AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gergel, Richard M.	District of South Carolina	06/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge- Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
85 Broad Street Charleston, SC 29401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	South Carolina Association for Justice	August 5-6, 2022	Hilton Head, South Carolina	Talk honoring colleague's portrait presentation	Hotel, meals
2.	Buffalo, NY Chapter of American Board of Trial Advocates	October 15-18, 2022	Buffalo, NY	Series of talks, including local bar association, James Otil lecture	Travel, hotel, meals
3.	Villanova University Law School	October 23-25, 2022	Philadelphia, Pa.	Talks to law students and Villanova Law School alumni group	Travel, hotel, parking, meals
4.					

5.

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gergel, Richard M.	06/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Lincoln National Universal Life Insurance	B	Int./Div.	K	T					
2. Am Balanced Class A	B	Interest	J	T					
3. Am. High Income Trust Class A	A	Int./Div.	K	T					
4. VistaBank South Carolina		None	J	T					
5. Bank of America Checking	A	Int./Div.	M	T					
6. TIAA-CREF Fixed Annuity	D	Int./Div.	M	T					
7. Wachovia Certificates of Deposit	A	Int./Div.	J	T					
8. Blackrock Global Allocation Fund	B	Int./Div.	J	T	Sold (part)	06/01/22	J		
9.					Sold (part)	07/21/22	J		
10.					Sold (part)	08/23/22	J		
11.					Sold (part)	08/26/22	J		
12.					Sold (part)	09/28/22	J		
13.					Buy (add'l)	02/08/22	J		
14.					Buy (add'l)	02/07/22	J		
15.					Buy (add'l)	08/23/22	J		
16. Blackrock Equity Driven Fund	A	Int./Div.	J	T	Buy	02/03/22	J		
17.					Buy (add'l)	02/07/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.					Buy (add'l)	06/01/22	J		
19.					Sold (part)	05/27/22	J	A	
20.					Sold (part)	08/23/22	J	A	
21.					Sold (part)	08/24/22	J	A	
22.					Sold (part)	08/26/22	J	A	
23.					Sold (part)	09/28/22	J		
24.					Sold (part)	10/26/22	J	A	
25.					Buy (add'l)	02/03/22	J		
26.					Buy (add'l)	02/04/22	J		
27.	American Fund Global Allocation Fund	A	Int./Div.	K	T				
28.	First Eagle Global Fund (SGIIX)	A	Dividend	K	T				
29.	FIA Card SErvices Money Market	A	Interest	J	T				
30.	Merill Lynch Bank Deposit Fund	A	Interest	J	T				
31.	BOA Cash Reserve Money Market Fund	A	Interest	J	T				
32.	IShares US Preferred Stock ETF (PFF)	A	Int./Div.	J	T				
33.	SPDR US Financial Sector Fund (XLF)	A	Int./Div.			Sold (part)	01/12/22	J	A
34.						Sold (part)	02/03/22	J	A

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Sold (part)	03/08/22	J	A	
36.					Sold (part)	05/16/22	J	A	
37.					Sold (part)	05/27/22	J	A	
38.					Sold	06/29/22	J	A	
39. Healthcare Select SPDR Fund (XLV)	A	Dividend	J	T	Sold (part)	01/12/22	J	A	
40.					Sold (part)	02/03/22	J	A	
41.					Sold (part)	05/27/22	J	A	
42.					Sold (part)	08/26/22	J	A	
43.					Sold (part)	09/08/22	J	A	
44.					Sold (part)	09/28/22	J	A	
45.					Sold (part)	09/26/22	J	A	
46.					Buy (add'l)	08/16/22	J		
47. Consumer Discretionary SPDR Fund (XLY)	A	Dividend	J	T	Sold (part)	01/12/22	J	A	
48.					Sold (part)	02/03/22	J	A	
49.					Sold (part)	03/08/22	J	A	
50.					Sold (part)	05/27/22	J		
51.					Sold (part)	06/29/22	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52.					Sold (part)	07/21/22	J		
53.					Sold (part)	08/26/22	J		
54.					Sold (part)	09/28/22	J		
55. Comm. Services Select Sector Fund (XLC)	A	Dividend	J	T	Sold (part)	02/03/22	J	A	
56.					Sold (part)	03/08/22	J	A	
57.					Sold (part)	05/27/22	J	A	
58.					Sold (part)	07/21/22	J		
59.					Buy (add'l)	01/18/22	J		
60.					Buy (add'l)	02/07/22	J		
61. Vanguard MSCI Pacific Fund (VPL)	A	Dividend	J	T	Sold (part)	02/03/22	J	A	
62.					Sold (part)	05/27/22	J		
63.					Sold (part)	07/21/22	J		
64.					Sold (part)	08/23/22	J		
65.					Sold (part)	08/26/22	J	A	
66.					Sold (part)	09/08/22	J		
67.					Sold (part)	09/28/22	J		
68.					Sold (part)	10/26/22	J		

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69.					Buy (add'l)	02/07/22	J		
70.					Buy (add'l)	03/08/22	J		
71.					Buy (add'l)	05/16/22	J		
72.					Buy (add'l)	07/21/22	J		
73.					Buy (add'l)	08/22/22	J		
74. Vanguard FTSE All World Fund (VEU)	A	Dividend	J	T	Sold (part)	07/21/22	J		
75.					Sold (part)	08/26/22	J	A	
76.					Sold (part)	09/28/22	J		
77.					Sold (part)	10/26/22	J		
78.					Buy (add'l)	08/22/22	J		
79.					Buy (add'l)	08/24/22	J		
80. Select Sector SPDR Fund ((XLK)	A	Dividend			Sold (part)	01/12/22	J	A	
81.					Sold (part)	03/08/22	J	A	
82.					Sold	07/21/22	J		
83.					Buy (add'l)	02/09/22	J		
84.					Buy (add'l)	03/29/22	J		
85.					Buy (add'l)	05/16/22	J		

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2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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86. Select SPDR Industrial Fund (XLI)	A	Dividend			Sold (part)	01/12/22	J	A	
87.					Sold	03/08/22	J		
88. Graniteshares Gold Shares (BAR)	A	Dividend	J	T	Sold (part)	01/12/22	J	A	
89. Vanguard Intermediate Term Corporate Bond Fund (VCIT)	A	Dividend	J	T	Sold (part)	01/12/22	J		
90.					Sold (part)	02/03/22	J		
91.					Sold (part)	03/08/22	J		
92.					Sold (part)	03/23/22	J		
93.					Sold (part)	05/27/22	J		
94.					Sold (part)	06/29/22	J		
95.					Sold (part)	07/21/22	J		
96.					Sold (part)	08/24/22	J		
97.					Sold (part)	08/26/22	J		
98.					Sold (part)	09/08/22	J		
99.					Sold (part)	09/28/22	J		
100.					Sold (part)	10/26/22	J		
101. IShares 3-7 Year Treasury Bond Fund (IEI)	A	Dividend	J	T	Sold (part)	01/12/22	J		
102.					Sold (part)	02/03/22	J		

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103.						Sold (part)	03/23/22	J		
104.						Sold (part)	08/26/22	J		
105.						Sold (part)	09/28/22	J		
106.						Sold (part)	10/26/22	J		
107.						Buy (add'l)	06/29/22	J		
108.						Buy (add'l)	08/16/22	J		
109.	SPDR Portfolio Short Term Corp Bond Fund (SPSB)	A	Dividend			Sold (part)	02/03/22	J		
110.						Sold (part)	03/08/22	J		
111.						Sold (part)	05/16/22	J		
112.						Sold (part)	05/27/22	J		
113.						Sold (part)	06/06/22	J		
114.						Sold (part)	07/21/22	J		
115.						Sold (part)	08/23/22	J		
116.						Sold (part)	08/26/22	J		
117.						Sold	09/08/22	J		
118.						Buy (add'l)	02/07/22	J		
119.						Buy (add'l)	06/29/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Buy (add'l)	08/22/22	J		
121. IShares MSCI All Country Asia Ex Japan Fund ((AAXJ)	A	Dividend			Sold (part)	01/12/22	J	A	
122.					Sold	01/18/22	J		
