold (part)	11/23/21	J		
197.	IShares Interest Rate Hedged Corporate Bond ETF ((LQDH)	A	Dividend	J	T	Buy	01/25/21	J		
198.						Buy (add'l)	05/24/21	J		
199.						Sold (part)	08/26/21	J	A	
200.						Sold (part)	09/07/21	J	A	
201.						Sold (part)	12/13/21	J		
202.	Ishares MSCI EAFE Small Cap (SCZ)	A	Dividend			Buy	01/25/21	J		
203.						Buy (add'l)	05/24/21	J		
204.						Buy (add'l)	05/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	08/17/21	J	A	
206.					Sold (part)	08/26/21	J	A	
207.					Sold (part)	09/07/21	J	A	
208.					Sold (part)	11/23/21	J	A	
209.					Sold	12/15/21	J	A	
210. Ishares 7-10 year treasury bonds (IEF)	A	Dividend	J	T	Buy	01/25/21	J		
211.					Buy (add'l)	05/28/21	J		
212.					Sold (part)	07/02/21	J		
213.					Sold (part)	07/15/21	J	A	
214.					Sold (part)	08/17/21	J	A	
215.					Sold (part)	10/07/21	J	A	
216. Sector SPDR Energy (XLE)	A	Dividend	J	T	Buy	01/25/21	J		
217.					Sold (part)	05/06/21	J	A	
218.					Buy (add'l)	05/28/21	J		
219.					Buy (add'l)	07/26/21	J		
220.					Sold (part)	08/17/21	J	A	
221.					Sold (part)	08/26/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	09/16/21	J	A	
223.					Sold (part)	09/07/21	J	A	
224.					Sold (part)	11/09/21	J	A	
225.					Sold (part)	12/13/21	J	A	
226. IShares MSCI Intl Qualit Factor (IQLT)	A	Dividend	J	T	Buy	01/25/21	J		
227.					Buy (add'l)	05/24/21	J		
228.					Buy (add'l)	05/28/21	J		
229.					Sold (part)	07/02/21	J		
230.					Sold (part)	07/15/21	J	A	
231.					Sold (part)	08/17/21	J	A	
232.					Sold (part)	08/26/21	J	A	
233.					Sold (part)	09/07/21	J	A	
234.					Sold (part)	12/13/21	J	A	
235.					Sold (part)	01/15/21	J	A	
236. Pimco 5 yr H/Y Corp Bond (HYS)	A	Dividend			Buy (add'l)	01/25/21	J		
237.					Buy (add'l)	05/26/21	J		
238.					Buy (add'l)	05/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold (part)	07/15/21	J	A	
240.					Sold (part)	08/26/21	J	A	
241.					Sold (part)	09/07/21	J	A	
242.					Sold	12/13/21	J	A	
243. Ishares Misc China (MCHI)	A	Dividend	J	T	Sold (part)	01/25/21	J	A	
244.					Buy (add'l)	05/24/21	J		
245.					Buy (add'l)	05/28/21	J		
246.					Sold (part)	07/15/21	J	A	
247.					Sold (part)	07/16/21	J	A	
248.					Sold	08/26/21	J	A	
249.					Sold (part)	09/07/21	J	A	
250.					Sold (part)	11/23/21	J	A	
251.					Buy (add'l)	12/27/21	J		
252. Ishares 10-20 year treasury bond (TLH)	A	Dividend	J	T	Buy	01/25/21	J		
253.					Sold (part)	05/03/21	J	A	
254.					Buy (add'l)	05/24/21	J		
255.					Buy (add'l)	05/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold (part)	07/02/21	J	A	
257.					Sold (part)	07/15/21	J	A	
258.					Sold (part)	08/17/21	J	A	
259.					Sold (part)	09/07/21	J	A	
260.					Sold (part)	11/23/21	J	A	
261.					Sold (part)	12/27/21	J	A	
262. Ishares Misc Emerging Markets (EMXC)	A	Dividend	J	T	Buy	01/25/21	J		
263.					Buy (add'l)	05/28/21	J		
264.					Sold (part)	08/17/21	J		
265.					Sold (part)	08/26/21	J	A	
266.					Sold (part)	09/07/21	J	A	
267.					Sold (part)	12/15/21	J	A	
268. SPDR KBW Regional Banking (KRE)	A	Dividend	J	T	Buy	01/25/21	J		
269.					Buy (add'l)	05/24/21	J		
