AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Graham, James L.	U.S. District Court, SD/OH	08/08/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge-Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
349 Joseph P. Keinnery U.S. CH 85 Marconi Blvd. Columbus, OH 43215		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Graham, James L.	08/08/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B	C	D					
Description of Assets (including trust assets)	Income during reporting period	Gross value at end of reporting period	Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Partnership-10% interest in Florida Condo.- See Part VIII		None	K	R					
2. Huntington National-Chec ing and Savings	A	Interest	N	T					
3. Charles Schwab & Co.-IRA	E	Distribution			Merged (with line 18)	01/01/21	N		
4. Ally Bank-Checking	A	Interest	K	T					
5. Ally Bank - Savings & CD's	B	Interest	N	T					
6. Synchrony Bank - Savings	D	Interest	O	T					
7. Ford Motor Credit Bd Due-3.55% 5/20/21 Raymond James Acct.	C	Interest			Matured	05/20/21	M		
8. Dublin OH BD 2.5% Due 12/01/21Raymond James Acct.	B	Interest			Matured	12/01/21	M		
9. 4,000 SHS Theratechnologies THTX Schwab Acct.		None			Merged (with line 27)	01/06/21	J		
10. Raymond James Sweap Account	D	Interest	M	T					
11. Bk OF AM Bond-due 10/30/22-2.1%- Raymond James Acct	B	Interest			Redeemed	04/30/21	M		
12. Ford Bond-due 08/20/23-3.35% -Raymond James Acct	B	Interest			Redeemed	08/20/21	K		
13. General Motors Bd due 07/08/22-3.55%- Raymond James Acct.	C	Interest	L	T					
14. 25,000 Theratechnologies - Schwab Acct		None			Merged (with line 51)	01/01/21	L		
15. Barclays Bank -CD's	B	Interest	N	T					
16. Charles Schwab-Acct-Cash	A	Interest	L	T					
17. Kremb Financial Credit Union-Cash Account [redacted]	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18.	Charles Schwab IRA - Header -JLG									
19.	Cash Account	E	Distribution	K	T					
20.	SPDR S/P 500 ETF (100 shs)		None	M	T					
21.	ADM (500 shs)		None	K	T	Buy	09/20/21	K		
22.	VanGuard Russell 2000 ETF (250 shs)		None	K	T	Buy	03/24/21	K		
23.	Van Guard Russell 2000 ETF (250 shs)		None			Sold	04/12/21	K	A	
24.	Delta (1,000 shs)		None	K	T	Buy	11/08/21	K		
25.	Madrigal Pharma (150 shs)		None	J	T	Buy	12/22/21	J		
26.	Mastercard (50 shs)		None	K	T	Buy	04/15/21	K		
27.	Theratechnologies (4,000 shs)		None	K	T					
28.	Theratechnologies (52,000 shs)		None	M	T	Buy	01/15/21	M		
29.	PayPal ((75 shs)		None	K	T	Buy	04/15/21	K		
30.	PayPal (75 shs)		None			Sold	04/22/21	K		
31.	Baidu Inc. (100 shs)		None			Buy	03/29/21	K		
32.	Baidu Inc. (100 shs)		None			Sold	04/22/21	K	B	
33.	Tencent Hold ADR (300 shs)		None			Buy	03/29/21	K		
34.	Tencent Hold ADR (300 shs)		None			Sold	10/04/21	K		

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35. Barrick Gold Corp (1,500 shs)		None			Buy	04/05/21	K		
36. Barrick Gold Corp (1,500 shs)		None			Sold	04/22/21	K	B	
37. Decarbonization Plus A (2,000 shs)		None			Buy	10/04/21	K		
38. Decarbonization Plus A (2,000 shs)		None			Sold	12/09/21	K		
39. Luckin Coffee (1,000 shs)		None			Buy	03/05/21	K		
40. Luckin Coffee (1,000 shs)		None			Sold	12/06/21	K		
41. Capstone Turbin (1 shs)		None	J	T	Buy	01/06/21	J		
42. Capstone Turbin (1 shs)		None			Buy	02/03/21	J		
43. Cardinal Health (700	A	Dividend			Buy	01/07/21	K		
44. Cardinal Health (700	A	Dividend			Sold	02/03/21	K		
45. CVS Health (700 shs)	A	Dividend			Buy	01/07/21	L		
46. CVS Health (700 shs)	A	Dividend			Sold	02/03/21	L		
47. Physicians REIT (2,000		None			Buy	01/07/21	K		
48. Physicians REIT (2,000		None			Sold	02/03/21	K		
49. Stag Industrial REIT (1,500 shs)		None			Buy	01/07/21	K		
50. Stag Industrial REIT (1,500 shs)		None			Sold	01/07/21	K		
51. Charles Schwab-Brokerage - Header JLG									