123. IShares Russell 1000 (IWB)	A	Int./Div.			Buy	07/21/22	K		
124.					Sold	08/22/22	K	A	
125. IShares RS 2000 Fund (IWN)	A	Int./Div.			Sold (part)	02/03/22	J		
126.					Sold (part)	03/09/22	J		
127.					Sold	03/29/22	J	A	
128.					Buy (add'l)	01/18/22	J		
129. Ishares Investment Grade Corporate Bond (LQD)	A	Dividend	J	T	Sold (part)	05/27/22	J	A	
130.					Sold (part)	07/21/22	J		
131.					Sold (part)	08/26/22	J		
132.					Sold (part)	09/28/22	J		
133.					Sold (part)	10/26/22	J		
134.					Buy	03/29/22	J		
135.					Buy (add'l)	04/11/22	J		
136.					Buy (add'l)	06/09/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D			
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period			
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137. Ishares TR S & P Global Materials (MXI)	A	Dividend			Sold (part)	05/27/22	J	
138.					Sold	06/09/22	J	
139.					Buy (add'l)	01/18/22	J	
140.					Buy (add'l)	02/07/22	J	
141. IShares Interest Rate Hedged Corporate Bond ETF ((LQDH)	A	Dividend			Sold	06/09/22	J	
142. Ishares 7-10 year treasury bonds (IEF)	A	Dividend	J	T	Sold (part)	01/12/22	J	
143.					Sold (part)	02/03/22	J	
144.					Sold (part)	05/27/22	J	
145.					Sold (part)	07/21/22	J	
146.					Sold (part)	08/26/22	J	
147.					Sold (part)	09/28/22	J	
148.					Buy (add'l)	02/07/22	J	
149.					Buy (add'l)	08/22/22	J	
150. Sector SPDR Energy (XLE)	A	Dividend	J	T	Sold (part)	02/03/22	J	
151.					Sold (part)	03/08/22	J	
152.					Sold (part)	05/18/22	J	
153.					Sold (part)	08/16/22	J	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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154.					Buy (add'l)	02/07/22	J	
155. IShares MSCI Intl Qualit Factor (IQLT)	A	Dividend	J	T	Sold (part)	07/01/22	J	
156.					Sold (part)	08/26/22	J	
157.					Sold (part)	08/29/22	J	
158.					Sold (part)	09/28/22	J	
159.					Sold (part)	10/26/22	J	
160.					Sold (part)	11/21/22	J	
161. Pimco 5 yr H/Y Corp Bond (HYS)	A	Dividend			Sold (part)	05/27/22	J	
162.					Sold	06/09/22	J	
163.					Buy	02/07/22	J	
164. Ishares Misc China (MCHI)	A	Dividend	J	T	Sold (part)	02/03/22	J	
165.					Sold (part)	05/27/22	J	
166.					Sold (part)	07/21/22	J	
167.					Sold (part)	08/26/22	J	
168.					Sold (part)	09/08/22	J	
169.					Sold (part)	10/26/22	J	
170.					Buy (add'l)	08/22/22	J	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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171. Ishares 10-20 year treasury bond (TLH)	A	Dividend	J	T	Sold (part)	01/12/22	J		
172.					Sold (part)	02/03/22	J		
173.					Sold (part)	09/28/22	J		
174.					Sold (part)	10/26/22	J		
175.					Sold (part)	07/21/22	J	A	
176.					Sold (part)	08/26/22	J		
177.					Buy (add'l)	07/11/22	J		
178.					Buy (add'l)	08/22/22	J		
179.					Buy (add'l)	08/23/22	J		
180.					Buy (add'l)	08/24/22	J		
181. Ishares Misc Emerging Markets (EMXC)	A	Dividend	J	T	Sold (part)	02/03/22	J		
182.					Sold (part)	07/01/22	J		
183.					Sold (part)	08/26/22	J	A	
184.					Sold (part)	09/28/22	J		
185.					Sold (part)	10/26/22	J		
186.					Buy (add'l)	01/18/22	J		
187.					Buy (add'l)	02/07/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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188.					Buy (add'l)	08/24/22	J		
