AO 10
Rev. 1/2022

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Gottschall, Joan B. | U.S. District Court, NDIL | 05/04/2022 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| District Judge--Senior Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2021<br>**to**<br>12/31/2021 |
| **7. Chambers or Office Address** | | |
| Room 2356<br>219 South Dearborn Street<br>Chicago, IL 60604 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Member | Visiting Committee to the Divinity School, University of Chicago |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | Vanguard Wellington Fund Investor VWELX | B | Dividend | M | T | Sold (part) | 12/02/21 | M | | |
| 2. | Vanguard Wellesley Income Fund VWINX | C | Dividend | M | T | | | | | |
| 3. | Vanguard Wellington Fund VWENX | D | Dividend | N | T | | | | | |
| 4. | Vanguard Wellesley Fund VWIAX | E | Dividend | O | T | | | | | |
| 5. | Vanguard Wellington VWELX | A | Dividend | J | T | Buy | 12/03/21 | J | | |
| 6. | Vanguard Wellington Fund VWENX | B | Dividend | M | T | Sold (part) | 12/20/21 | M | | |
| 7. | Vanguard Wellesley Fund VWIAX | B | Dividend | L | T | Sold (part) | 12/20/21 | L | | |
| 8. | Vanguard Index Trust VFIAX | C | Dividend | M | T | Sold (part) | 12/20/21 | M | | |
| 9. | Vanguard Index Trust VFIAX | B | Dividend | L | T | Sold (part) | 12/20/21 | L | | |
| 10. | Vanguard Cash Res Fed MM Adm | A | Dividend | K | T | Sold (part) | 12/20/21 | K | | |
| 11. | Vanguard Capital Opp Fund VHCOX | A | Dividend | K | T | Sold (part) | 12/20/21 | K | | |
| 12. | Vanguard 500 Index Fund Admiral VFIAX | A | Dividend | L | T | | | | | |
| 13. | Fed Empl Credit Union Accts | A | Interest | J | T | | | | | |
| 14. | IBM c/stock | B | Dividend | K | T | | | | | |
| 15. | Kyndryl Hldgs Inc. | A | Dividend | J | T | Spinoff (from line 14) | 11/21/21 | J | | |
| 16. | Intel c/stock | A | Dividend | K | T | | | | | |
| 17. | Waste Management c/stock | A | Dividend | K | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 18. CVS Caremark c/stock | A | Dividend | K | T | | | | | |
| 19. Dodge & Cox Fds Intl Stk Fd DODFX | A | Dividend | K | T | | | | | |
| 20. American Fund Capital Income Bldr CAIFX | C | Int./Div. | M | T | | | | | |
| 21. American Income Fund AMEFX | D | Dividend | M | T | | | | | |
| 22. American Balanced Fund AMBFX | D | Int./Div. | O | T | | | | | |
| 23. American Mut Fund AMRFX | B | Dividend | M | T | | | | | |
| 24. Capital World Growth Inc. Fund WGIFX | C | Int./Div. | M | T | | | | | |
| 25. Wintrust Fin. Corp. Accts (*See Part VIII, No. 1) | A | Interest | J | T | | | | | |
| 26. American Funds Short-Term Tax Exempt | A | Interest | L | T | | | | | |
| 27. American Ltd Term Tax Exempt | B | Interest | L | T | | | | | |
| 28. Nextera Energy | B | Interest | | | Redeemed | 12/22/21 | K | | |
| 29. Allegheny Cnty PA | A | Interest | | | Redeemed | 05/03/21 | J | | |
| 30. Clarendon Sc Hsp Dist | A | Interest | | | Redeemed | 04/01/21 | J | | |
| 31. Indiana St Fin Auth Rev | A | Interest | | | Redeemed | 02/01/21 | J | | |
| 32. Washington St Var Purp | A | Interest | | | Redeemed | 02/01/21 | J | | |
| 33. West Ottawa MI Pub S/D | A | Interest | | | Redeemed | 05/03/21 | J | | |
| 34. Howard Cnty MD | A | Interest | | | Redeemed | 08/16/21 | J | | |

| | | | | | |
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 35. Pennsylvania St TPK | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 36. North TX Twy Auth Rev | A | Interest | | | Redeemed | 09/01/21 | J | | |
