AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Gerrard, John M. | U.S. District Court, Nebraska | 05/15/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge - Senior Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| 586 Federal Building<br>100 Centennial Mall North<br>Lincoln, Nebraska 68508 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| DATE | PARTIES AND TERMS |
|---|---|
| 1. | |
| 2. | |
| 3. | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gerrard, John M.** | 05/15/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. First National Bank Omaha Checking Account | A | Interest | | | Closed | 11/01/22 | J | | |
| 2. U.S. Bank Checking Account | A | Interest | J | T | | | | | |
| 3. Frontier Bank Checking Account | A | Interest | J | T | | | | | |
| 4. Stifel Bank Program Money Market Account | A | Interest | J | T | | | | | |
| 5. Edward Jones Account - X | | None | J | T | Open | 09/26/22 | J | | |
| 6. AAIEX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |
| 7. | | | | | Sold (part) | 02/09/22 | J | A | |
| 8. | | | | | Sold (part) | 05/25/22 | J | A | |
| 9. | | | | | Sold (part) | 09/19/22 | J | A | |
| 10. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 11. AEPFX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |
| 12. | | | | | Buy (add'l) | 02/09/22 | J | | |
| 13. | | | | | Buy (add'l) | 05/25/22 | J | | |
| 14. | | | | | Sold (part) | 09/19/22 | J | A | |
| 15. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 16. AMCFX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |
| 17. | | | | | Buy (add'l) | 02/09/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gerrard, John M.** | 05/15/2023 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. | | | | | Buy (add'l) | 05/25/22 | J | | |
| 19. | | | | | Sold (part) | 09/19/22 | J | A | |
| 20. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 21. BIL ETF | A | Dividend | K | T | Buy | 07/19/22 | K | | |
| 22. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 23. CDC ETF | B | Dividend | L | T | Buy (add'l) | 10/24/22 | J | | |
| 24. CDL ETF | B | Dividend | L | T | Buy (add'l) | 10/24/22 | J | | |
| 25. CFA ETF | A | Dividend | L | T | Buy (add'l) | 10/24/22 | J | | |
| 26. CFO ETF | A | Dividend | L | T | Buy (add'l) | 10/24/22 | J | | |
| 27. CIL ETF | A | Dividend | K | T | Buy (add'l) | 10/24/22 | J | | |
| 28. CIZ ETF | A | Dividend | K | T | Buy (add'l) | 10/24/22 | J | | |
| 29. CSA ETF | A | Dividend | K | T | Buy (add'l) | 10/24/22 | J | | |
| 30. CSF ETF | A | Dividend | K | T | Buy (add'l) | 10/24/22 | J | | |
| 31. DXJ ETF | A | Dividend | | | Buy | 04/21/22 | J | | |
| 32. | | | | | Sold (part) | 07/19/22 | J | A | |
| 33. | | | | | Sold | 10/18/22 | J | A | |
| 34. EMXC ETF | A | Dividend | | | Sold (part) | 01/20/22 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 35. | | | | | Sold (part) | 02/01/22 | J | A | |
| 36. | | | | | Sold | 04/21/22 | J | A | |
| 37. EQAL ETF | A | Dividend | J | T | Buy | 03/23/22 | J | | |
| 38. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 39. EWUS ETF | A | Dividend | | | Buy (add'l) | 01/20/22 | J | | |
| 40. | | | | | Sold (part) | 02/01/22 | J | A | |
| 41. | | | | | Sold | 04/21/22 | J | A | |
| 42. FSCCX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |
| 43. | | | | | Sold (part) | 02/09/22 | J | A | |
| 44. | | | | | Sold (part) | 05/25/22 | J | A | |
| 45. | | | | | Sold (part) | 09/19/22 | J | A | |
| 46. | | | | | Sold (part) | 10/25/22 | J | A | |
| 47. | | | | | Sold (part) | 12/28/22 | J | A | |
| 48. GICIX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |
| 49. | | | | | Sold (part) | 02/09/22 | J | A | |
| 50. | | | | | Sold (part) | 05/25/22 | J | A | |
| 51. | | | | | Buy (add'l) | 09/19/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
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|---|---|---|---|---|---|---|---|---|---|