189. SPDR KBW Regional Banking (KRE)	A	Dividend			Sold (part)	01/12/22	J	A	
190.					Sold	06/09/22	J		
191.					Buy (add'l)	02/07/22	J		
192. Ishares Misc USA Quality (QUAL)	A	Dividend			Sold (part)	07/21/22	J		
193.					Sold (part)	08/26/22	J		
194.					Sold (part)	08/29/22	J		
195.					Sold (part)	09/28/22	J		
196.					Sold (part)	10/26/22	J		
197.					Sold	11/21/22	J		
198.					Buy (add'l)	02/07/22	J		
199.					Buy (add'l)	08/22/22	J		
200. Vaneck Vectors Emerging Markets (HYEM)	A	Distribution			Sold (part)	01/12/22	J		
201.					Sold (part)	02/03/22	J		
202.					Sold (part)	05/27/22	J		
203.					Sold (part)	06/09/22	J		
204.					Sold	07/11/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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205. Vanguard Misc European (VGK)	A	Dividend	J	T	Sold (part)	02/03/22	J	A	
206.					Sold (part)	05/27/22	J	A	
207.					Sold (part)	07/21/22	J		
208.					Sold (part)	08/26/22	J		
209.					Sold (part)	09/28/22	J		
210.					Sold (part)	10/26/22	J		
211.					Buy (add'l)	02/07/22	J		
212.					Buy (add'l)	08/22/22	J		
213. Ishares Interest Rate Hedge (IGBH)	A	Distribution			Sold (part)	02/03/22	J		
214.					Sold (part)	05/27/22	J		
215.					Sold (part)	06/09/22	J		
216.					Sold	07/11/22	J		
217. Sector SPDRSTPL Fimd (XLP)	A	Dividend	J	T	Sold (part)	08/22/22	J	A	
218.					Sold (part)	09/08/22	J		
219.					Sold (part)	10/26/22	J		
220.					Buy (add'l)	07/21/22	J		
221.					Buy (add'l)	09/08/22	J		

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222. Ishare Global FINLS Sector (IXG)	A	Dividend			Sold (part)	01/12/22	J	A	
223.					Sold (part)	02/03/22	J	A	
224.					Sold (part)	07/21/22	J		
225.					Sold (part)	08/26/22	J	A	
226.					Sold (part)	09/28/22	J		
227.					Sold (part)	10/26/22	J	A	
228.					Sold	11/21/22	J		
229.					Buy (add'l)	02/07/22	J		
230.					Buy (add'l)	08/22/22	J		
231.					Buy (add'l)	08/24/22	J		
232. WisdomTree Floating Rate Treasury (USFR)	A	Dividend	J	T	Sold (part)	01/12/22	J		
233.					Sold (part)	02/03/22	J	A	
234.					Sold (part)	03/30/22	J	A	
235.					Sold (part)	05/27/22	J	A	
236.					Sold (part)	07/11/22	J	A	
237.					Sold (part)	08/26/22	J	A	
238.					Sold (part)	09/28/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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239.					Buy (add'l)	02/07/22	J		
240.					Buy (add'l)	10/18/22	J		
241. Ishares Core Misc Europe (IEUR)	A	Dividend	J	T	Sold (part)	02/03/22	J		
242.					Sold (part)	05/16/22	J		
243.					Sold (part)	05/27/22	J		
244.					Sold (part)	06/29/22	J		
245.					Sold (part)	07/21/22	J		
246.					Sold (part)	08/26/22	J		
247.					Sold (part)	09/08/22	J		
248.					Sold (part)	10/26/22	J	A	
249.					Buy (add'l)	01/18/22	J		
250.					Buy (add'l)	02/07/22	J		
251.					Buy (add'l)	03/08/22	J		
252.					Buy (add'l)	08/22/22	J		
253. Ishares S & P Global Health (IXJ)	A	Dividend	J	T	Sold (part)	02/03/22	J	A	
254.					Sold (part)	05/27/22	J	A	
255.					Sold (part)	08/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
256.					Sold (part)	09/28/22	J		
257.					Sold (part)	10/26/22	J		
258. Ishares Transportation (IYT)	A	Dividend			Sold	02/03/22	J	A	
259.					Sold (part)	05/27/22	J	A	
260.					Sold	06/09/22	J	A	
261.					Buy (add'l)	02/07/22	J		