| 37. Allegheny Cnty PA Ser | A | Interest | | | Redeemed | 05/03/21 | J | | |
| 38. Indiana St Hsg & Cmnty DV | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 39. Washington St Var Purp Srv | A | Interest | | | Redeemed | 02/01/21 | J | | |
| 40. Pennsylvania St Fin Auth | A | Interest | | | Redeemed | 11/15/21 | J | | |
| 41. Pennsylvania St Fin Auth | A | Interest | | | Redeemed | 11/15/21 | J | | |
| 42. Hopkins Cnty KY Sch Dist | A | Interest | | | Redeemed | 04/01/21 | J | | |
| 43. Sheboygan WI Area Sch Dist | A | Interest | | | Redeemed | 03/02/21 | K | | |
| 44. Scranton PA Swr Auth | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 45. Lake Travis TX Indept Sch | A | Interest | | | Redeemed | 02/16/21 | J | | |
| 46. Kentucky St TPK Auth Econ | A | Interest | | | Redeemed | 07/01/21 | J | | |
| 47. North TX Twy Auth Rev | A | Interest | | | Redeemed | 01/04/21 | J | | |
| 48. JEA FL Elec Sys Rev | A | Interest | | | Redeemed | 04/01/21 | J | | |
| 49. New York NY City | A | Interest | | | Redeemed | 07/15/21 | J | | |
| 50. Alaska St HSG Fin Corp | B | Interest | K | T | | | | | |
| 51. Miami Bch FL Stormwater | A | Interest | | | Redeemed | 09/01/21 | J | | |

| | | | | | |
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| 52. Spokane Cnty WA Sch Dist | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 53. Viridian Mun Mgmt Dist | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 54. West Travis Cnty TX Pub Util | A | Interest | | | Redeemed | 08/16/21 | J | | |
| 55. Kentucky St TPK Auth Econ | A | Interest | J | T | | | | | |
| 56. Woodbridge Twp NJ Rfdg Swr | A | Interest | | | Redeemed | 07/01/21 | J | | |
| 57. Cumberland Cnty NC Ltd. | A | Interest | | | Redeemed | 11/01/21 | J | | |
| 58. Mississippi St Univ Edl Bldg | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 59. New York NY City Transnl | A | Interest | | | Redeemed | 02/01/21 | J | | |
| 60. Howard Cnty MD Rfdg Bds | A | Interest | | | Redeemed | 02/16/21 | J | | |
| 61. Manatee Cnty FL Sch Brd | A | Interest | | | Redeemed | 07/01/21 | J | | |
| 62. Mississippi St Univ Edl Bldg | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 63. Austin TX Wtr & Wastewater Sys | A | Interest | | | Redeemed | 11/16/21 | J | | |
| 64. Blackhawk PA Sch Dist | A | Interest | | | Redeemed | 03/01/21 | J | | |
| 65. Hempfield PA Area Sch Dist | A | Interest | | | Redeemed | 03/15/21 | J | | |
| 66. North TX Twy Auth Rev | A | Interest | | | Redeemed | 01/04/21 | J | | |
| 67. Osceola WI Sch Dist Go Sch Fac | A | Interest | | | Redeemed | 11/01/21 | J | | |
| 68. Porterville CA Pub | A | Interest | | | Redeemed | 10/01/21 | J | | |

| | | | | | |
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| 69. | Metropolitan Transn Auth NY | A | Interest | | | Redeemed | 11/15/21 | J | | |
| 70. | North TX Twy Auth Rev | A | Interest | | | Redeemed | 09/01/21 | J | | |
| 71. | Seattle WA Mun Lt & Pwr | A | Interest | | | Redeemed | 02/01/21 | J | | |
| 72. | Fraser MI Pub Sch Dist | A | Interest | | | Redeemed | 05/03/21 | J | | |
| 73. | Houston TX Pup Impt Rfdg | A | Interest | | | Redeemed | 03/01/21 | J | | |
| 74. | King Cnty WA Swr Rev | A | Interest | | | Redeemed | 01/04/21 | J | | |
| 75. | King Cnty WA Swr Rev | A | Interest | | | Redeemed | 01/04/21 | J | | |
| 76. | Lake Travis TX Indpt Sch | A | Interest | | | Redeemed | 02/16/21 | J | | |
