AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Goldsmith, Mark A.	U.S. District Court for the Eastern District of Michigan	08/02/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 01/01/2021 **to** 12/31/2021
7. Chambers or Office Address U.S. Courthouse 231 W. Lafayette Blvd. Detroit MI 48226		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member of executive board of directors	Wayne State University Center for the Study of Citizenship
2.	Member of board	Historical Society of U.S. District Court, E.D. Michigan
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	self-employed social worker
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. VYM (IRA-Brok Acct #1)	D	Dividend	N	T					
2. Fidelity Contra (IRA-Brok Acct #2)	E	Dividend	N	T					
3. Fidelity Cash Reserves (IRA-Brok Acct #2)	A	Interest	J	T					
4. VBIAX (IRA-Brok Acct#2)	D	Dividend	M	T					
5. TRowePrice Growth (IRA Brok Acct #3)	E	Dividend	P1	T					
6. Invesco Van Kampen Growth Income (IRA Brok Acct #3)	E	Dividend	O	T					
7. Vanguard Mid-Cap Index (IRA Brok Acct #3)	D	Dividend	O	T					
8. SCHB (IRA Brok Acct #3)	C	Dividend	N	T					
9. SCHD (IRA Brok Acct#3)	C	Dividend	M	T					
10. SsgA Russell 2000 Index (St of Mich 401K)	A	Dividend	N	T					
11. Pacific One Variable Annuity (Janus Focussed Growth)	A	Dividend	M	T					
12. FXAIX (Brok Acct #4)	B	Dividend	M	T					
13. PFF (Brok Acct #4)	D	Dividend	M	T					
14. Fidelity Govt Money Fund (Brok Acct #4)	A	Dividend	M	T					
15. Vanguard 500 Index	A	Dividend	K	T					
16. NY Life Guaranteed Lifetime Income Annuity (fixed annuity)		None	K	T					
17. Vibe Credit Union cash account (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Comerica Bank cash account	A	Interest	K	T					
19. Chase Bank account	A	Interest	J	T					
20. FXIAX (IRA-Brok Acct#5)(X)	A	Dividend	K	T					
21. FNSXX (Tr Acct#2)	A	Dividend	K	T					
22. FCNTX (Tr Acct#2)	D	Dividend	L	T					
23. PFF (Tr Acct#2)	C	Dividend	L	T					
24. PTLC (Tr Acct#2)	A	Dividend	L	T					
25. NOBL (Tr Acct#2)	B	Dividend	L	T					
26. VCIT (Tr Acct#2)	A	Dividend	K	T					
27. VFIAX (Tr Acct#2)	B	Dividend	M	T					
28. RaymondJames Cash (Tr Acct#2)	A	Dividend	J	T					
29. LGH (Tr Acct#2)	A	Dividend	L	T					
30. SCHD (Tr Acct#2)	A	Dividend	J	T					
31. SPHD (Tr Acct#2)	A	Dividend	J	T					
32. FNSXX (Tr Acct#3)	A	Dividend	K	T					
33. FCNTX (Tr Acct#3)	D	Dividend	L	T					
34. PFF (Tr Acct#3)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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35. NOBL (Tr Acct#3)	B	Dividend	L	T					
36. VCIT (Tr Acct#3)	A	Dividend	K	T					
37. VFIAX (Tr Acct#3)	B	Dividend	M	T					
38. RaymondJames Cash (Tr Acct#3)	A	Dividend	J	T					
39. PTLC (Tr Acct#3)	A	Dividend	L	T					
40. SCHD (Tr Acct#3)	A	Dividend	K	T					
41. LGH (Tr Acct#3)	A	Dividend	L	T					
42. RaymondJames Cash Acct (X)	A	Interest	L	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I inadvertently omitted in my 2021 report a required minimum distribution from an IRA that I inherited from [redacted] on [redacted] in 2019. Thus, line 20 of Section VII should have indicated a sale on 12/14/21, with a transaction value code (D3) of J and a gain (D4) of B; the gross value for the position (C1) should have been L. Similarly, for the 2019 report, line 28 should have indicated a sale with a value code of J and a gain of A. For the 2020 disclosure, line 22 should have indicated a sale with a value code of J and a gain of A; it should not have indicated any purchase, and the gross value at the end of the reporting period for the position should have been L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark A. Goldsmith

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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