262. Ishares Dow Jones US (IYG)	A	Dividend			Sold (part)	01/12/22	J	A	
263.					Sold (part)	02/03/22	J	A	
264.					Sold	05/16/22	J		
265.					Buy (add'l)	02/07/22	J		
266. Vanguard Value ETF (VTV)	A	Dividend	J	T	Sold (part)	01/12/22	J	A	
267.					Sold (part)	02/03/22	J	A	
268.					Sold (part)	05/27/22	J	A	
269.					Sold (part)	07/21/22	J		
270.					Sold (part)	08/26/22	J	A	
271.					Sold (part)	09/28/22	J		
272.					Sold (part)	10/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
273.					Buy (add'l)	02/07/22	J		
274.					Buy (add'l)	08/22/22	J		
275. JP Morgan Exchange Traded Fund (BBJP)	A	Dividend	J	T	Sold (part)	01/12/22	J		
276.					Sold (part)	02/03/22	J		
277.					Sold (part)	05/27/22	J		
278.					Sold (part)	07/21/22	J		
279.					Sold (part)	08/26/22	J		
280.					Sold (part)	09/28/22	J		
281.					Sold (part)	10/26/22	J		
282.					Buy (add'l)	08/22/22	J		
283. JP Morgan Exchange Traded FD TR S (JEPI)	A	Int./Div.	K	T	Sold (part)	05/27/22	J		
284.					Sold (part)	07/21/22	K		
285.					Sold (part)	08/24/22	J		
286.					Sold (part)	08/26/22	J		
287.					Sold (part)	09/28/22	J		
288.					Sold (part)	10/26/22	J		
289.					Buy	02/03/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
290.					Buy (add'l)	02/07/22	J		
291.					Buy (add'l)	08/22/22	J		
292. IShares US Treasury Bonds (GOVT)	A	Dividend			Buy	07/21/22	J		
293.					Sold	08/22/22	J		
294. Vanguard Short Term Corp Bonds (VCSH)	A	Dividend			Sold	08/22/22	J		
295.					Buy	07/21/22	J		
296. Ishares Corp Aggregate Bond ETF (AGG)	A	Dividend			Sold (part)	01/12/22	J		
297.					Sold	01/18/22	J		
298. Ishares Misc ACWI ETF (ACWI)	A	Dividend			Sold (part)	01/12/22	J		
299.					Sold	01/18/22	J	A	
300. Vanguard Comm Services (VOX)	A	Dividend			Sold (part)	01/12/22	J		
301.					Sold (part)	01/18/22	J		
302.					Sold	08/22/22	J		
303.					Buy (add'l)	07/21/22	J		
304. Ishares TR S & P Global (KXI)	A	Dividend			Sold (part)	01/12/22	J	A	
305.					Sold (part)	02/03/22	J	A	
306.					Sold (part)	05/27/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
307.					Sold (part)	07/21/22	J		
308.					Sold (part)	08/26/22	J		
309.					Sold (part)	09/28/22	J		
310.					Sold	10/26/22	J		
311. Vanguard Small Cap Value (VBR)	A	Dividend			Sold	01/18/22	J	A	
312. SPDR S & P Transportation (XTN)	A	Dividend			Sold	01/18/22	J		
313. Wisdomtree Int S/C Div Fund (DLS)	A	Dividend			Sold	01/18/22	J	A	
314. Ishares TR Core Misc (IXUS)	A	Dividend			Sold (part)	01/12/22	J	A	
315.					Sold (part)	01/18/22	J	A	
316.					Sold	08/22/22	J	A	
317.					Buy (add'l)	07/21/22	J		
318. SPDR Index SHS FDS (FEZ)	A	Dividend			Sold (part)	01/12/22	J	A	
319.					Sold (part)	01/18/22	J	A	
320.					Sold	08/22/22	J	A	
321.					Buy (add'l)	07/22/22	J		
322. Ishares TR Core Misc EAF (IEFA)	A	Dividend			Sold (part)	01/12/22	J	A	
323.					Sold (part)	01/18/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
324.					Sold	08/22/22	J	A	
325.					Buy (add'l)	07/21/22	J		
326. IShare TIPS Bond ECF (TIP)	A	Dividend	J	T					
327. Abbey Capital Futures (ABYIX)	A	Int./Div.	J	T	Sold (part)	05/27/22	J	A	
328.					Sold (part)	08/23/22	J	A	
329.					Sold (part)	08/26/22	J	A	
330.					Sold (part)	09/28/22	J	A	
331.					Buy	02/04/22	J		
332.					Buy (add'l)	02/07/22	J		
333.									