| 52. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 53. | | | | | Sold (part) | 12/28/22 | J | A | |
| 54. HYBB ETF | A | Dividend | | | Buy | 07/19/22 | J | | |
| 55. | | | | | Sold | 10/25/22 | J | A | |
| 56. IAU ETF | A | Dividend | | | Sold (part) | 01/20/22 | J | A | |
| 57. | | | | | Sold (part) | 02/01/22 | J | A | |
| 58. | | | | | Buy (add'l) | 04/21/22 | J | | |
| 59. | | | | | Buy (add'l) | 07/19/22 | J | | |
| 60. | | | | | Sold (part) | 10/18/22 | J | A | |
| 61. | | | | | Sold | 10/25/22 | J | A | |
| 62. IEMG ETF | A | Dividend | J | T | Buy | 10/24/22 | J | | |
| 63. IGM ETF | A | Dividend | | | Buy | 10/25/22 | J | | |
| 64. | | | | | Sold | 11/02/22 | J | A | |
| 65. JIGFX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |
| 66. | | | | | Sold (part) | 02/09/22 | J | A | |
| 67. | | | | | Sold (part) | 05/25/22 | J | A | |
| 68. | | | | | Sold (part) | 09/19/22 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 69. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 70. | | | | | Sold (part) | 12/28/22 | J | A | |
| 71. MADVX Mutual Fund | A | Dividend | J | T | Sold (part) | 12/28/22 | J | A | |
| 72. MDYG ETF | A | Dividend | | | Buy | 10/18/22 | J | | |
| 73. | | | | | Sold | 10/25/22 | J | A | |
| 74. MDYV ETF | A | Dividend | | | Buy | 10/18/22 | J | | |
| 75. | | | | | Sold | 10/25/22 | J | A | |
| 76. MFEIX Mutual Fund | A | Dividend | J | T | Sold (part) | 12/28/22 | J | A | |
| 77. MISGX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |
| 78. | | | | | Buy (add'l) | 02/09/22 | J | | |
| 79. | | | | | Buy (add'l) | 05/25/22 | J | | |
| 80. | | | | | Sold (part) | 09/19/22 | J | A | |
| 81. | | | | | Sold (part) | 10/25/22 | J | A | |
| 82. | | | | | Sold (part) | 12/28/22 | J | A | |
| 83. MQGIX Mutual Fund | A | Dividend | J | T | Sold (part) | 12/28/22 | J | A | |
| 84. NFFFX Mutual Fund | A | Dividend | J | T | Sold (part) | 04/21/22 | J | A | |
| 85. ODVYX Mutual Fund | A | Dividend | J | T | Sold (part) | 02/01/22 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 86. | | | | | Buy (add'l) | 02/09/22 | J | | |
| 87. | | | | | Buy (add'l) | 05/25/22 | J | | |
| 88. | | | | | Sold (part) | 09/19/22 | J | A | |
| 89. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 90. PDBC ETF | A | Dividend | | | Buy | 01/20/22 | J | | |
| 91. | | | | | Sold (part) | 02/01/22 | J | A | |
| 92. | | | | | Buy (add'l) | 04/21/22 | J | | |
| 93. | | | | | Sold (part) | 07/19/22 | J | A | |
| 94. | | | | | Sold (part) | 10/18/22 | J | A | |
| 95. | | | | | Sold | 10/25/22 | J | A | |
| 96. RILYK Preferred | A | Dividend | J | T | | | | | |
| 97. RILYN Note | A | Dividend | J | T | Buy | 04/21/22 | J | | |
| 98. SLV Trust | A | Dividend | | | Sold | 01/20/22 | J | A | |
| 99. SLYG ETF | A | Dividend | | | Buy (add'l) | 01/20/22 | J | | |
| 100. | | | | | Sold (part) | 02/01/22 | J | A | |
| 101. | | | | | Buy (add'l) | 04/21/22 | J | | |
| 102. | | | | | Buy (add'l) | 07/19/22 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Gerrard, John M.** | 05/15/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. | | | | | Sold (part) | 10/18/22 | J | A | |
| 104. | | | | | Sold | 10/25/22 | J | A | |
| 105. SLYV ETF | A | Dividend | | | Buy (add'l) | 01/20/22 | J | | |
| 106. | | | | | Sold (part) | 02/01/22 | J | A | |
| 107. | | | | | Sold (part) | 04/21/22 | J | A | |
| 108. | | | | | Buy (add'l) | 07/19/22 | J | | |
| 109. | | | | | Sold (part) | 10/18/22 | J | A | |
| 110. | | | | | Sold | 10/25/22 | J | A | |
| 111. SMGIX Mutual Fund | A | Dividend | K | T | Sold (part) | 02/01/22 | J | A | |
| 112. | | | | | Buy (add'l) | 02/09/22 | J | | |
| 113. | | | | | Buy (add'l) | 05/25/22 | J | | |
| 114. | | | | | Buy (add'l) | 09/19/22 | J | | |
| 115. | | | | | Buy (add'l) | 10/25/22 | J | | |
| 116. SPDW ETF | A | Dividend | | | Buy (add'l) | 01/20/22 | J | | |
| 117. | | | | | Sold (part) | 02/01/22 | J | A | |
| 118. | | | | | Sold (part) | 04/21/22 | J | A | |