270.					Buy (add'l)	05/28/21	J		
271.					Sold (part)	07/15/21	J		
272.					Sold (part)	08/26/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	09/07/21	J		
274. Ishares S & P Latin America (KWEB)	A	Dividend			Buy	01/25/21	J		
275.					Buy (add'l)	05/28/21	J		
276.					Sold (part)	08/26/21	J	A	
277.					Sold	09/07/21	J	A	
278. Ishares Misc USA Quality (QUAL)	A	Dividend	J	T	Sold (part)	01/25/21	J	A	
279.					Buy (add'l)	05/24/21	J		
280.					Buy (add'l)	05/28/21	J		
281.					Sold (part)	07/02/21	J	A	
282.					Sold (part)	08/17/21	J	A	
283.					Sold (part)	08/26/21	J	A	
284.					Sold (part)	09/07/21	J	A	
285.					Sold (part)	12/13/21	J	A	
286. Vaneck Vectors Emerging Markets (HYEM)	A	Distribution	J	T	Buy	01/25/21	J		
287.					Buy (add'l)	05/24/21	J		
288.					Buy (add'l)	05/28/21	J		
289.					Buy (add'l)	06/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	07/02/21	J	A	
291.					Sold (part)	07/15/21	J	A	
292.					Sold (part)	08/17/21	J	A	
293.					Sold (part)	08/26/21	J	A	
294.					Sold (part)	09/07/21	J	A	
295.					Sold (part)	11/23/21	J	A	
296.					Buy (add'l)	12/27/21	J		
297. Vanguard Misc European (VGK)	A	Dividend	J	T	Buy (add'l)	01/25/21	J		
298.					Buy (add'l)	05/24/21	J		
299.					Buy (add'l)	01/28/21	J		
300.					Sold (part)	08/17/21	J	A	
301.					Sold (part)	08/26/21	J	A	
302.					Sold (part)	09/07/21	J	A	
303.					Buy (add'l)	11/23/21	J		
304.					Sold (part)	12/13/22	J	A	
305. First Trust Low Duration (LMBS)	A	Dividend			Buy (add'l)	01/25/21	J		
306.					Buy (add'l)	05/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	05/28/21	J		
308.					Sold	06/24/21	K	A	
309. Ishares Interest Rate Hedge (IGBH)	A	Distribution	J	T	Buy (add'l)	01/25/21	J		
310.					Buy (add'l)	05/24/21	J		
311.					Buy (add'l)	05/28/21	J		
312.					Sold (part)	07/15/21	J	A	
313.					Sold (part)	08/26/21	J	A	
314.					Sold (part)	08/17/21	J	A	
315.					Sold (part)	09/07/21	J	A	
316.					Sold (part)	12/13/21	J	A	
317.					Sold (part)	12/15/21	J	A	
318. Sector SPDRSTPL Fimd (XLP)	A	Dividend	K	T	Buy (add'l)	01/25/21	J		
319. Ishare Global FINLS Sector (IXG)	A	Dividend	J	T	Buy	04/12/21	J		
320.					Buy (add'l)	05/24/21	J		
321.					Buy (add'l)	05/28/21	J		
322.					Sold (part)	07/15/21	J	A	
323.					Sold (part)	08/26/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	09/07/21	J	A	
325. WisdomTree Floating Rate Treasury (USFR)	A	Dividend	J	T	Buy	05/03/21	J		
326.					Buy (add'l)	05/24/21	J		
327.					Sold (part)	07/15/21	J	A	
328.					Sold (part)	08/17/21	J	A	
329.					Sold (part)	09/07/21	J	A	
330. Ishares Core Misc Europe (IEUR)	A	Dividend	J	T	Buy	05/05/21	J		
331.					Sold (part)	07/15/21	J	A	
332.					Buy (add'l)	07/26/21	J		
333.					Sold (part)	08/17/21	J	A	
334.					Sold (part)	09/07/21	J	A	
335.					Buy (add'l)	10/19/21	J		
336.					Buy (add'l)	09/09/21	J		
337.					Sold (part)	11/23/21	J	A	
338.					Sold (part)	12/15/21	J	A	
339. Ishares S & P Global Health (IXJ)	A	Dividend	J	T	Buy (add'l)	05/24/21	J		
340.					Sold (part)	08/17/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold (part)	09/07/21	J	A	