334. AQR Diversified (ADAIX)	A	Int./Div.	J	T	Sold (part)	05/27/22	J	A	
335.					Sold (part)	06/01/22	J		
336.					Sold (part)	08/23/22	J		
337.					Sold (part)	08/24/22	J		
338.					Sold (part)	08/26/22	J		
339.					Sold (part)	09/28/22	J		
340.					Sold (part)	10/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
341.					Buy	02/03/22	J		
342.					Buy (add'l)	02/04/22	J		
343.					Buy (add'l)	02/07/22	J		
344. BrandywineGLBL (LROIX)	A	Int./Div.	J	T	Sold (part)	06/01/22	J		
345.					Sold (part)	07/21/22	J		
346.					Sold (part)	08/23/22	J		
347.					Sold (part)	08/26/22	J		
348.					Sold (part)	09/28/22	J		
349.					Buy	02/04/22	J		
350.					Buy (add'l)	02/07/22	J		
351.					Buy (add'l)	08/22/22	J		
352. Gotham Absolute Return (GARIX)	A	Int./Div.	J	T	Sold (part)	05/27/22	J		
353.					Sold (part)	06/01/22	J		
354.					Sold (part)	07/21/22	J		
355.					Sold (part)	08/23/22	J		
356.					Sold (part)	08/24/22	J		
357.					Sold (part)	08/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Gergel, Richard M.	06/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
358.					Sold (part)	09/28/22	J		
359.					Sold (part)	10/26/22	J		
360.					Buy	02/03/22	J		
361.					Buy (add'l)	02/04/22	J		
362.					Buy (add'l)	02/07/22	J		
363. ISHARES 20+ year treasury bonds (TLT)	A	Int./Div.	J	T	Sold (part)	08/26/22	J		
364.					Sold (part)	09/28/22	J		
365.					Sold (part)	10/26/22	J		
366.					Buy	06/06/22	J		
367. ISHARES US Aerospace ETF (ITA)	A	Int./Div.	J	T	Sold (part)	07/21/22	J		
368.					Sold (part)	08/26/22	J		
369.					Sold (part)	09/28/22	J		
370.					Buy	06/09/22	J		
371.					Buy (add'l)	08/22/22	J		
372. ISHARES MBS ERF (MBB)	A	Int./Div.	J	T	Sold (part)	08/26/22	J		
373.					Sold (part)	09/28/22	J		
374.					Sold (part)	10/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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375.					Buy	06/06/22	J		
376. ISHARES Treasuryt Floatin Rate Bond (TFLP)	A	Int./Div.	J	T	Sold (part)	09/28/22	J	A	
377.					Sold (part)	10/26/22	J	A	
378.					Buy	09/08/22	J		
379. Janus Detroit Street Tr ((JAAA)	A	Int./Div.	J	T	Sold (part)	05/27/22	J		
380.					Sold (part)	07/21/22	J		
381.					Sold (part)	08/26/22	J		
382.					Sold (part)	09/28/22	J		
383.					Sold (part)	10/26/22	J		
384.					Buy	02/14/22	J		
385.					Buy (add'l)	08/22/22	J		
386. John Hancock Diversified ((JDJIX)	A	Int./Div.	J	T	Sold (part)	08/03/22	J	A	
387.					Sold (part)	08/24/22	J	A	
388.					Sold (part)	08/26/22	J	A	
389.					Sold (part)	09/28/22	J	A	
390.					Buy	06/01/22	J		
391. John Hancock Seaport Long/Short Fund (JSFDX)	A	Dividend	J	T	Sold (part)	05/27/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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392.						Sold (part)	07/21/22	J		
393.						Sold (part)	08/23/22	J		