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Cash Account	A	Interest	K	T					
53. Carnival (CCL) (500 shs)	A	Dividend			Sold	04/23/21	J		
54. SPDR - S/P 500 ETF 375 shs)		None			Sold	01/06/21	M	D	
55. VanGuard Russell 2000 ETF		None			Sold	01/06/21	K		
56. Madrigal Pharma (150 shs)	A	Dividend	K	T					
57. Madrigal Pharma (50 shs)	A	Dividend	J	T	Buy	12/27/21	J		
58. Theratechnologies (25,000shs)		None	L	T					
59. Aercap Holdings (500 shs)		None	K	T	Buy	11/10/21	K		
60. ADM (500 shs)	A	Dividend	K	T	Buy	11/30/21	K		
61. ASML Hldgs (75 shs)		None	L	T	Buy	12/29/21	L		
62. Carnival (CCL) (1,500 shs)	A	Dividend	J	T	Buy	12/06/21	K		
63. Carnival (CCL) (300shs)	A	Dividend			Buy	03/24/21	J		
64. Carnival (CCL) (300shs)	A	Dividend			Sold	04/23/21	J		
65. Chevron (300 shs)	A	Dividend	K	T	Buy	11/30/21	K		
66. Chevron (250 shs)	A	Dividend	K	T	Buy	12/08/21	K		
67. Delta (700 shs)	A	Dividend	K	T	Buy	11/30/21	K		
68. Delta (1,000 shs)	A	Dividend	K	T	Buy	11/10/21	K		

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69. Exxon Mobil (500 shs)	A	Dividend	K	T	Buy	11/30/21	K		
70. General Dynamics (50 shs)	A	Dividend	J	T	Buy	12/06/21	J		
71. General Dynamics (50 shs)	A	Dividend	K	T	Buy	12/30/21	K		
72. Leidos Hldgs (500 shs)	A	Dividend	K	T	Buy	12/08/21	K		
73. Lockheed Martin (50 shs)	A	Dividend	K	T	Buy	12/06/21	K		
74. Lockheed Martin (100 shs)	A	Dividend	K	T	Buy	12/30/21	K		
75. McDonalds Corp (125)	A	Dividend	K	T	Buy	11/30/21	K		
76. Northrop Grumman (125 shs)	A	Dividend	K	T	Buy	11/08/21	K		
77. Royal Caribbean (400 shs)	A	Dividend	K	T	Buy	12/06/21	K		
78. Disney (200 shs)	A	Dividend	K	T	Buy	12/06/21	K		
79. Clearbridge ETF (600 shs)	A	Dividend	K	T	Buy	03/11/21	K		
80. ETF MG Prime Cyrer (1,300 shs)	A	Dividend	L	T	Buy	12/27/21	L		
81. SPDR Gold Shares ETF (600 shs)	A	Dividend	M	T	Buy	12/15/21	M		
82. Microsoft (100 shs)		None			Buy	11/30/21	K		
83. Microsoft (100 shs)		None			Sold	12/08/21	K		
84. SPDR S 500 (100 shs)		None			Buy	11/10/21	K		
85. SPDR S 500 (100 shs)		None			Sold	12/08/21	K		

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86. Barrick Gold Corp (1,000 shs)		None	K	T	Buy	03/11/21	K		
87. Barrick Gold Corp (1,000 shs)		None			Buy	04/12/21	K		
88. Barrick Gold Corp (2,000 shs)		None			Sold	04/26/21	K	C	
89. Caterpillar (100 shs)		None			Buy	03/11/21	K		
90. Caterpillar (100 shs)		None			Sold	04/26/21	K	A	
91. Cummins (100 shs)		None			Buy	03/11/21	K		
92. Cummins (100 shs)		None			Sold	04/22/21	K		
93. Lowes (150 shs)		None			Buy	03/11/21	K		
94. Lowes (150 shs)		None			Sold	04/26/21	K	D	
95. Southern Co. (400 shs)		None			Buy	03/11/21	K		
96. Southern Co. (400 shs)		None			Sold	04/26/21	K	B	
97. Target (150 shs)		None			Buy	03/11/21	K		
98. Target (150 shs)		None			Sold	04/26/21	K	C	
99. SPDR Gold ETF (125 shs)		None			Buy	03/11/21	K		
100. SPDR Gold ETF (125 shs)		None			Sold	04/26/21	K	A	
101. SPDR Metals/Mining (500 shs)		None			Buy	03/11/21	K		
102. SPDR Metals/Mining (500 shs)		None			Sold	04/26/21	K	A	

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103. VanGuard Russell 2000 ETF (50 shs)		None			Buy	03/24/21	J		
104. VanGuard Russell 2000 ETF (50 shs)		None			Sold	04/12/21	J		
105. SPDR S&P 500 ETF (100 shs)		None			Buy	04/07/21	K		
106. SPDR S&P 500 ETF (100 shs)		None			Sold	04/26/21	K	A	
107. ADM (400 shs)		None			Buy	04/12/21	K		
108. ADM (400 shs)		None			Sold	04/26/21	K	A	
109. Microsoft (100 shs)		None			Buy	04/12/21	J		
110. Microsoft (100 shs)		None			Sold	04/12/21	J	A	
111. Scotts Miracle Gr (100 shs)		None			Buy	04/12/21	K		
112. Scotts Miracle Gr (100 shs)		None			Sold	04/22/21	K		
113. Southern Copper (400 shs)		None			Buy	04/12/21	K		
114. Southern Copper (400 shs)		None			Sold	05/18/21	K	B	
115. Visa (100 shs)		None			Buy	04/19/21	K		
116. Visa (100 shs)		None			Sold	11/05/21	K		
117. Coinbase Global (150 shs)		None			Buy	04/22/21	K		
118. Coinbase Global (150 shs)		None			Sold	04/23/21	K		
119. Alphabet (22 shs)		None			Buy	05/05/21	L		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Alphabet (22 shs)		None			Sold	05/13/21	K		
121. Amazon (15 shs)		None			Buy	05/05/21	L		
122. Amazon (15 shs)		None			Sold	05/14/21	K		
123. Apple (375 shs)		None			Buy	05/05/21	K		
124. Apple (375 shs)		None			Sold	05/13/21	K		
125. Microsoft (200 shs)		None			Buy	05/05/21	L		
126. Microsoft (200 shs)		None			Sold	05/13/21	K		
127. Invesco QQQ Tr ETF (300 shs)		None			Buy	05/18/21	L		
128. Invesco QQQ Tr ETF (300 shs)		None			Sold	05/21/21	L		
129. Leidos HLDGS (500 shs)		None			Buy	09/15/21	K		
130. Leidos HLDGS (500 shs)		None			Sold	11/05/21	K		
131. Ford (5,000 shs)		None			Buy	10/06/21	L		
132. Ford (5,000 shs)		None			Sold	11/05/21	L	E	
133. Charles Schwab Brokerage - [redacted]									
134. Cash	A	Interest	K	T					
135. Carnival (250 shs)	A	Dividend	J	T					
136. Inspire Medical Sys (225 shs)		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Graham, James L.	08/08/2022

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. SPDR S&P 500 ETF (180 shs)	A	Dividend	L	T					
138. VanGuard Russell 2000 ETF (200 shs)	A	Dividend	K	T					
139. Charles Schwab IRA [redacted]									
140. Cash	D	Distribution	J	T					
141. Theratechnologies (400 shs)		None	J	T					
142. SPDR S&P 500 ETF (440 shs)	C	Dividend	M	T					
143. VanGuard Russell 2000 ETF 750 shs)	B	Dividend	L	T					
144. SPDR S&P 500 ETF (200 shs)		None			Sold	03/09/21	L	E	
145. VanGuard Russell 2000 ETF 50 shs)	B	Dividend			Sold	11/08/21	J	A	
146.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Graham, James L.	08/08/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Page 1, Line 1 -The date of purchase was April 2, 2002. As of 12/31/19 all Farm land had been sold (was reported on the 2019 Financial Disclosure Report).The only asset remaining in the [redacted] Partnership was a residential Condo in Flordia.
Judge [redacted] holds a tne percent (10%) equity interest in the Partnership. The value listted represents the 10% of the cost of the Condo.($31,308..

Part VII, Page 1, Line13 -Description of Security was in changed to correctly describe the security held.

Part VII Page 1, Line 27,Theratechnologics (4,000 shs)" has been moved from Part VII, Page 1, Line 16 of the 2020 Report.

Part VII Page 1, Line 37 "Madrigal Pharma (150 shs) " has been Merged from Part VII, Page 1, Line 97 of the 2020 Report.

Part VII Page 1, Line 59 "Theratechologies (25,000 shs) " has been Merged from Part VII, Page 1, Line 36 of the 2020 Report.

Name of Person Reporting	Date of Report
Graham, James L.	08/08/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James L. Graham

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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