342. Ishares Transportation (IYT)	A	Dividend	J	T	Buy	05/28/21	J		
343.					Sold (part)	09/07/21	J	A	
344.					Sold (part)	12/15/21	J	A	
345. Ishares Dow Jones US (IYG)	A	Dividend	J	T	Buy	05/28/21	J		
346.					Sold (part)	07/02/21	J	A	
347.					Sold (part)	07/15/21	J	A	
348.					Buy (add'l)	07/26/21	J		
349.					Sold (part)	08/17/21	J	A	
350. Vanguard Value ETF (VTV)	A	Dividend	J	T	Buy	10/19/21	J		
351.					Sold (part)	12/13/21	J	A	
352. JP Morgan Exchange Traded Fund (BBJP)	A	Dividend	J	T	Buy	10/19/21	J		
353.					Buy (add'l)	12/13/21	J		
354. SPDR Gold Trust (GLD)	A	Dividend			Buy (add'l)	11/23/21	J		
355.					Sold	12/15/21	J	A	
356. SPDR Int Small Cap (GWX)	A	Dividend			Buy	11/23/21	J		
357.					Sold	12/15/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. IShares US Treasury Bonds (GOVT)	A	Dividend			Buy	11/23/21	J		
359.					Sold (part)	12/13/21	J		
360.					Sold	12/27/21	J	A	
361. IShares Misc ACWI US (ACWX)	A	Dividend			Buy (add'l)	11/23/21	J		
362.					Sold (part)	12/13/21	J	A	
363.					Sold	12/15/21	J	A	
364. Ishares Core Misc Pac ETF (IPAC)	A	Dividend			Buy	11/23/21	J		
365.					Sold	12/15/21	J	A	
366. Vanguard Short Term Corp Bonds (VCSH)	A	Dividend			Buy	11/23/21	J		
367.					Sold (part)	12/13/21	J	A	
368.					Sold	12/27/21	J	A	
369. Ishares Corp Aggregate Bond ETF (AGG)	A	Dividend	J	T	Buy	11/23/21	J		
370.					Buy (add'l)	12/15/21	J		
371.					Sold (part)	12/13/21	J	A	
372. Ishares Misc ACWI ETF (ACWI)	A	Dividend	J	T	Buy	11/23/21	J		
373.					Sold (part)	12/13/21	J	A	
374. Ishares Inc Emerging Markets (EMHY)	A	Dividend			Buy	11/23/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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375.					Sold (part)	12/13/21	J	A	
376.					Buy (add'l)	12/15/21	J		
377.					Sold	12/27/21	J	A	
378. Vanguard Comm Services (VOX)	A	Dividend	J	T	Buy	11/23/21	J		
379.					Sold (part)	12/13/21	J		
380.					Buy (add'l)	12/15/21	J		
381. Ishares TR S & P Global (KXI)	A	Dividend	J	T	Buy	12/13/21	J		
382. Vanguard Small Cap Value (VBR)	A	Dividend	J	T	Buy	12/15/21	J		
383. SPDR S & P Transportation (XTN)	A	Dividend	J	T	Buy	12/15/21	J		
384. Ishares Russell (IWB)	A	Dividend			Buy	12/15/21	J		
385.					Sold	12/27/21	J	A	
386. Wisdomtree Int S/C Div Fund (DLS)	A	Dividend	J	T	Buy	12/15/21	J		
387. Ishares TR Core Misc (IXUS)	A	Dividend	J	T	Buy	12/15/21	J		
388. Vanguard Intermediate Term Bond (BIV)	A	Dividend			Buy	12/15/21	J		
389.					Sold	12/27/21	J	A	
390. Ishares China Large Cap (FXI)	A	Dividend			Buy	12/15/21	J		
391.					Sold	12/27/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. SPDR Index SHS FDS (FEZ)	A	Dividend	J	T	Buy	12/15/21	J		
393. Ishares TR Core Misc EAF (IEFA)	A	Dividend	J	T	Buy	12/15/21	J		
394. Ishares Gold TR (IAU)	A	Dividend	K	T	Buy	02/01/21	K		
395. IShare TIPS Bond ECF (TIP)	A	Dividend	J	T	Sold (part)	02/01/21	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Gergel, Richard M.	5/9/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard M. Gergel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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