394.						Sold (part)	08/24/22	J		
395.						Sold (part)	08/26/22	J		
396.						Sold (part)	09/28/22	J		
397.						Buy	02/03/22	J		
398.						Buy (add'l)	02/04/22	J		
399.						Buy (add'l)	02/07/22	J		
400.						Sold (part)	08/22/22	J		
401.	Neuberger Berman Long Short Fund (NLSIX)	A	Int./Div.	J	T	Sold (part)	05/27/22	J		
402.						Sold (part)	07/21/22	J		
403.						Sold (part)	08/23/22	J		
404.						Sold (part)	08/24/22	J		
405.						Sold (part)	08/26/22	J		
406.						Sold (part)	09/28/22	J		
407.						Sold (part)	10/26/22	J		
408.						Buy	02/03/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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409.					Buy (add'l)	02/04/22	J		
410. Pacer US Cash Cows 100 ((COWZ)	A	Int./Div.	K	T	Sold (part)	07/21/22	K		
411.					Sold (part)	08/26/22	J	A	
412.					Sold (part)	09/26/22	J	A	
413.					Buy (add'l)	05/27/22	K		
414.					Buy (add'l)	08/22/22	J		
415. PIMCO Dynamic Bond Fund (XLP)	A	Int./Div.	J	T	Sold (part)	06/01/22	J		
416.					Sold (part)	07/21/22	J		
417.					Sold (part)	08/23/22	J		
418.					Sold (part)	08/26/22	J		
419.					Sold (part)	09/28/22	J		
420.					Buy	02/04/22	J		
421.					Buy (add'l)	02/07/22	J		
422.					Buy (add'l)	08/22/22	J		
423. Sector SPDR Utilities (XLU)	A	Int./Div.	J	T	Sold (part)	05/27/22	J	A	
424.					Sold (part)	07/21/22	J		
425.					Sold (part)	08/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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426.					Sold (part)	09/08/22	J		
427.					Sold (part)	09/28/22	J		
428.					Sold (part)	10/26/22	J		
429.					Buy	03/29/22	J		
430.					Buy (add'l)	06/09/22	J		
431.					Buy (add'l)	08/22/22	J		
432. SPDR S & P Dividend ETF (SDY)	A	Int./Div.	J	T	Sold (part)	05/27/22	J		
433.					Sold (part)	07/21/22	J		
434.					Sold (part)	08/26/22	J		
435.					Sold (part)	09/28/22	J		
436.					Sold (part)	10/26/22	J		
437.					Buy	03/29/22	J		
438.					Buy (add'l)	04/11/22	J		
439.					Buy (add'l)	06/09/22	J		
440.					Buy (add'l)	08/22/22	J		
441. SPDR S & P Global Naural Resources ETF ((VTV)	A	Int./Div.	J	T	Sold (part)	07/21/22	J		
442.					Sold (part)	08/26/22	J		

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443.					Sold (part)	09/28/22	J		
444.					Buy	06/09/22	J		
445.					Buy (add'l)	08/22/22	J		
446. Vanguard Long Term Corp Bond)VCIT)	A	Int./Div.	J	T	Sold (part)	05/27/22	J		
447.					Sold (part)	07/21/22	J		
448.					Sold (part)	08/23/22	J		
449.					Sold (part)	08/26/22	J		
450.					Sold (part)	09/08/22	J		
451.					Sold (part)	09/28/22	J		
452.					Buy	03/23/22	J		
453.					Buy (add'l)	04/02/22	J		
454.					Buy (add'l)	06/06/22	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Gergel, Richard M.	06/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard M. Gergel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544