| 77. | Seattle WA Mun Lt & Pwr | A | Interest | | | Redeemed | 02/02/21 | J | | |
| 78. | Massachusetts St Sch Bldg | A | Interest | | | Redeemed | 10/15/21 | J | | |
| 79. | Stafford TX Mun Sch Dist | A | Interest | | | Redeemed | 08/16/21 | J | | |
| 80. | Wausau WI Sch Dist Rfdg | A | Interest | | | Redeemed | 03/01/21 | J | | |
| 81. | American Mun Pwr OH Inc | A | Interest | J | T | | | | | |
| 82. | Annapolis MD Prerefunded 2017 | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 83. | Annapolis MD Prerefunded 2017 | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 84. | New York City NY Mun Wtr | A | Interest | | | Redeemed | 12/15/21 | J | | |
| 85. | Miami Bch FL Stormwater | A | Interest | | | Redeemed | 09/01/21 | J | | |

| | | | | | | |
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| 86. Missouri JT Mun Elec | A | Interest | | | Redeemed | 01/04/21 | J | | |
| 87. San Diego CA Cmnty Cllg | A | Interest | J | T | | | | | |
| 88. Westchester Cnty NY | A | Interest | | | Redeemed | 07/01/21 | J | | |
| 89. Alabama St Mun Elec Auth | A | Interest | | | Redeemed | 09/01/21 | J | | |
| 90. Wilson PA Sch Dist | A | Interest | | | Redeemed | 09/01/21 | J | | |
| 91. Conroe TX Indpt Schl Dist | A | Interest | | | Redeemed | 02/16/21 | J | | |
| 92. Metropolitan Trans Auth | A | Interest | | | Redeemed | 11/15/21 | J | | |
| 93. New York St Dorm Auth St | A | Interest | J | T | | | | | |
| 94. Arizona Wtr Infra Fin | A | Interest | | | Redeemed | 10/01/21 | J | | |
| 95. Dillon MT Wtr & Swr Sys | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 96. Dillon MT Wtr & Swr Sys | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 97. New Haven CT Ser B G/O | A | Interest | J | T | | | | | |
| 98. Lorain Cnty OH Cmnty | A | Interest | | | Redeemed | 06/01/21 | J | | |
| 99. Beaumont CA Uni Sch Dist | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 100. New York St Twy Auth Gen | A | Interest | J | T | | | | | |
| 101. Frederick Cnty MD | A | Interest | | | Redeemed | 08/02/21 | J | | |
| 102. Indiana St Fin Auth Rev | A | Interest | | | Redeemed | 09/15/21 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 103. Ayersville OH Loc Sch Dist | A | Interest | J | T | | | | | |
| 104. Los Angeles CA Ser A G/O | A | Interest | | | Redeemed | 09/01/21 | J | | |
| 105. Louisiana St Gas & Fuels | A | Interest | J | T | | | | | |
| 106. Deer Lakes Sch Dist PA | A | Interest | J | T | | | | | |
| 107. Jackson MI Rfdg Downtown | A | Interest | | | Redeemed | 06/01/21 | J | | |
| 108. New Jersey St Tpk Auth | A | Interest | J | T | | | | | |
| 109. New Jersey St Tpk Auth | A | Interest | J | T | | | | | |
| 110. Pennsylvania St Tpk Commn | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 111. Waterbury CT Ser A G/O | A | Interest | J | T | | | | | |
| 112. Oregon St Rfdg-Oregon Univ | A | Interest | K | T | | | | | |
| 113. Rvrsde Cnty CA Redev Ag | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 114. Skagit Cnty WA Pub Hsp | A | Interest | J | T | | | | | |
| 115. Anchor Bay MI Sch Dist | A | Interest | | | Redeemed | 05/03/21 | J | | |
| 116. New York St Twy Auth Gen | A | Interest | J | T | | | | | |
| 117. Metropolitan Transn Auth NY | A | Interest | | | Redeemed | 11/15/21 | J | | |
| 118. Minnesota St Prerefunded | A | Interest | J | T | | | | | |
| 119. Marshall Univ WV Univ | A | Interest | | | Redeemed | 05/02/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| Name of Person Reporting | Date of Report |
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| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 120. Salt Lake Cnty UT Sales Tax | A | Interest | K | T | | | | | |
| 121. California EFA Rev PreRef Bds | A | Interest | J | T | | | | | |
| 122. Fulton Cnty GA Dev | A | Interest | | | Redeemed | 04/01/21 | J | | |
| 123. San Fancisco CA City & Cnty | A | Interest | | | Redeemed | 11/01/21 | J | | |
| 124. New Jersey St Tpk Auth Rv | A | Interest | J | T | | | | | |
| 125. Oxford AL Sch Wts Ser | A | Interest | J | T | | | | | |
| 126. Pell City AL Rfdg Wts & Cap | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 127. Virginia Cllg Bldg Auth VA | A | Interest | J | T | | | | | |
| 128. Northmont OH City Sch Dist | A | Interest | | | Redeemed | 11/01/21 | J | | |
| 129. Western Sch Dist MI Sch Bldg | A | Interest | J | T | | | | | |
| 130. Defiance OH City Sch | A | Interest | J | T | | | | | |
| 131. Indiana St Univ Revs | A | Interest | | | Redeemed | 10/01/21 | J | | |
| 132. Kent St. Univ OH Univ | A | Interest | J | T | | | | | |
| 133. Pennsylvania St High EFA | A | Interest | J | T | | | | | |
| 134. Fowlerville MI Cmnty | A | Interest | J | T | | | | | |
| 135. Long Is Pwr Auth NY Elec | A | Interest | J | T | | | | | |
| 136. West Ottawa MI Pub S/D | A | Interest | | | Redeemed | 05/03/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 137. Albertville AL GO Wts | A | Interest | J | T | Buy | 08/10/21 | J | | |
| 138. Albertville AL GO Wts | A | Interest | J | T | Buy (add'l) | 08/10/21 | J | | |
| 139. Allegheny Cnty PA Rfdg | A | Interest | J | T | Buy | 08/06/21 | J | | |
| 140. American Funds Short-Term Tax Exempt | A | Interest | L | T | Buy | 03/23/21 | L | | |
| 141. American Ltd Term Tax Exempt | A | Interest | L | T | Buy | 03/23/21 | L | | |
| 142. Auburn Univ AL Gen Fee | A | Interest | J | T | Buy | 12/02/21 | J | | |
| 143. Baltimore Cnty MD Met | A | Interest | J | T | Buy | 08/18/21 | J | | |
| 144. Bangor ME Rfdg Bangor | A | Interest | J | T | Buy | 06/29/21 | J | | |
| 145. Birmingham AL Bds 2013A | A | Interest | J | T | Buy | 10/27/21 | J | | |
| 146. Birmingham AL Wtrwks Brd | A | Interest | J | T | Buy | 07/19/21 | J | | |
| 147. Bonneville & Bingham | A | Interest | J | T | Buy | 10/22/21 | J | | |
| 148. Boston MA Wtr & Swr Comm | A | Interest | J | T | Buy | 12/28/21 | J | | |
| 149. Cape May Cnty NJ | A | Interest | J | T | Buy | 04/05/21 | J | | |
| 150. Cary NC Enterprise Sys | A | Interest | J | T | Buy | 06/21/21 | J | | |
| 151. Cary NC Enterprise Sys | A | Interest | J | T | Buy | 06/21/21 | J | | |
| 152. Cleveland OH Var Purp | A | Interest | J | T | Buy | 12/02/21 | J | | |
| 153. Clovis CA Uni Sch Dist | A | Interest | J | T | Buy | 04/14/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

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| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 154. Columbus OH City Sch | A | Interest | J | T | Buy | 10/27/21 | J | | |
| 155. Dallas TX Indpt Sch Dist | A | Interest | J | T | Buy | 07/02/21 | J | | |
| 156. Dallas TX Indpt Sch Dist | A | Interest | J | T | Buy (add'l) | 07/13/21 | J | | |
| 157. Dallas TX Indpt Sch Dist | A | Interest | J | T | Buy | 07/26/21 | J | | |
| 158. Dallas TX ISD Prfd-2019 | A | Interest | J | T | Buy | 03/24/21 | J | | |
| 159. Dallas TX ISD Prfd-2019 | A | Interest | J | T | Buy (add'l) | 03/25/21 | J | | |
| 160. Dawson Ridge Met Dist #1 | A | Interest | J | T | Buy | 10/06/21 | J | | |
| 161. Delaware St G/O Unltd | A | Interest | J | T | Buy | 07/20/21 | J | | |
| 162. Duluth MN Indpt Sch Dist | A | Interest | J | T | Buy | 04/13/21 | J | | |
| 163. East Side UN High Sch | A | Interest | J | T | Buy | 10/25/21 | J | | |
| 164. El Paso TX CTF Oblig DB | A | Interest | J | T | Buy | 11/16/21 | J | | |
| 165. Englewood NJ Prerefunded | A | Interest | J | T | Buy | 11/08/21 | J | | |
| 166. Enterprise AL Wts Ser A | A | Interest | J | T | Buy | 07/02/21 | J | | |
| 167. Enterprise AL Wts Ser A | A | Interest | J | T | Buy (add'l) | 07/02/21 | J | | |
| 168. Escambia Cnty AL Brd Ed | A | Interest | J | T | Buy | 04/01/21 | J | | |
| 169. Escambia Cnty AL Brd Ed | A | Interest | J | T | Buy (add'l) | 04/01/21 | J | | |
| 170. Foley A1 Utils Brd Utils | A | Interest | J | T | Buy | 06/22/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 171. Foley A1 Utils Brd Utils | A | Interest | J | T | Buy | 07/08/21 | J | | |
| 172. Fontana CA Uni Sch Dist | A | Interest | J | T | Buy | 11/15/21 | J | | |
| 173. Gateway PA Sch Dist Alleghany Cnty | A | Interest | J | T | Buy | 04/12/21 | J | | |
| 174. Gateway PA Sch Dist Alleghany Cnty | A | Interest | J | T | Buy | 06/22/21 | J | | |
| 175. Grand Blanc MI Cmnty | A | Interest | J | T | Buy | 11/09/21 | J | | |
| 176. Guntersville AL Wtr Wks & Swr Brd | A | Interest | J | T | Buy | 07/16/21 | J | | |
| 177. Guntersville AL Wtr Wks & Swr Brd | A | Interest | J | T | Buy | 08/16/21 | J | | |
| 178. Henderson NV Rfdg Swr | A | Interest | J | T | Buy | 10/05/21 | J | | |
| 179. Houston TX Util Sys Rev | A | Interest | J | T | Buy | 07/08/21 | J | | |
| 180. Houston TX Util Sys Rev | A | Interest | J | T | Buy | 10/22/21 | J | | |
| 181. Howard Cnty MD Rfdg Met | A | Interest | J | T | Buy | 09/08/21 | J | | |
| 182. Howard Cnty MD Rfdg Met | A | Interest | J | T | Buy (add'l) | 09/08/21 | J | | |
| 183. Huntsville AL | A | Interest | J | T | Buy | 06/30/21 | J | | |
| 184. Imperial CA Cmnty Cllg | A | Interest | J | T | Buy | 11/30/21 | J | | |
| 185. Indiana St Mun Pwr Agy | A | Interest | J | T | Buy | 11/10/21 | J | | |
| 186. Isle Wight Cnty VA | A | Interest | J | T | Buy | 06/28/21 | J | | |
| 187. Jackson Cnty KS Uni S/D | A | Interest | J | T | Buy | 08/03/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 188. Lancaster OH City SD Sch | A | Interest | J | T | Buy | 11/23/21 | J | | |
| 189. Liberty Center OH Loc Sch | A | Interest | J | T | Buy | 07/12/21 | J | | |
| 190. Liberty Cener OH Loc Sch | A | Interest | | | Redeemed | 11/01/21 | J | | |
| 191. Long Beach CA Cmnty Cllg | A | Interest | J | T | Buy | 08/18/21 | J | | |
| 192. Long IS Pwr Auth NY Elec | A | Interest | J | T | Buy | 12/09/21 | J | | |
| 193. Louisiana St Gas & Fuels | A | Interest | J | T | Buy | 04/13/21 | J | | |
| 194. Madison Cnty AL Brd Ed | A | Interest | J | T | Buy | 04/01/21 | J | | |
| 195. Madison Cnty AL Brd E | A | Interest | J | T | Buy (add'l) | 04/01/21 | J | | |
| 196. Madison Cnty AL Brd Ed (Lines 194 & 195 Redeemed Together) | A | Interest | | | Redeemed | 09/01/21 | J | | |
| 197. Manchester CT C/O B\E | A | Interest | J | T | Buy | 12/02/21 | J | | |
| 198. Massachusetts St Rfdg | A | Interest | | | Buy | 04/07/21 | J | | |
| 199. Massachusetts St Rfdg | A | Interest | | | Redeemed | 10/01/21 | J | | |
| 200. Massachusetts St Sch Bldg | A | Interest | J | T | Buy | 07/02/21 | J | | |
| 201. Massachusetts St. Sch Bldg | A | Interest | J | T | Buy (add'l) | 12/28/21 | J | | |
| 202. Massachusetts St Sch Bldg | A | Interest | J | T | Buy | 04/06/21 | J | | |
| 203. Massachusetts St Sch Bldg | A | Interest | J | T | Buy (add'l) | 07/02/21 | J | | |
| 204. Metropolitan Govt Nashville | A | Interest | J | T | Buy | 07/16/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 205. Metropolitan Transn Auth NY | A | Interest | J | T | Buy | 06/18/21 | J | | |
| 206. Miami Dade Cnty FL Spl | A | Interest | J | T | Buy | 07/15/21 | J | | |
| 207. Miami Dade Cnty FL Wtr & Swr | A | Interest | J | T | Buy | 11/23/21 | J | | |
| 208. Missouri St Envmntl Impt & Energy | A | Interest | J | T | Buy | 06/21/21 | J | | |
| 209. Montgomery Cnty MD Rev | A | Interest | J | T | Buy | 09/28/21 | J | | |
| 210. Morgan Cnty AL Brd Ed | A | Interest | J | T | Buy | 07/14/21 | J | | |
| 211. Nebraska St Pub Pwr Dist | A | Interest | J | T | Buy | 03/29/21 | J | | |
| 212. New Caney TX Indpt Sch | A | Interest | J | T | Buy | 12/28/21 | J | | |
| 213. New Jersey St Tpk Auth | A | Interest | J | T | Buy | 06/21/21 | J | | |
| 214. New Jersey St Tpk Auth | A | Interest | J | T | Buy | 08/23/21 | J | | |
| 215. New York City NY Mun Wtr | A | Interest | | | Buy | 04/06/21 | J | | |
| 216. New York City NY Mun Wtr | A | Interest | | | Redeemed | 12/15/21 | J | | |
| 217. New York NY Bds 2012A-1 | A | Interest | J | T | Buy | 04/06/21 | J | | |
| 218. New York NY BDS A-1 G/O | A | Interest | J | T | Buy | 12/07/21 | J | | |
| 219. Norris CA Sch Dist 2012 | A | Interest | J | T | Buy | 10/25/21 | J | | |
| 220. North Hudson Swr Auth NJ | A | Interest | J | T | Buy | 09/30/21 | J | | |
| 221. North Hudson Swr Auth NJ | A | Interest | J | T | Buy | 12/07/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 222. North Hudson Swr Auth NJ | A | Interest | J | T | Buy | 12/09/21 | J | | |
| 223. Oklahoma St Tpk Auth Tpk 8 | A | Interest | J | T | Buy | 08/04/21 | J | | |
| 224. Owensboro KY Rfdg & Impt | A | Interest | J | T | Buy | 12/22/21 | J | | |
| 225. Papio MO Riv Nat Res | A | Interest | J | T | Buy | 11/12/21 | J | | |
| 226. Penn Hills PA Sch Dist | A | Interest | J | T | Buy | 08/05/21 | J | | |
| 227. Penn Hills PA Sch Dist 2 | A | Interest | J | T | Buy | 08/23/21 | J | | |
| 228. Pennsylvania St 1st Serv | A | Interest | J | T | Buy | 10/08/21 | J | | |
| 229. Pennsylvania St High EFA | A | Interest | J | T | Buy | 11/30/21 | J | | |
| 230. Pennsylvania St TPK | A | Interest | J | T | Buy | 04/13/21 | J | | |
| 231. Pennsylvania St TPK | A | Interest | J | T | Buy | 11/22/21 | J | | |
| 232. Pennsylvania St TPK Rev | A | Interest | J | T | Buy | 11/24/21 | J | | |
| 233. Plymouth Canton MI Cmnty | A | Interest | J | T | Buy | 11/23/21 | J | | |
| 234. Portsmouth VA Go Pub | A | Interest | J | T | Buy | 11/22/21 | J | | |
| 235. Rhode Island Clean Wtr | A | Interest | J | T | Buy | 04/05/21 | J | | |
| 236. Rhode Island Depositors | A | Interest | J | T | Buy | 12/28/21 | J | | |
| 237. Saint Petersburg FL Pub | A | Interest | J | T | Buy | 08/24/21 | J | | |
| 238. San Antonio TX Wtr Sys Rev | A | Interest | J | T | Buy | 04/01/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Gottschall, Joan B.** | 05/04/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 239. San Francisco CA Bay | A | Interest | J | T | Buy | 10/06/21 | J | | |
| 240. San Francisco CA Bay | A | Interest | J | T | Buy | 12/02/21 | J | | |
| 241. San Mateo Foster City CA | A | Interest | J | T | Buy | 04/07/21 | J | | |
| 242. San Mateo Foster City CA | A | Interest | J | T | Buy | 09/30/21 | J | | |
| 243. Santa Clarita CA Cmnty | A | Interest | J | T | Buy | 11/12/21 | J | | |
| 244. Sarasota Cnty FL Util | A | Interest | | | Buy | 04/05/21 | J | | |
| 245. Sarasota Cnty FL Util | A | Interest | | | Redeemed | 10/01/21 | J | | |
| 246. Snohomish Cnty WA Ltd | A | Interest | | | Buy | 07/09/21 | J | | |
| 247. Snohomish Cnty WA Ltd | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 248. Snohomish Cnty WA Pub | A | Interest | J | T | Buy | 08/02/21 | J | | |
| 249. South Carolina St Pub | A | Interest | J | T | Buy | 12/07/21 | J | | |
| 250. South Western City SD OH | A | Interest | J | T | Buy | 08/16/21 | J | | |
| 251. South Western City SD OH | A | Interest | J | T | Buy | 11/16/21 | J | | |
| 252. Southwestern Cmnty Cllg | A | Interest | J | T | Buy | 04/07/21 | J | | |
| 253. State Pub Sch Bldg Auth PA | A | Interest | J | T | Buy | 07/22/21 | J | | |
| 254. Tampa Hillsborough Cnty FL | A | Interest | J | T | Buy | 07/01/21 | J | | |
| 255. Tennessee St Sch Bd Auth | A | Interest | J | T | Buy | 04/06/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A | B | | C | | D | | | | |
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| 256. Texas St Transn Commn | A | Interest | J | T | Buy | 07/08/21 | J | | |
| 257. Thurston Cnty WA Sch | A | Interest | J | T | Buy | 11/15/21 | J | | |
| 258. Titus Cnty TX Pass Thru | A | Interest | J | T | Buy | 07/01/21 | J | | |
| 259. Union Cnty NJ Preref | A | Interest | J | T | Buy | 07/28/21 | J | | |
| 260. University AR Univ Rev | A | Interest | | | Buy | 03/25/21 | J | | |
| 261. University AR Univ Rev | A | Interest | | | Redeemed | 12/01/21 | J | | |
| 262. University CA Revs | A | Interest | J | T | Buy | 08/03/21 | J | | |
| 263. University Cincinnati OH | A | Interest | J | T | Buy | 10/27/21 | J | | |
| 264. University UT Univ Revs | A | Interest | J | T | Buy | 11/12/21 | J | | |
| 265. Virginia St Res Auth | A | Interest | J | T | Buy | 10/12/21 | J | | |
| 266. Virginia St Res Auth | A | Interest | J | T | Buy | 11/22/21 | J | | |
| 267. Winton Woods City Sch Dist OH | A | Interest | J | T | Buy | 04/13/21 | J | | |
| 268. Winton Woods City Sch Dist OH | A | Interest | J | T | Buy | 10/15/21 | J | | |
| 269. Wisconsin St Preref Rfdg | A | Interest | J | T | Buy | 08/02/21 | J | | |
| 270. Wisconsin St Preref Rfdg Ser 1 | A | Interest | J | T | Buy | 09/29/21 | J | | |
| 271. Wisconsin St Transn Rev | A | Interest | J | T | Buy | 04/14/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
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| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| Name of Person Reporting | Date of Report |
|---|---|
| **Gottschall, Joan B.** | 05/04/2022 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. VII, Line 25 is a "sweep" account for both brokerage and IRA accounts in which money is held pending investment; money is constantly being put into and taken out of the account.

2. VII, Line 10 is a money market fund. Last year it was entitled Vanguard Prime Portfolio -- VMMXX. New name as of June, 2021 is Cash Res Fed MM Adm -- VMRXX.

| Name of Person Reporting | Date of Report |
|---|---|
| **Gottschall, Joan B.** | 05/04/2022 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Joan B. Gottschall**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544