AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gilliam Jr., Haywood S.	U.S. District Court, Northern District of California	05/15/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
1301 Clay Street Oakland, CA 94612		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (no reportable assets)
2.	Board President	Northern District of California Practice Program
3.	Board of Governors Member	Association of Business Trial Lawyers Northern California Chapter
4.	At Large Director	Federal Judges Association
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	San Francisco Superior Court (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Association of Business Trial Lawyers	October 19-20, 2024	Napa, CA	2024 ABTL Annual Seminar	Registration, mileage, hotel, meals
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gilliam Jr., Haywood S.	05/15/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Citibank (cash)	A	Interest	L	T					
3.	Chase Bank (cash)	A	Interest	J	T					
4.	Security Benefit Life Total Value Annuity (fixed annuity)	A	Interest	L	T					
5.	Account #1 (H)									
6.	Baird Insured Deposit (cash)	A	Interest	K	T					
7.	Goldman Sachs Activebeta US Large Cap (GSLC)	A	Dividend	K	T	Sold (part)	04/03/24	K	D	
8.	iShares Core MSCI EAFE ETF (IEFA)	B	Dividend	L	T					
9.	iShares Core S&P 500 ETF (IVV)	B	Dividend	M	T					
10.	iShares Technology ETF (IYW)	A	Dividend	J	T	Buy	07/16/24	J		
11.	iShare MSCI EAFE Value EFT (EFV)	A	Dividend	K	T	Buy	08/02/24	K		
12.	Ishares US Financial Services ETF (IYG)	A	Dividend	K	T					
13.	Invesco QQQ ETF (QQQ)	A	Dividend	K	T					
14.	JPMorgan NASDAQ Equity Prem Income ETF (JEPQ)	C	Dividend	K	T					
15.	JPMorgan Equity Premium ETF (JEPI)	B	Dividend			Sold	08/02/24	K	A	
16.	Janus Detroit Henderson AAA Clo ETF (JAAA)	B	Dividend	K	T	Buy	07/16/24	K		
17.	SPDR Sector Healthcare ETF (XLV)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. SPDR Sector Communication Services ETF (XLC)	A	Dividend	J	T					
19. SPDR Consumer Staples ETF (XLP)	A	Dividend	J	T					
20. SPDR Materials Select ETF (XLB)	A	Dividend	J	T					
21. SPDR Technology Select ETF (XLK)	A	Dividend	L	T					
22. SPDR Energy Select ETF (XLE)	A	Dividend	K	T					
23. SPDR Consumer Discretionary Sector ETF (XLY)	A	Dividend	K	T					
24. SPDR Industrial Sector ETF (XLI)	A	Dividend	K	T	Buy (add'l)	08/02/24	J		
25. SPDR Dow Jones REIT ETF (RWR)	A	Dividend			Sold	07/16/24	J	A	
26. SPDR Portfolio S&P 500 ETF (SPLG)	A	Dividend	K	T					
27. Vaneck Semiconductor ETF (SMH)	A	Dividend	K	T	Buy	04/03/24	K		
28. iShares 10-20 Yr Treasury Bond (TLH)	A	Dividend	K	T					
29. Schwab 5-10 Yr Corp Bond ETF (SCHI)	C	Dividend	L	T	Buy	02/15/24	L		
30. SPDR Portfolio Aggregate Bond ETF (SPAB)	C	Dividend	L	T					
31. SPDR Portfolio Short Term Corp Bond ETF (SPSB)	A	Dividend			Sold	02/15/24	K	A	
32. SPDR Portfolio Short Term Treasury ETF (SPTS)	A	Dividend			Sold	02/15/24	K	A	
33. Vanguard Total Bond ETF (BND)	C	Dividend	L	T					
34. Vanguard Extended Duration Treasury ETF (EDV)	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
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35. Vanguard Short Term Corp Bond ETF (VCSH)	B	Dividend	J	T	Sold (part)	07/16/24	K	A	
36. Vanguard Intermediate Term Treasury ETF (VGIT)	A	Dividend	J	T					
37. Vanguard Intermediate Term Corp Bond ETF (VCIT)	B	Dividend	K	T					
38. Account #2 (H)									
39. American Century Equity Income CL Y Reinvestments (AEIYX)	A	Dividend	J	T					
40. Edgewood Growth Instl CL (EGFIX)	A	Dividend	J	T					
41. Dodge & Cox (DODGX)	A	Dividend	J	T	Buy	12/18/24	J		
42. DWS RREEF Real Assets Instl CL (AAAZX)	A	Dividend	J	T					
43. John Hancock Classic Value Reinvestments (JCVWX)		None			Sold	12/18/24	J	A	
44. Oakmark Intl Instl CL Reinvestments (OANIX)	A	Dividend	J	T					
45. Hartford Dividend & Growth CL F Reinvestments (HDGFX)	A	Dividend	J	T					
46. Ishares Russell 2000 ETF (IWM)	A	Dividend	J	T					
47. Ishares Russell 1000 Value ETF (IWD)	A	Dividend	J	T	Buy (add'l)	07/22/24	J		
48. Ishares Russell 1000 Growth ETF (IWF)	A	Dividend	J	T	Sold (part)	04/08/24	J	A	
49.					Buy (add'l)	07/22/24	J		
50. Ishares Russell Mid Cap ETF (IWR)	A	Dividend	J	T					
51. WCM Focused Intl Growth Instl CL Reinvestments (WCMIX)	A	Dividend	J	T					

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52. Loomis Sayles Growth CL Y Reinvestments (LSGRX)	A	Dividend	J	T					
53. MFS Intl Equity CL R6 Reinvestments (MIEIX)	A	Dividend	J	T					
54. Nuance Mid Cap Value Instl CL Reinvestments (NMVLX)	A	Dividend			Sold	07/22/24	J	A	
55. Pear Tree Polaris Foreign Value Instl CL Reinvestments (QFVIX)	A	Dividend	J	T					
56. T Rowe Price Growth Stock CL I Reinvestments (PRUFX)	A	Dividend	J	T					
57. Principal Mid Cap Instl CL Reinvestments (PMAQX)	A	Dividend	J	T					
58. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T	Sold (part)	07/22/24	J	A	
59. Allspring Special Mid Cap Value Instl Cl (WFMIX)	A	Dividend	J	T	Buy	07/22/24	J		
60. Allspring Emerging Markets Equity Instl CL Reinvestments (EMGNX)	A	Dividend	J	T					
61. Baird Short Term Bond Instl CL Reinvestments (BSBIX)	A	Dividend	J	T					
62. Blackrock High Yield Bond CL K Reinvestments (BRHYX)	A	Dividend	J	T					
63. Blackrock Strategic Income Opptys CL K Reinvestments (BSIKX)	A	Dividend	J	T					
64. Dodge & Cox Income CL I Reinvestments (DODIX)	A	Dividend	J	T					
65. Metropolitan West Total Return Bon CL I Reinvestments (MWTIX)	A	Dividend	J	T	Buy (add'l)	04/08/24	J		
66. Account #3 (H)									
67. American Funds College Enrollment Fund-529A (CENAX)		None	M	T	Sold (part)	03/11/24	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Haywood S. Gilliam Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544