AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GOODWIN, JOSEPH R.	US DISTRICT COURT, SOUTHERN WEST VIRGINIA	11/10/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US DISTRICT JUDGE-ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023

7. Chambers or Office Address

300 VIRGINIA STREET EAST, SUITE 5009
PO BOX 2546
CHARLESTON, WV 25301

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	STATE OF WV/PUBLIC EMPLOYEES RETIREMENT SYSTEM (RETIREMENT)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
GOODWIN, JOSEPH R.	11/10/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GOODWIN, JOSEPH R.	11/10/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	TRUIST BANK ACCOUNT #1		None	K	T					
2.	PEOPLES BANK (F/K/A PREMIER BANK) ACCOUNT #1	A	Interest	J	T					
3.	CHARLESTON FEDERAL CREDIT UNION ACCOUNT #1	A	Interest	J	T					
4.	CHARLESTON FEDERAL CREDIT UNION ACCOUNT #2	A	Interest	J	T					
5.	CHARLESTON FEDERAL CREDIT UNION ACCOUNT #3	A	Interest	K	T					
6.	CHARLESTON FEDERAL CREDIT UNION CERTIFICATE OF DEPOSIT #1	C	Interest	M	T	Open	05/09/23	L		
7.	CHARLESTON FEDERAL CREDIT UNION CERTIFICATE OF DEPOSIT #2	B	Interest	L	T	Open	07/28/23	L		
8.	CHARLES SCHWAB INVESTMENT ACCOUNT (H)									
9.	--CHARLES SCHWAB CASH ACCOUNT/ MONEY MARKET	A	Interest	J	T					
10.	REAL ESTATE #2, RIPLEY, WEST VIRGINIA	D	Rent	K	W					
11.	REAL ESTATE #5, RIPLEY, WEST VIRGINIA		None	J	W					
12.	FIDELITY BROKERAGE SERVICES, LLC INVESTMENT ACCOUNT (H)									
13.	--180,000 DALLAS TX AREA TRAN 5.00% 12/1/25 PRRFD 12/1/2024 (X)	C	Interest	M	T					
14.	--CLAY & WAYNE CNTYS II CMNTY UNIT 5.00% 12/1/2026 (X)	C	Interest	M	T					
15.	--CLAY CNTY MN 5.00% 2/1/2026 (X)		None	M	T					
16.	--ERVING MA GO SWR BDS 5.00% 6/1/2025 (X)	A	Interest	K	T					
17.	--GREEN BAY WI GO CORP PURP BDS 5.00% 4/1/2026 (X)		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
GOODWIN, JOSEPH R.	11/10/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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18. --HIDALGO CNTY TX DRAIN DIST 5.00% 9/1/2026 (X)		None	M	T					
19. --JEFFERSON CNTY KY SCH DIST FIN CORP SCH BLDG DEV 5.00% 10/1/2026 (X)		None	M	T					
20. --MACON CHRISTIAN DEWITT ETC CNTYS IL 4.00% 12/1/2024 (X)	C	Interest	M	T					
21. --MET ST LOUIS MO SWR 5.00% 5/1/2029 PRRFD 5/1/2026 (X)		None	L	T					
22. --NEWARK NJ QUALIFIED GO IMPT REF BDS SER 5.00% 10/1/2024 (X)		None	M	T					
23. --ROSEMOUNT MN ISD NO 196 CTFS 4.00% 2/1/2024 (X)		None	M	T					
24. --SANGAMON MENARD ETC CNTYS IL 4.00% 1/1/2026 (X)		None	K	T					
25. --UNIVERSITY SOUTH AL UNIV REVS 4.00% 4/1/2025 (X)		None	L	T					
26. --WASHOE CNTY NV SCH DIST 5.00% 4/1/2025 (X)		None	M	T					
27. --FIDELITY GOVERNMENT CASH RESERVES (X)	A	Interest	J	T					
28. TRUST #1 (H)									
29. --ROYALTY INTEREST #1, NICHOLAS COUNTY, WV		None	J	U					
30. --ROYALTY INTEREST #2, NICHOLAS COUNTY, WV		None	J	U					
31. --ROYALTY INTEREST #3, NICHOLAS COUNTY, WV		None	J	U					
32. --ROYALTY INTEREST #4, NICHOLAS COUNTY, WV		None	J	U					
33. --ROYALTY INTEREST #5, NICHOLAS COUNTY, WV		None	J	U					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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34. --ROYALTY INTEREST #6, NICHOLAS COUNTY, WV		None	J	U					
35. --ROYALTY INTEREST #7, NICHOLAS COUNTY, WV		None	J	U					
36. --ROYALTY INTEREST #8, NICHOLAS COUNTY, WV		None	J	U					
37. --ROYALTY INTEREST #9, NICHOLAS COUNTY, WV		None	J	U					
38. --ROYALTY INTEREST #10, RANDOLPH COUNTY, WV		None	J	U					
39. --ROYALTY INTEREST #11, RANDOLPH COUNTY, WV		None	J	U					
40. --ROYALTY INTEREST #12, RANDOLPH COUNTY, WV		None	J	U					
41. --ROYALTY INTEREST #13, RANDOLPH COUNTY, WV		None	J	U					
42. --ROYALTY INTEREST #14, RANDOLPH COUNTY, WV		None	J	U					
43. --ROYALTY INTEREST #15, BRAXTON COUNTY, WV		None	J	U					
44. --ROYALTY INTEREST #16, BRAXTON COUNTY, WV		None	J	U					
45. --ROYALTY INTEREST #17, BRAXTON COUNTY, WV		None	J	U					
46. --ROYALTY INTEREST #18, BRAXTON COUNTY, WV		None	J	U					
47. --ROYALTY INTEREST #19, BRAXTON COUNTY, WV		None	J	U					
48. --ROYALTY INTEREST #20, BRAXTON COUNTY, WV		None	J	U					
49. --ROYALTY INTEREST #21, BRAXTON COUNTY, WV		None	J	U					
50. --ROYALTY INTEREST #22, BRAXTON COUNTY, WV		None	J	U					

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51. --ROYALTY INTEREST #23, BRAXTON COUNTY, WV		None	J	U					
52. --ROYALTY INTEREST #24, NICHOLAS COUNTY, WV		None	J	U					
53. --ROYALTY INTEREST #25, NICHOLAS COUNTY, WV		None	J	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
GOODWIN, JOSEPH R.	11/10/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JOSEPH R. GOODWIN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544