AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Goodwin, Charles B.	U.S. District Court for the Western District of Oklahoma	04/26/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
200 NW 4th Street, Room 1305 Oklahoma City, OK 73102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Mayflower Congregational Church
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	US Bank	Mortgage on Rental Property #1 Oklahoma City, OK (Part VII, Line 94)	M
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Goodwin, Charles B.	04/26/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Allegiance Credit Union (Y)									
2. Bank of America Checking (Y)									
3. Bank of America Savings (Y)									
4. Brokerage Account #1 (H)									
5. -Merrill Lynch Cash Equivalent Account	A	Interest	M	T					
6. Brokerage Account #2 (H)									
7. -AAM Bahl & Gaynor Income AFNIX	B	Dividend	K	T	Sold (part)	12/30/22	J	A	
8. -AB High Income Muni ABTYX	A	Dividend	K	T	Sold (part)	10/06/22	J	A	
9. -AB Muni Income ALTVX	B	Dividend	K	T	Sold (part)	12/30/22	K	A	
10. -Blackrock Global BIBDX	B	Dividend	K	T	Sold (part)	10/06/22	J	A	
11. -Blackrock Strategic Muni MAMTX	A	Dividend	K	T	Sold (part)	10/06/22	K	A	
12. -Clearbridge Large Cap SBLYX	A	Dividend	J	T	Sold (part)	10/06/22	J	A	
13. -Columbia Dividend Income GSFTX	A	Dividend	K	T	Sold (part)	10/06/22	J	A	
14.					Sold (part)	12/30/22	J	A	
15. -Eaton Vance Atlanta EISMX	A	Dividend	J	T	Buy	12/19/22	J		
16. -Eaton Vance Atlanta Capital SMID CAP FD CL I		None			Sold (part)	10/06/22	J	A	
17.					Sold	12/30/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. -Fidelity Adv Intl Cap FCPIX		None			Sold	10/06/22	J	A	
19. -Frt Trt Val Indx FVD	A	Dividend	J	T	Sold (part)	12/30/22	J	A	
20. -Investco S&P 500 Low SPLV	A	Dividend	J	T	Sold (part)	12/30/22	J	A	
21. -Invesco Preferred ETF	A	Dividend			Sold	11/07/22	J	A	
22. -iShares Morningstar JKG	A	Dividend	J	T	Sold (part)	11/07/22	J	A	
23. -iShares Core S&P 500 ETF IVV	A	Dividend	J	T					
24. -iShares Russell Midcap Growth		None			Sold	11/07/22	J	A	
25. -iShares Currency Hedged MSCI EAFE ETF		None			Sold	11/07/22	J	A	
26. -iShares National Muni Bond ETF	A	Dividend			Sold	11/07/22	K	A	
27. -iShares MSCI ACWI ETF		None			Sold	11/07/22	J	A	
28. -iShares RS 2000 Growth		None			Sold	11/07/22	J	A	
29. -iShares MSCI World		None			Sold	11/07/22	J	A	
30. -iShares TR Core MSCI		None			Sold	11/07/22	J	A	
31. -iShares TR Core MSCI EAF ETF		None			Sold	11/07/22	J	A	
32. -Janus Henderson Global HFQIX	A	Dividend	J	T	Sold (part)	12/30/22	J	A	
33. -JP Morgan Equity Income HLIEX	A	Dividend	K	T	Sold (part)	12/30/22	J	A	
34. -JP Morgan Large Cap SEEGX	A	Dividend	J	T	Buy	12/19/22	J		

