AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Grimberg, Steven D.	U.S. District Court, Northern District of Georgia	07/05/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
1701 United States Courthouse 75 Ted Turner Drive, SW Atlanta, GA 30303-3309		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Trustees	American Inns of Court
2.	Team Leader	Emory University School of Law, Kessler Eidson Trial Techniques Program
3.	Member, Executive Committee	Clarence Cooper Inn of Court
4.	Member	Chief Justice (of Georgia) Commission on Professionalism
5.	Member	Emory Law School Alumni Board

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Emory University School of Law - wages	$3,500.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Booz Allen Hamilton, Inc. - wages
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.	American Board of Trial Advocates (ABOTA)	05/12/2021-05/13/2022	Chicago, IL	Rule of Law Conference (Speaker)	Airfare, ground transportation, hotel
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	First Citizens Bank	Co-signer on line of credit secured by real property (not primary residence)	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Grimberg, Steven D.	07/05/2024

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. First Citizen Bank cash accounts	A	Interest	J	T					
2. IRA #1 (H)									
3. Baillie Gifford Emerging Markets Equities Inst CL N/L	A	Dividend	J	T					
4. Brown Advisory Growth Equity Fund Institutional Shares N/L	A	Dividend	J	T					
5. Causeway International Value Fund Institutional Class N/L	A	Dividend	J	T	Sold	05/18/22	J		
6. Columbia Contrarian Core Fund Inst CL N/L	A	Dividend	J	T	Sold	06/16/22	J		
7. Columbia Dividend Income Fund Inst CL N/L	A	Dividend	J	T					
8. Fuller & Thaler Behavioral Small Cap EQ FD INST CLS N/L	A	Dividend	J	T	Sold	06/16/22	J		
9. Hartford Midcap Fund Class I N/L	A	Dividend	J	T					
10. MFS International Growth Fund Class I N/L	A	Dividend	J	T	Sold	05/18/22	J		
11. MFS Research Fund Class I N/L	A	Dividend	J	T	Sold	06/16/22	J		
12. T. Rowe Price U.S. Equity Research FD Inv CLS N/L	A	Dividend	J	T	Sold	06/16/22	J		
13. Victory Trivalent Intl Small-Cap Fund Class Y N/L	A	Dividend	J	T					
14. Wasatch Core Growth Fund Inst Class N/L	A	Dividend	J	T					
15. Wells Fargo (AllSpring) Special Mid Cap Value FD Class I N/L	A	Dividend	J	T	Sold	06/16/22	J		
16. Blackrock Equity Dividend Fund Instl CL N/L	A	Dividend	J	T	Sold	06/16/22	J		
17. MSIF Global Opportunity Porfolio CL I N/L	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Grimberg, Steven D.	07/05/2024

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Spyglass Growth Fund Instl CL N/L	A	Dividend	J	T					
19. Raymond James Bank Deposit Program - Cash	A	Interest	J	T					
20. 401K #2 (H)									
21. Voya: Bond Fund (Y)	A	Interest	J	T					
22. Voya: State Street S&P 500 Index Fund (Y)	A	Dividend	L	T					
23. Voya: US Structured Research Strat (Y)	A	Dividend	K	T					
24. Russell Large Cap Value Index (Y)	A	Dividend	K	T					
25. Russell Large Cap Growth Index (Y)	A	Dividend	L	T					
26. Russell Small Cap Index (Y)	A	Dividend	L	T					
27. Voya: Euro Pacific Growth Fund (Y)	A	Dividend	K	T					
28. Voya EAFE Stock Index (Y)	A	Dividend	J	T					
29. IRA #2 (H)									
30. Baillie Gifford Emerging Markets Equities Inst CL N/L (Y)	A	Dividend	J	T					
31. Brown Advisory Growth Equity Fund Institutional Shares N/L (Y)	A	Dividend	J	T					
32. Causeway International Value Fund Institutional Class N/L (Y)	A	Dividend	J	T					
33. Columbia Contrarian Core Fund Inst CL N/L (Y)	A	Dividend	J	T					
34. Columbia Dividend Income Fund Inst CL N/L (Y)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Grimberg, Steven D.	07/05/2024

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Fuller & Thaler Behavioral Small Cap EQ FD Inst CLS N/L (Y)	A	Dividend	J	T					
36. Hartford Midcap Fund Class I N/L (Y)	A	Dividend	J	T					
37. MFS International Growth Fund Class I N/L (Y)	A	Dividend	J	T					
38. MFS Research Fund Class I N/L (Y)	A	Dividend	J	T					
39. T. Rowe Price U.S. Equity Research FD Inv CLS N/L (Y)	A	Dividend	J	T					
40. Victory Trivalent Intl Small-Cap Fund Class Y N/L (Y)	A	Dividend	J	T					
41. Wasatch Core Growth Fund Inst Class N/L (Y)	A	Dividend	J	T					
42. Wells Fargo (Allspring) Special Mid Cap Value FD Class I N/L (Y)	A	Dividend	J	T					
43. Blackrock Equity Dividend Fund Instl CL N/L (Y)	A	Dividend	J	T					
44. MSIF Global Opportunity Portfolio CL I N/L (Y)	A	Dividend	J	T					
45. Spyglass Growth Fund Instl CL N/L (Y)	A	Dividend	J	T					
46. Raymond James Bank Deposit Program - Cash (Y)	A	Interest	J	T					
47. Georgia Path2College 529 Plan #1: 2026/2027 Aggressive Enrollment Port		None	K	T					
48. Georgia Path2College 529 Plan #2: 2030/2031 Aggressive Enrollment Port		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Grimberg, Steven D.	07/05/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Grimberg, Steven D.	07/05/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven D. Grimberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544