AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Graham,, James L. | U.S. Disrtict Court, SD/OH | 08/29/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. Disrtict Judge-Senior | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022<br>**to**<br>12/31/2022 |
| **7. Chambers or Office Address** | | |
| 349 Joseph P. Keinnery U.S. CH<br>85 Marconi Blvd<br>Columbus, OH 43215 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☑ NONE *(No reportable agreements.)*

| DATE | PARTIES AND TERMS |
|---|---|
| 1. | |
| 2. | |
| 3. | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Graham,, James L.** | 08/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. Partnership - 10% Interest in Florida Condo - See Part VIII | | None | K | R | | | | | |
| 2. Huntington National - Checking and Savings | A | Interest | K | T | | | | | |
| 3. Ally Bank - Checking | A | Interest | K | T | | | | | |
| 4. Ally Bank - Savings & CD | B | Interest | O | T | | | | | |
| 5. Synchrony Bank - Savings | D | Interest | O | T | | | | | |
| 6. Raymond James Sweep Account | D | Interest | | | Closed | 06/30/22 | M | | |
| 7. General Motors Bd. due 07/08/22 -3.55%- Raymond James Acct | C | Interest | | | Redeemed | 07/08/22 | L | A | |
| 8. Barclays Bank - CD's | B | Interest | O | T | | | | | |
| 9. Charles Schwab - Acct - Cash | A | Interest | | | Closed | 06/30/22 | L | | |
| 10. Kemba Financial Credit Union - Cash Account | B | Interest | L | T | | | | | |
| 11. Charles Schwab IRA - Header - | | | | | | | | | |
| 12. Cash Account | E | Distribution | K | T | | | | | |
| 13. SPDR S/P 500 ETF (100 shs) | A | Dividend | | | Sold | 01/26/22 | K | C | |
| 14. ADM (500 shs) | A | Dividend | | | Sold | 06/22/22 | K | D | |
| 15. Delta (1,000 shs) | A | Dividend | | | Sold | 02/15/22 | K | B | |
| 16. Madrigal Pharma (150 shs) | A | Dividend | J | T | | | | | |
| 17. Mastercard (50 shs) | A | Dividend | | | Sold | 01/26/22 | K | A | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =$1,000 or less<br>F =$50,001 - $100,000 | B =$1,001 - $2,500<br>G =$100,001 - $1,000,000 | C =$2,501 - $5,000<br>H1 =$1,000,001 - $5,000,000 | D =$5,001 - $15,000<br>H2 =More than $5,000,000 | E =$15,001 - $50,000 | |
| 2 Value Codes<br>(See Columns C1 and D3) | J =$15,000 or less<br>N =$250,001 - $500,000<br>P3 =$25,000,001 - $50,000,000 | K =$15,001 - $50,000<br>O =$500,001 - $1,000,000 | L =$50,001 - $100,000<br>P1 =$1,000,001 - $5,000,000<br>P4 =More than $50,000,000 | M =$100,001 - $250,000<br>P2 =$5,000,001 - $25,000,000 | | |
| 3 Value Method Codes<br>(See Column C2) | Q =Appraisal<br>U =Book Value | R =Cost (Real Estate Only)<br>V =Other | S =Assessment<br>W =Estimated | T =Cash Market | | |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 18. Theratechnologies (4,000 shs) | A | Dividend | K | T | | | | | |
| 19. Theratechnologies (52,000 shs) | A | Dividend | M | T | | | | | |
| 20. Capstone Turbin (1 shs) | A | Dividend | J | T | | | | | |
| 21. Capstone Turbin (1 shs) | A | Dividend | J | T | | | | | |
| 22. Chevron Corp (400 shs) | A | Dividend | | | Buy | 01/26/22 | L | | |
| 23. Chevron Corp (400 shs) | A | Dividend | | | Sold | 02/10/22 | L | C | |
| 24. Delta (400 shs) | A | Dividend | | | Buy | 02/09/22 | K | | |
| 25. Delta (400 shs) | A | Dividend | | | Sold | 02/15/22 | K | B | |
| 26. Raytheon Technologies (200 shs) | A | Dividend | | | Buy | 02/09/22 | K | | |
| 27. Raytheon Technologies (200 shs) | A | Dividend | | | Sold | 06/22/22 | K | A | |