| 119. | | | | | Sold | 07/19/22 | J | A | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gerrard, John M.** | 05/15/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. SPY ETF | A | Dividend | L | T | Sold (part) | 02/01/22 | J | A | |
| 121. | | | | | Sold (part) | 03/01/22 | J | A | |
| 122. | | | | | Sold (part) | 06/17/22 | J | A | |
| 123. | | | | | Sold (part) | 07/18/22 | J | A | |
| 124. SPYG ETF | A | Dividend | | | Buy (add'l) | 01/20/22 | J | | |
| 125. | | | | | Sold (part) | 02/01/22 | J | A | |
| 126. | | | | | Buy (add'l) | 04/21/22 | J | | |
| 127. | | | | | Buy (add'l) | 07/19/22 | J | | |
| 128. | | | | | Sold (part) | 10/18/22 | J | A | |
| 129. | | | | | Sold | 10/25/22 | J | A | |
| 130. SPYV | A | Dividend | | | Sold (part) | 01/20/22 | J | A | |
| 131. | | | | | Sold (part) | 02/01/22 | J | A | |
| 132. | | | | | Sold (part) | 04/21/22 | J | A | |
| 133. | | | | | Buy (add'l) | 07/19/22 | J | | |
| 134. | | | | | Sold (part) | 10/18/22 | J | A | |
| 135. | | | | | Sold | 10/25/22 | J | A | |
| 136. WMFFX Mutual Fund | A | Dividend | K | T | Sold (part) | 02/01/22 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Gerrard, John M.** | 05/15/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 137. | | | | | Buy (add'l) | 02/09/22 | J | | |
| 138. | | | | | Sold (part) | 05/25/22 | J | A | |
| 139. | | | | | Sold (part) | 09/19/22 | J | A | |
| 140. | | | | | Sold (part) | 10/25/22 | J | A | |
| 141. XLC ETF | A | Dividend | J | T | Buy | 10/25/22 | J | | |
| 142. XLK ETF | A | Dividend | K | T | Buy | 10/25/22 | J | | |
| 143. XLP ETF | A | Dividend | J | T | Buy | 10/25/22 | J | | |
| 144. | | | | | Buy (add'l) | 11/02/22 | J | | |
| 145. XLU ETF | A | Dividend | J | T | Buy | 10/25/22 | J | | |
| 146. | | | | | Buy (add'l) | 11/02/22 | J | | |
| 147. XLV ETF | A | Dividend | K | T | Buy | 10/25/22 | K | | |
| 148. XLY ETF | A | Dividend | J | T | Buy | 10/25/22 | J | | |
| 149. ZROZ RTF | A | Dividend | | | Buy | 10/18/22 | J | | |
| 150. | | | | | Sold | 10/25/22 | J | A | |
| 151. American Bond Fund of Amer A - X | A | Dividend | J | T | | 09/26/22 | | | |
| 152. American Cap Inc Builder A - X | A | Dividend | K | T | | 09/26/22 | | | |
| 153. American Capital World Bond A - X | A | Dividend | J | T | | 09/26/22 | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
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N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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| Name of Person Reporting | Date of Report |
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| **Gerrard, John M.** | 05/15/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 154. American Fundamental INV A - X | A | Dividend | K | T | | 09/26/22 | | | |
| 155. American High-Income Trust A - X | A | Dividend | J | T | | 09/26/22 | | | |
| 156. American Inc. Fund of Amer A - X | A | Dividend | K | T | | 09/26/22 | | | |
| 157. Franklin Equity Income A - X | A | Dividend | J | T | | 09/26/22 | | | |
| 158. Franklin Growth Opport A - X | A | Dividend | J | T | | 09/26/22 | | | |
| 159. Franklin High Income A1 - X | A | Dividend | J | T | | 09/26/22 | | | |
| 160. Franklin Income A1 - X | A | Dividend | K | T | | 09/26/22 | | | |
| 161. Franklin Rising Dividends A - X | A | Dividend | J | T | | 09/26/22 | | | |
| 162. Franklin Total Return A - X | A | Dividend | J | T | | 09/26/22 | | | |
| 163. Franklin Utilities A1 - X | A | Dividend | J | T | | 09/26/22 | | | |
| 164. American General Universal Life Insurance | | None | J | T | | | | | |
| 165. Nebraska Judges Retirement System (no control) | A | Interest | M | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| Name of Person Reporting | Date of Report |
|---|---|
| **Gerrard, John M.** | 05/15/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Gerrard, John M.** | 05/15/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ John M. Gerrard**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544