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35.					Sold (part)	10/06/22	J	A	
36. -Mainstay Mackay High MMHIX	A	Dividend	K	T	Sold (part)	10/06/22	J	A	
37. -Mainstay Epoch Global EPSYX	A	Dividend	J	T	Sold (part)	12/30/22	J	A	
38. -Mainstay Mackay MCNVX	A	Dividend	J	T					
39. -Mainstay Mackay Convertible Fund CL I	A	Dividend			Sold	10/06/22	J	A	
40. -Nuveen Preferred NPSRX	A	Dividend	J	T	Sold (part)	10/06/22	J	A	
41. -SPDR S P INTTL SMLL CAP		None			Sold	11/07/22	J	A	
42. -SPDR Bloomberg CONV Securities ETF	A	Dividend			Sold	11/07/22	J	A	
43. -T Rowe Price Dividend PRDGX	B	Dividend	K	T					
44. -Thornburg Limited Term LTMIX	A	Dividend	K	T					
45. -Transamerica Intl TSWIZ	A	Dividend	J	T	Sold (part)	10/06/22	J	A	
46. -Vanguard FTSE Emerging VWO	A	Dividend	J	T					
47. -Vanguard Dividend VIG	A	Dividend	J	T	Sold (part)	11/07/22	J	A	
48. -Vaneck High Yield Muni ETF	A	Dividend			Sold	11/07/22	J	A	
49. -Xtrackers MSCI DBEF	A	Dividend	J	T	Sold (part)	12/30/22	J	A	
50.					Buy (add'l)	12/20/22	J		
51. -Wasatch International WAIGX		None	J	T	Sold (part)	10/06/22	J	A	

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52. IRA Account #1 (H)									
53. -Merrill Lynch Cash Equivalent Account	A	Int./Div.	J	T					
54. IRA Account #2 (H)									
55. -AB Income Fund Adv Class ACGYX	A	Dividend	K	T					
56. -American Bond FD of ABNFX	A	Dividend	K	T	Buy	12/19/22	J		
57. -American ST BON SBFFX	A	Dividend	J	T					
58. -Clearbridge Large Cap SBLYX	B	Dividend	K	T	Buy	12/19/22	J		
59. -Columbia Dividend Income GSFTX	B	Dividend	L	T	Buy	12/19/22	J		
60. -Eaton Vance Atlanta EISMX	C	Dividend	K	T	Buy	12/19/22	J		
61. -Fidelity Adv Intl Cap FCPIX	A	Dividend	K	T					
62. -Franklin Covertible FCSZX	A	Dividend	J	T					
63. -Frt Trt Val Indx FVD	A	Dividend	K	T					
64. -Invesco S&P 500 Low SPLV	A	Dividend	K	T					
65. -iShares Morningstar JKG	A	Dividend	J	T					
66. -iShares Core S&P 500 ETF IVV	A	Dividend	K	T					
67. -JP Morgan Equity Income HLIEX	A	Dividend	L	T	Buy	12/19/22	J		
68. -JP Morgan Large Cap SEEGX	A	Dividend	K	T	Buy	12/19/22	J		

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69. -JP Morgan Global Bond GBOSX	A	Dividend	K	T	Buy	12/19/22	J		
70. -Pimco Income Fund PONPX	B	Dividend	K	T	Buy	12/19/22	J		
71. -Transamerica INTL TSWIX	A	Dividend	K	T					
72. -Vanguard FTSE Emerging VWO	A	Dividend	J	T					
73. -Voya GNMA Income Fund LEINX	A	Dividend	K	T					
74. -Voya Strategic Income IISIX	B	Dividend	K	T	Buy	12/19/22	J		
75. -Wasatch International WAIGX		None	K	T					
76. -Xtrackers MSCI DBEF	A	Dividend	J	T	Buy	12/20/22	J		
77. Bank of America, NA RASP	A	Interest	J	T					
78. IRA Account #3 (H)									
79. -Schwab S&P 500 Index Fund SQPPX (Y)									
80. -T-C Intl Eq Idx-Inst TCIEX (Y)									
81. IRA Account #4 (H)									
82. -AmerCnt One Choice 2055 Ptf Fd AREVX	A	Dividend	J	T					
83. IRA Account #5 (H)									
84. -Blackrock FDS III LIPPX (Y)									
85. Avenue F LLC	C	Distribution	K	U					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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3 Value Method Codes (See Column C2)
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86.	First Oklahoma Investments LLC	A	Distribution	J	U					
87.	Rental Property #1 Oklahoma City, Oklahoma	D	Rent	M	W					

1 Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 92 & 93 are LLC's that are partnerships that invest in different restaurants in Oklahoma and Arkansas.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles B. Goodwin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544