| 28. Delta (1,000 shs) | A | Dividend | | | Buy | 02/10/22 | K | | |
| 29. Delta (1,000 shs) | A | Dividend | | | Sold | 02/15/22 | K | C | |
| 30. SPDR Gold Shares ETF (500 shs) | A | Dividend | | | Buy | 02/15/22 | L | | |
| 31. SPDR Gold Shares ETF (500 shs) | A | Dividend | | | Sold | 03/02/22 | L | C | |
| 32. Cheniere Energy Inc. (200 shs) | A | Dividend | | | Buy | 03/02/22 | K | | |
| 33. Cheniere Energy Inc. (200 shs) | A | Dividend | | | Sold | 06/22/22 | K | A | |
| 34. Eqt Corp (1,100 shs) | A | Dividend | | | Buy | 03/02/22 | K | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
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| 35. Eqt Corp (1,100 shs) | A | Dividend | | | Sold | 06/22/22 | K | E | |
| 36. Kinder Morgan (1,500 shs) | A | Dividend | | | Buy | 03/02/22 | K | | |
| 37. Kinder Morgan (1,500 shs) | A | Dividend | | | Sold | 06/22/22 | K | A | |
| 38. Schwab Value Advantage Money Inv (50,000 shs) | A | Dividend | | | Buy | 07/18/22 | K | | |
| 39. Schwab Value Advantage Money Inv (50,000 shs) | A | Dividend | | | Sold | 12/27/22 | K | A | |
| 40. Schwab Value Advantage Money Inv (138,000 shs) | A | Dividend | M | T | Buy | 07/18/22 | M | | |
| 41. Madrigal Pharma (100 shs) | A | Dividend | K | T | Buy | 12/28/22 | K | | |
| 42. Charles Schwab Brokerage - Header - | | | | | | | | | |
| 43. Cash Account | A | Interest | M | T | | | | | |
| 44. Madrigal Pharma (150 shs) | A | Dividend | K | T | | | | | |
| 45. Madrigal Pharma (50 shs) | A | Dividend | J | T | | | | | |
| 46. Theratechnologies (25,000 shs) | | None | L | T | | | | | |
| 47. Aercap Holdings (500 shs) | A | Dividend | | | Sold | 02/15/22 | K | A | |
| 48. ADM (500 shs) | A | Dividend | K | T | | | | | |
| 49. ASML Hldgs (75 shs) | | None | L | T | | | | | |
| 50. Carnival (CCL) (1,500 shs) | A | Dividend | | | Sold | 01/26/22 | K | C | |
| 51. Chevron (300 shs) | A | Dividend | K | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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| 52. Chevron (250 shs) | A | Dividend | K | T | | | | | |
| 53. Delta (700 shs) | A | Dividend | K | T | | | | | |
| 54. Delta (1,000 shs) | A | Dividend | | | Sold | 04/06/22 | K | A | |
| 55. Exxon Mobil (500 shs) | A | Dividend | | | Sold | 02/10/22 | K | C | |
| 56. General Dynamics (50 shs) | A | Dividend | J | T | | | | | |
| 57. General Dynamics (50 shs) | A | Dividend | K | T | | | | | |
| 58. Leidos Hldgs (500 shs) | A | Dividend | K | T | | | | | |
| 59. Lockheed Martin (50 shs) | A | Dividend | K | T | | | | | |
| 60. Lockheed Martin (100 shs) | A | Dividend | K | T | | | | | |
| 61. McDonalds Corp (125 shs) | A | Dividend | | | Sold | 01/26/22 | K | A | |
| 62. Northrop Grumman (125 shs) | A | Dividend | K | T | | | | | |
| 63. Royal Caribbean (400 shs) | A | Dividend | | | Sold | 01/26/22 | K | C | |
| 64. Disney (200 shs) | A | Dividend | | | Sold | 01/26/22 | K | A | |
| 65. Clearbridge ETF (600 shs) | A | Dividend | K | T | | | | | |
| 66. ETF MG Prime Cyrer (1,300 shs) | A | Dividend | | | Sold | 02/15/22 | L | A | |
| 67. SPDR Gold Shares ETF (600 shs) | A | Dividend | M | T | | | | | |
| 68. Exxon Mobile (500 shs) | A | Dividend | | | Buy | 01/20/22 | K | | |

| | | | | | |
|---|---|---|---|---|---|
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| A | | B | | C | | D | | | | |
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| 69. | Exxon Mobile (500 shs) | A | Dividend | | | Sold | 02/10/22 | K | C | |
| 70. | MP Materials Corp (1,000 shs) | A | Dividend | K | T | Buy | 01/20/22 | K | | |
| 71. | SPDR Gold Shares ETF (300 shs) | A | Dividend | L | T | Buy | 01/26/22 | L | | |
| 72. | SPDR S&P 500 ETF (200 shs) | A | Dividend | L | T | Buy | 01/28/22 | L | | |
| 73. | SPDR S&P 500 ETF (200 shs) | A | Dividend | | | Sold | 02/03/22 | L | B | |
| 74. | SPDR S&P 500 ETF (200 shs) | A | Dividend | L | T | Buy | 02/03/22 | L | | |
| 75. | ASML Holding (50 shs) | A | Dividend | K | T | Buy | 02/09/22 | K | | |
| 76. | Raytheon Technologies (500 shs) | A | Dividend | K | T | Buy | 02/09/22 | K | | |
| 77. | JP Morgan Chase (300 shs) | A | Dividend | | | Buy | 02/10/22 | K | | |
| 78. | JP Morgan Chase (300 shs) | A | Dividend | | | Sold | 03/02/22 | K | A | |
| 79. | SPDR Gold Shares ETF (550 shs) | A | Dividend | | | Buy | 02/15/22 | L | | |
| 80. | SPDR Gold Shares ETF (550 shs) | A | Dividend | | | Sold | 03/02/22 | L | C | |
| 81. | Archer-Daniels (400 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 82. | Tyson Foods (500 shs) | A | Dividend | | | Buy | 02/28/22 | K | | |
| 83. | Tyson Foods (500 shs) | A | Dividend | | | Sold | 04/06/22 | K | A | |
| 84. | Archer-Daniels (350 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 85. | Cheniere Energy Inc (250 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |

| | | | | | | |
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| A | B | | C | | D | | | | |
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| 86. Eqt Corp (188 shs) | A | Dividend | J | T | Buy | 03/02/22 | J | | |
| 87. Eqt Corp (1,462 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 88. General Dynamics (100 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 89. Kinder Morgan (2,000 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 90. Lockheed Martin (75 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 91. Northrop Grumman (50 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 92. Raytheon Technologies (300 shs) | A | Dividend | K | T | Buy | 03/02/22 | K | | |
| 93. Delta Air Lines (1,000 shs) | A | Dividend | K | T | Buy | 03/31/22 | K | | |
| 94. SPDR Gold Shares ETF (250 shs) | A | Dividend | K | T | Buy | 04/06/22 | K | | |
| 95. Lockheed Martin (50 shs) | A | Dividend | K | T | Buy | 04/13/22 | K | | |
| 96. Northrop Grumman (50 shs) | A | Dividend | K | T | Buy | 04/13/22 | K | | |
| 97. Raytheon Technologies (250 shs) | A | Dividend | K | T | Buy | 04/13/22 | K | | |
| 98. Citigroup Inc. (500 shs) | A | Dividend | K | T | Buy | 05/24/22 | K | | |
| 99. Verizon (500 shs) | A | Dividend | K | T | Buy | 05/24/22 | K | | |
| 100. Schwab Value Advantage Money Inv (300,000 shs) | A | Dividend | | | Buy | 07/18/22 | N | | |
| 101. Schwab Value Advantage Money Inv (300,000 shs) | A | Dividend | | | Sold | 10/03/22 | N | A | |
| 102. Schwab Value Advantage Money Inv (100,000 shs) | A | Dividend | | | Buy | 07/18/22 | L | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Graham,, James L.** | 08/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 103. Schwab Value Advantage Money Inv (100,000 shs) | A | Dividend | | | Sold | 10/06/22 | L | A | |
| 104. Schwab Value Advantage Money Inv (100,000 shs) | A | Dividend | | | Buy | 07/18/22 | L | | |
| 105. Verizon (1,000 shs) | A | Dividend | K | T | Buy | 09/20/22 | K | | |
| 106. Ishares Silver Trust ETF (3,000 shs) | A | Dividend | L | T | Buy | 10/04/22 | L | | |
| 107. SPDR Gold Shares ETF (1,200 shs) | A | Dividend | M | T | Buy | 10/04/22 | M | | |
| 108. Ishares Silver Trust ETF (1,000 shs) | A | Dividend | K | T | Buy | 10/07/22 | K | | |
| 109. SPDR Gold Shares ETF (300 shs) | A | Dividend | K | T | Buy | 10/07/22 | K | | |
| 110. US Treasury Bill 01/19/2023 (5,000 shs) | A | Interest | J | T | Buy | 10/20/22 | J | | |
| 111. US Treasury 4.375% 10/31/2024 (100,000 shs) | A | Interest | L | T | Buy | 10/31/22 | L | | |
| 112. Morgan Stanley 4.7% CD 11/24/2023 (100,000 shs) | A | Interest | L | T | Buy | 11/25/22 | L | | |
| 113. US Treasury Bill 02/23/2023 (50,000 shs) | A | Interest | K | T | Buy | 11/25/22 | K | | |
| 114. SPDR S&P 500 ETF (1 sh) | A | Dividend | | | Buy | 12/12/22 | J | | |
| 115. SPDR S&P 500 ETF (1 sh) | A | Dividend | | | Sold | 12/13/22 | J | A | |
| 116. SPDR S&P 500 ETF (149 shs) | A | Dividend | | | Buy | 12/12/22 | L | | |
| 117. SPDR S&P 500 ETF (149 shs) | A | Dividend | | | Sold | 12/13/22 | L | A | |
| 118. Theratechnologies (1,785 shs) | | None | J | T | Buy | 12/13/22 | J | | |
| 119. Theratechnologies (2,000 shs) | | None | J | T | Buy | 12/14/22 | J | | |

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|---|---|---|---|---|---|
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| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
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| **Graham,, James L.** | 08/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 120. Verizon (900 shs) | A | Dividend | K | T | Buy | 12/14/22 | K | | |
| 121. Charles Schwab Brokerage - [redacted] | | | | | | | | | |
| 122. Cash | A | Interest | K | T | | | | | |
| 123. Carnival (250 shs) | A | Dividend | | | Sold | 01/26/22 | J | A | |
| 124. Inspire Medical Sys (225 shs) | | None | L | T | | | | | |
| 125. SPDR S&P 500 ETF (180 shs) | A | Dividend | L | T | | | | | |
| 126. Vanguard Russell 2000 ETF (200 shs) | A | Dividend | K | T | | | | | |
| 127. Charles Schwab IRA - Header - [redacted] | | | | | | | | | |
| 128. Cash Account | D | Distribution | J | T | | | | | |
| 129. Theratechnologies (400 shs) | | None | J | T | | | | | |
| 130. SPDR S&P 500 ETF (440 shs) | C | Dividend | M | T | Sold (part) | 12/12/22 | J | A | |
| 131. Vanguard Russell 2000 ETF (750 shs) | B | Dividend | L | T | | | | | |
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| 133. | | | | | | | | | |
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|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
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| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| A | B | | C | | D | | | | |
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|---|---|---|---|---|---|
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| 188. | | | | | | | | | |
| 189. | | | | | | | | | |
| 190. | | | | | | | | | |

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|---|---|---|---|---|---|
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Page 1, Line 1 - The date of purchase was April 2, 2002. As of 12/31/2019 all farmland had been sold (was reported on the 2019 Financial Disclosure Report). The only asset remaining in the [redacted] Partnership was a residential condo in Florida. Judge Graham holds a 10% equity interest in the Partnership. The value listed represents the 10% of the cost of the Condo ($31,308)

| Name of Person Reporting | Date of Report |
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| **Graham,, James L.** | 08/29/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ James L. Graham,**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544