AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gujarati, Diane	United States District Court, Eastern District of New York	08/08/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 225 Cadman Plaza East Brooklyn, NY 11201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board Member	Program on Corporate Compliance and Enforcement, New York University School of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-Employed (digital consultant)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gujarati, Diane	08/08/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Chase (cash)	A	Interest	M	T					
3. National Grid stock (ADR)	A	Dividend	K	T					
4. IBM Stock	A	Dividend			Sold	09/09/21	J		
5. Account #1 (H)									
6. JP Morgan Core Bond Fund Class C (PGBOX)	A	Dividend	J	T					
7. Account #2 (H)									
8. Fidelity Real Estate Investment (FRESX)	A	Dividend	J	T					
9. Strategic Advisers Fidelity Emerging Markets (FGOMX)	A	Dividend	J	T					
10. Strategic Advisers Fidelity US Total Stock (FCTDX)	C	Dividend	L	T	Sold (part)	01/25/21	J	A	
11.					Sold (part)	06/28/21	J	A	
12.					Sold (part)	07/30/21	J	A	
13.					Sold (part)	10/27/21	J	A	
14.					Sold (part)	11/18/21	J	A	
15. Strategic Advisers Fidelity International Fund (FUSIX)	B	Dividend	K	T	Buy (add'l)	06/28/21	J		
16. Fidelity SAI Emerging Markets Index (FERGX)	A	Dividend	J	T					
17. Strategic Advisers Fidelity Core Income Fund (FIWGX)	A	Dividend	K	T	Buy (add'l)	11/18/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fid SAI Long Term Treasury Bond Index (FBLTX)	A	Dividend	J	T	Buy	10/13/21	J		
19. Fidelity SAI High Income Fund (FSHGX)	A	Dividend	J	T	Buy	06/28/21	J		
20. Fidelity Advisor High Income Fund Cl I (FGTMX)	A	Dividend			Sold	01/25/21	J	A	
21. Account #3 (H)									
22. Fidelity Balanced Fund (FBALX)	A	Dividend	J	T					
23. Account #4 (H)									
24. Fidelity Diversified International (FDIVX)	A	Dividend	J	T					
25. Fidelity Emerging Markets (FEMKX)	A	Dividend	J	T					
26. Fidelity Advisor Focused Emerging Markets Z (FZAEX)	A	Dividend	J	T					
27. Fidelity Value Discovery (FVDFX)	A	Dividend	J	T					
28. Fidelity Growth Company (FDGRX)	A	Dividend			Sold	01/05/21	J		
29. Fidelity Blue Chip Growth (FBGRX)	A	Dividend	J	T	Buy	01/05/21	J		
30. LSV Value Equity Fund Investor (LVAEX)	A	Dividend	J	T					
31. American Europacific Growth Fund (AEPFX)	A	Dividend	J	T	Buy	12/01/21	J		
32. Harbor Intl Instl Class (HAINX)	A	Dividend	J	T					
33. Oakmark Fund Investor Class (OAKMX)	A	Dividend	J	T					
34. Oakmark Intl Investor Cl (OAKIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Hartford Schroder Emerg Mkts Cl Y (HHHYX)	A	Dividend	J	T					
36. WCM Focused Intl Growth Fund Investor (WCMRX) (X)	A	Dividend	J	T					
37. Loomis Sayles Growth Cl A (LGRRX)	A	Dividend	J	T					
38. Principal Blue Chip Fund Cl A (PBLAX) (X)	A	Dividend	J	T					
39. T Rowe Price Equity Index 500 Fund (PREIX)	A	Dividend	J	T					
40. Transamerica International Equity Cl I (TSWIX)	A	Dividend	J	T					
41. SAI Municipal Bond Fund (FSMUX)	A	Dividend	J	T	Buy	10/15/21	J		
42.					Buy (add'l)	12/14/21	J		
43. Strategic Adv Tax Sensitive Short Duration (FGNSX)	A	Dividend	J	T					
44. Fidelity SAI Tax Free Bond Fund (FSAJX)	A	Dividend			Sold	12/14/21	J	A	
45. Causeway Emerging Markets Fund Inv Cl (CEMVX)	A	Dividend			Sold	12/14/21	J	A	
46. Mainstay Mackay Tax Free Bond Cl A (MTBAX)	A	Dividend			Sold	10/15/21	J		
47. Mainstay Mackay NY Tax Free Opp Cl A (MNOAX)	A	Dividend	J	T					
48. IShares MSCI Eurozone ETF (EZU)	A	Dividend	J	T					
49. IShares S&P 500 (IVV)	A	Dividend	J	T					
50. IShares Trust Russell 1000 Growth ETF (IWF)	A	Dividend	J	T					
51. IShares Core S&P Small Cap (IJR)	A	Dividend	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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52. IShares Trust MSCI USA Quality Factor (QUAL)	A	Dividend	J	T					
53. IShares Tr MSCI USA Mmentm (MTUM) (X)	A	Dividend	J	T					
54. IShares Trust Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T					
55. IShares Inc Core MSCI Emerging Markets (IEMG)		None	J	T	Buy	12/15/21	J		
56. IShares Trust Core Dividend Growth (DGRO)	A	Dividend	J	T					
57. Janus Henderson Enterprise T (JAENX)	A	Dividend			Sold	01/05/21	J		
58. T Rowe Price International Stock I (PRITX)	A	Dividend			Sold	12/01/21	J	A	
59. T Rowe Price Summit Municipal Income (PRINX)	A	Dividend			Sold	12/14/21	J	A	
60. Vanguard Mid Cap Value Index Fund (VOE)	A	Dividend	J	T					
61. Vanguard Real Estate (VNQ)	A	Dividend	J	T					
62. Account #5 (H)									
63. Fidelity Real Estate Investment (FRESX)	A	Dividend	J	T					
64. Strategic Advisers Fidelity Emerging Markets (FGOMX)	A	Dividend	J	T					
65. Strategic Advisers Fidelity US Total Stock (FCTOX)	C	Dividend	L	T	Sold (part)	01/25/21	J	A	
66.					Sold (part)	06/28/21	J	A	
67.					Sold (part)	07/30/21	J	A	
68.					Sold (part)	11/18/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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69.	Strategic Advisers Fidelity International Fund (FUSIX)	B	Dividend	K	T	Buy (add'l)	06/28/21	J		
70.						Buy (add'l)	07/30/21	J		
71.	Fidelity SAI Emerging Markets Index (FERGX)	A	Dividend	J	T					
72.	Strategic Advisers Fidelity Core Income (FIWGX)	A	Dividend	K	T	Buy (add'l)	09/13/21	J		
73.						Buy (add'l)	11/18/21	J		
74.	Fid SAI Long Term Treasury Bond Index (FBLTX)	A	Dividend	J	T	Buy	10/13/21	J		
75.	Fidelity SAI High Income Fund (FSHGX)	A	Dividend	J	T	Buy	06/28/21	J		
76.	Fidelity Advisor High Income Fund Cl I (FGTMX)	A	Dividend			Sold	06/28/21	J	A	
77.	Account #6 (H)									
78.	Fidelity Magellan (FMAGX)	A	Dividend	J	T					
79.	Account #7 (H)									
80.	Fidelity Government Cash Reserves (cash) (FDRXX) (X)	A	Dividend	J	T					
81.	Fidelity Real Estate Investment (FRESX)	A	Dividend	J	T	Buy	07/28/21	J		
82.						Sold (part)	12/09/21	J	A	
83.	Fidelity SAI Inflation Focus (FIFGX)	B	Dividend			Buy	07/28/21	J		
84.						Sold (part)	07/30/21	J	A	
85.						Sold (part)	09/13/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gujarati, Diane	08/08/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.						Sold	10/13/21	J	B	
87.	Strategic Advisers Fidelity Emerging Markets (FGOMX)	B	Dividend	L	T	Buy (add'l)	07/28/21	L		
88.						Buy (add'l)	07/30/21	J		
89.						Sold (part)	10/13/21	J		
90.						Buy (add'l)	11/18/21	J		
91.						Buy (add'l)	12/09/21	J		
92.	Strategic Advisers Fidelity US Total Stock (FCTOX)	E	Dividend	O	T	Buy (add'l)	03/19/21	J		
93.						Buy (add'l)	07/28/21	O		
94.						Sold (part)	07/30/21	J	A	
95.						Sold (part)	09/13/21	J	A	
96.						Sold (part)	11/18/21	K	B	
97.						Buy (add'l)	12/09/21	J		
98.	Strategic Advisers Fidelity International Fund (FUSIX)	D	Dividend	M	T	Buy (add'l)	03/19/21	J		
99.						Buy (add'l)	07/28/21	M		
100.						Buy (add'l)	07/30/21	J		
101.						Sold (part)	09/13/21	J	A	
102.						Sold (part)	11/18/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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103.					Buy (add'l)	12/09/21	J		
104. Fidelity SAI Emerging Markets Index (FERGX)	A	Dividend	J	T	Buy	07/28/21	J		
105.					Buy (add'l)	09/13/21	J		
106.					Sold (part)	10/13/21	J		
107.					Buy (add'l)	11/18/21	J		
108. Fidelity Real Estate Income (FRIFX)	A	Dividend	J	T	Buy	07/28/21	J		
109. Fidelity Advisor Strategic (FSRIX)	A	Dividend	J	T	Buy	07/28/21	J		
110. Fidelity Capital & Income (FAGIX)	A	Dividend	J	T	Buy	07/28/21	J		
111. Strategic Advisers Fidelity Core Income Fund (FIWGX)	B	Dividend	M	T	Buy (add'l)	03/19/21	J		
112.					Buy (add'l)	07/28/21	M		
113.					Buy (add'l)	07/30/21	J		
114.					Buy (add'l)	09/13/21	J		
115.					Buy (add'l)	10/13/21	J		
116.					Buy (add'l)	11/18/21	J		
117.					Sold (part)	12/09/21	J		
118. Fidelity SAI Short term Bond Fund (FZOMX)	A	Dividend	J	T	Buy	07/28/21	J		
119. Fidelity SAI Low Duration Income Fund (FZOLX)	A	Dividend	J	T	Buy	07/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity SAI US Treasury Bond Index (FUTBX)	A	Dividend			Buy	07/28/21	J		
121.					Sold	10/13/21	J		
122. FID SAI Long Term Treasury Bond Inx (FBLTX)	A	Dividend	K	T	Buy	10/13/21	K		
123. Fidelity SAI High Income Fund (FSHGX)	A	Dividend	K	T	Buy	06/28/21	J		
124.					Buy (add'l)	07/28/21	K		
125.					Sold (part)	07/30/21	J	A	
126.					Sold (part)	09/13/21	J	A	
127.					Sold (part)	10/13/21	J		
128.					Sold (part)	12/09/21	J		
129. Fidelity Advisor High Income Fund Cl I (FGTMX)	A	Dividend			Sold	06/28/21	J	A	
130. Account #8 (H)									
131. Fidelity Target Risk Moderate Life Strategy 401(k) Fund		None			Sold	07/28/21	P1		
132. Account #9 (H)									
133. IBM Personal Pension Plan (cash)	A	Dividend	M	T					
134. Account #10 (H)									
135. Fidelity Government Cash Reserves (cash) (FDRXX) (X)	A	Dividend	J	T					
136. Fidelity Advisor Int'l Discovery (FIADX)	A	Dividend			Buy	09/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	12/28/21	J		
138. Fidelity Diversified International (FDIVX)		None	J	T	Buy	12/28/21	J		
139. Fidelity Intl Cap Appreciation Fund (FIVFX)		None	J	T	Buy	12/28/21	J		
140.					Buy (add'l)	12/31/21	J		
141. Fidelity Emerging Markets (FEMKX)	A	Dividend			Buy	01/07/21	J		
142.					Buy (add'l)	11/16/21	J		
143.					Sold	12/28/21	J		
144. Fidelity Large Cap Stock (FLCSX)	A	Dividend	J	T	Buy	01/07/21	J		
145. Fidelity Advisor Focused Emerging Markets Z (FZAEX)	A	Dividend			Buy	01/07/21	J		
146.					Sold	08/24/21	J		
147.					Buy	11/16/21	J		
148.					Sold	12/28/21	J		
149. Fidelity Emerging Markets Indes Fund (FPADX)	A	Dividend			Buy	08/24/21	J		
150.					Buy (add'l)	09/16/21	J		
151.					Sold (part)	11/16/21	J		
152.					Sold	12/28/21	J		
153. Fidelity Magellan (FMAGX)	B	Dividend	K	T	Sold (part)	01/07/21	L	D	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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154.					Sold (part)	09/14/21	J	A	
155. Fidelity Growth Company (FDGRX)	B	Dividend			Buy	09/16/21	K		
156.					Sold	12/31/21	J		
157. Fidelity Overseas (FOSFX)	A	Dividend			Buy	11/16/21	J		
158.					Sold	12/28/21	J		
159. Fidelity Value Discovery (FVDFX)	A	Dividend	J	T	Buy	01/07/21	J		
160.					Sold (part)	02/12/21	J	B	
161. Fidelity Blue Chip Growth		None	K	T	Buy	12/31/21	K		
162. LSV Value Equity Fund Investor (LVAEX)	A	Dividend	J	T	Buy	01/07/21	J		
163.					Buy (add'l)	09/16/21	J		
164.					Sold (part)	12/28/21	J		
165. DFA Emerging Markets Core Equity Portfolio (DFCEX)	A	Dividend	J	T	Buy	01/07/21	J		
166.					Buy (add'l)	05/11/21	J		
167.					Sold (part)	06/28/21	J		
168.					Sold (part)	07/29/21	J		
169.					Sold (part)	08/24/21	J		
170. American EuroPacific Growth Fund (AEPFX)	A	Dividend	J	T	Buy	09/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Gujarati, Diane	08/08/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171.					Buy (add'l)	11/16/21	J		
172. JP Morgan Mid Cap Value Fund Cl I (JMVSX)	A	Dividend	J	T	Buy	01/07/21	J		
173. Harbor Intl Inst (HAINX)	A	Dividend	J	T	Buy	01/07/21	J		
174.					Buy (add'l)	09/16/21	J		
175.					Sold (part)	12/28/21	J		
176. Oakmark Fund Investor Class (OAKMX)	A	Dividend	J	T	Buy	01/07/21	J		
177.					Buy (add'l)	09/16/21	J		
178. Oakmark Intl Investor Cl (OAKIX)	A	Dividend	J	T	Buy	01/07/21	J		
179.					Buy (add'l)	09/16/21	J		
180. Hartford Schroders Emerging Market Equity Cl Y (HHHYX)	A	Dividend	J	T	Buy	01/07/21	J		
181.					Sold (part)	08/24/21	J		
182.					Buy (add'l)	12/28/21	J		
183. Hotchkis & Wiley Large Cap Value (HWLAX)		None	J	T	Buy	12/28/21	J		
184. Intel Corp Com (INTC) (X)	A	Dividend			Sold (part)	09/17/21	J	B	
185.					Sold	11/17/21	J	A	
186. WCM Focused Intl Growth Fund (WCMRX)	A	Dividend	J	T	Buy	09/16/21	J		
187. JP Morgan Value Adv Fd Class L (JVAIX)	A	Dividend	J	T	Buy	01/07/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Loomis Sayles Growth Cl A (LGRRX)	A	Dividend	J	T	Buy	09/16/21	J		
189.					Sold (part)	12/28/21	J		
190.					Sold (part)	12/31/21	J		
191. Transamerica International Equity Cl I (TSWIX)	A	Dividend	J	T	Buy	01/07/21	J		
192.					Buy (add'l)	12/28/21	J		
193. Victory Trivalent Intl Sm Cap (FSMUX)	A	Dividend	J	T	Buy	09/16/21	J		
194.					Buy (add'l)	12/28/21	J		
195. SAI Municipal Bond Fund (FSMUX)	A	Dividend	K	T	Buy	08/24/21	J		
196.					Buy (add'l)	09/14/21	J		
197.					Buy (add'l)	09/16/21	K		
198.					Sold (part)	12/28/21	J		
199. Strategic Adv Tax Sensitive Short Dur (FGNSX)	A	Dividend	K	T	Buy	01/07/21	J		
200.					Buy (add'l)	09/16/21	K		
201. Fidelity SAI Tax Free Bond Fund (FSAJX)	A	Dividend	J	T	Buy	01/07/21	J		
202.					Buy (add'l)	03/24/21	J		
203.					Sold (part)	08/24/21	J	A	
204. Mainstay Mackay NY Tax Free Opp Cl A (MNOAX)	A	Dividend	K	T	Buy	01/07/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gujarati, Diane	08/08/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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205.					Sold (part)	03/24/21	J		
206.					Buy (add'l)	09/16/21	J		
207.					Buy (add'l)	11/16/21	J		
208. Fidelity Value Factor ETF (FVAL)	A	Dividend	J	T	Buy	01/08/21	J		
209. Investco QQQ TR Unit Ser 1 (QQQ)	A	Dividend	K	T	Buy	09/17/21	K		
210. IShares Core S&P Small Cap (IJR)	A	Dividend	K	T	Buy	01/08/21	J		
211.					Buy (add'l)	09/17/21	K		
212. Ishares TR MSCI USA Qlt Fct (QUAL)	A	Dividend	J	T	Buy	01/08/21	J		
213. Ishares TR MSCI USA MMENTM (MTUM)	A	Dividend	J	T	Buy	09/17/21	J		
214.					Buy (add'l)	11/17/21	J		
215.					Buy (add'l)	12/29/21	J		
216. IShares Trust Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Buy	01/08/21	J		
217.					Buy (add'l)	09/17/21	J		
218.					Sold (part)	12/29/21	J		
219. IShares Inc Core MSCI Emerging Markets (IEMG)		None	K	T	Buy	12/29/21	K		
220. Vanguard Index FDS Vanguard Value (VTV)	A	Dividend	J	T	Buy	01/08/21	J		
221. Vanguard Real Estate (VNQ)	A	Dividend	J	T	Buy	02/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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222. AT&T (T) (X)		None			Sold	09/17/21	K		
223. Aberdeen Emerging Mrkts FD (AEMSX)	A	Dividend			Buy	09/16/21	J		
224.					Sold	11/16/21	J		
225. Altria Group Inc (MO) (X)	A	Dividend			Sold	09/17/21	J		
226. Bristol-Myers Squibb Co Com (BMY) (X)		None	J	T	Sold (part)	09/17/21	K	C	
227.					Sold (part)	11/17/21	J	A	
228. Calvert Emerging Markets Equity (CVMAX)		None			Buy	08/24/21	J		
229.					Buy (add'l)	09/16/21	J		
230.					Sold	12/28/21	K		
231. Causeway Emerging Markets Fund Inv Cl (CEMVX)	A	Dividend			Buy	01/07/21	J		
232.					Buy (add'l)	11/16/21	J		
233.					Sold	12/28/21	J		
234. Chevron Corp (CVX) (X)		None			Sold	09/17/21	J		
235. Coca-Cola Co (KO) (X)	A	Dividend			Sold	09/17/21	J	B	
236. Corteva (CTVA) (X)	A	Dividend	J	T					
237. Dominion Energy Inc (D) (X)		None			Sold	09/17/21	K	B	
238. Dow Inc (DOW) (X)		None			Sold	09/17/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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239. Dupont De Nemours Inc (DD) (X)		None			Sold	09/17/21	J		
240. Exelon Corp Com (EXC) (X)		None			Sold	09/17/21	J	B	
241. Honeywell International Inc (HON) (X)	A	Dividend	J	T	Sold (part)	09/17/21	J	C	
242. JP Morgan Chase & Co (JPM) (X)	A	Dividend	J	T	Sold (part)	09/17/21	J	B	
243. Kraft Heinz Co Com (KHC) (X)		None			Sold	09/17/21	J		
244. Western Asset Managed Muni Cl A (SHMMX)	A	Dividend			Buy	01/07/21	J		
245.					Sold (part)	03/24/21	J		
246.					Sold	11/16/21	J	A	
247. Mondelez INTL Inc Com (MDLZ) (X)	A	Dividend	J	T	Sold (part)	11/17/21	J	A	
248. Pepsico (PEP) (X)		None	J	T	Sold (part)	09/17/21	J	A	
249. Pfizer (PFE) (X)		None			Sold	09/17/21	J	A	
250. Philip Morris International (PM) (X)		None			Sold	09/17/21	J	B	
251. Procter and Gamble (PG) (X)	A	Dividend	J	T	Sold (part)	09/17/21	J	A	
252. T Rowe Price International (PRITX)		None			Buy	01/07/21	J		
253.					Sold	11/16/21	J	A	
254. T Rowe Price Summit Municipal Income (PRINX)	A	Dividend			Buy	01/07/21	J		
255.					Sold	09/14/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gujarati, Diane	08/08/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Verizon Communications (VZ) (X)		None			Sold	09/17/21	J		
257. Vodafone Group Spon ADR (VOD) (X)		None			Sold	09/17/21	J		
258. Wells Fargo Co (WFC) (X)		None			Sold	09/17/21	K		
259. Healthpeak Properties Inc (PEAK) (X)	A	Dividend	J	T	Sold (part)	09/17/21	J	C	
260. Account #11 (H)									
261. Fidelity Government Money Market (cash eq.) (X)	A	Interest	K	T					
262. