AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gottschall, Joan B.	U.S. District Court, NDIL	05/09/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge--Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Room 2502 219 South Dearborn Street Chicago, IL 60604		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Visiting Committee to the Divinity School, University of Chicago
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gottschall, Joan B.	05/09/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Vanguard Cash Res Fed MM Adm VMRXX	B	Dividend	K	T					
2.	Vanguard Wellesley Fund VWIAX	D	Dividend	N	T					
3.	Vanguard Wellington Fund VWENX	C	Dividend	M	T					
4.	Vanguard Index Trust VFIAX	C	Dividend	N	T	Sold (part)	12/16/24	N		
5.	Vanguard Horizon Capital Opp Fund VHCAX	A	Dividend	K	T					
6.	Schwab US Dividend ETF Equity	C	Dividend	M	T					
7.	American Mut Fund AMRFX	B	Dividend	M	T					
8.	American Intermediate Bond Func CL FS IBAFX	B	Dividend	L	T					
9.	Vanguard Wellington Fund VWENX	B	Dividend	N	T					
10.	IBM c/stock	B	Dividend	L	T					
11.	Intel c/stock	A	Dividend	J	T					
12.	CVS Caremark c/stock	A	Dividend	J	T					
13.	Dodge & Cox Fds Intl Stk Fd DODFX	A	Dividend	K	T					
14.	American Funds Capital Income Bldr CAIFX	D	Dividend	M	T					
15.	American Income Fund AMEFX	D	Dividend	M	T					
16.	American Balanced Fund AMBFX	D	Dividend	N	T					
17.	American Mutual Fund AMRFX	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gottschall, Joan B.	05/09/2025

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☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.	American Funds Capital World Growth Inc WGIFX	C	Int./Div.	N	T					
19.	Wells Fargo Bank NA CD	A	Interest			Redeemed	12/05/24	M		
20.	Goldman Sachs Funds FMJXX	D	Interest	M	T	Buy (add'l)	05/30/24	M		
21.	Wintrust Fin Corp Accts *See No. 1, Part VIII	A	Interest	J	T					
22.	State Bank of the Lakes Account *See No. 2, Part VIII		None	J	T					
23.	Birmingham AL GO Rfdg	A	Interest			Redeemed	03/01/24	J		
24.	Erie PA Swr Auth Swr Rev	A	Interest			Redeemed	12/02/24	J		
25.	Austin TX Wtr & Wastewater Sys	A	Interest			Redeemed	05/15/24	J		
26.	Mesa AZ Util Sys Rev Preref Rfdg	A	Interest			Redeemed	07/01/24	J		
27.	Mesa AZ Util Sys Rev Rfdg	A	Interest			Redeemed	07/01/24	J		
28.	Mesa AZ Util Sys Rev Rfdg	A	Interest			Redeemed	07/01/24	J		
29.	Mesa AZ Util Sys Rev Preref	A	Interest			Redeemed	07/01/24	J		
30.	New Jersey Econ Dev Auth Rev	A	Interest			Redeemed	06/17/24	J		
31.	Spartanburg Cnty SC Sch Dist	A	Interest			Redeemed	03/01/24	J		
32.	Great Rivers Greenway Met Pk & Rec	A	Interest			Redeemed	01/02/24	J		
33.	Granbury TX Indpt Sch Dist	A	Interest			Redeemed	08/01/24	J		
34.	Mesa AZ Util Sys Rev	A	Interest			Redeemed	07/01/24	J		

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35. Texas St GO Mobility FD	A	Interest			Redeemed	04/01/24	J		
36. Wright CA Elem Sch Dist	A	Interest			Redeemed	08/01/24	J		
37. Virginia State GO Bnds	A	Interest			Redeemed	06/03/24	J		
38. Cheltenham Twp PA Sch Dist	A	Interest			Redeemed	08/15/24	J		
39. Commerce City CO Sales & Use Tax	A	Interest			Redeemed	08/01/24	J		
40. Nassau Cnty NY Gen Impt	A	Interest			Redeemed	04/01/24	J		
41. Vacaville CA Uni Sch Dist	A	Interest			Redeemed	08/01/24	J		
42. Philadelphia PA Wtr & Wastewtr	A	Interest			Redeemed	07/01/24	J		
43. Casa Grande AZ Rfdg Bds	A	Interest			Redeemed	08/01/24	J		
44. Birmingham AL Prerefunded	A	Interest			Redeemed	09/03/24	J		
45. Washington Subn San Dist	A	Interest			Redeemed	06/03/24	J		
46. Pflugerville TX Indpt Sch Dist	A	Interest			Redeemed	02/15/24	J		
47. University of Colorado	A	Interest			Redeemed	06/03/24	J		
48. Pflugerville TX Indpt Sch Dist	A	Interest			Redeemed	02/15/24	J		
49. Wilmar UN Sch Dist CA Election	A	Interest			Redeemed	08/01/24	J		
50. Virginia St Res Auth Rev	A	Interest			Redeemed	11/01/24	J		
51. US Treasury Notes	D	Interest	M	T					

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52. US Treasury Bill	D	Interest			Redeemed	09/05/24	M		
53. Fidelity Investments MM FNSXX	D	Interest	M	T	Buy	05/29/24	M		
54.					Buy (add'l)	05/30/24	M		
55. Allspring Money Market WMPXX	B	Interest	K	T	Buy	05/29/24	K		
56. US Treasury Note Investment	B	Interest	M	T	Buy	05/30/24	M		
57. US Treasury Note Investment	B	Interest	M	T	Buy	05/30/24	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Section VII, Line 22 -- "Sweep Account" for both brokerage and IRA accounts. Money is held pending investment and is constantly being transferred into and out of the account.

2. Section VII, Line 23 -- "State Bank of the Lakes" is a personal checking account used for payroll deposits and payment of monthly bills, the dollar amount continually changes.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joan B. Gottschall

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544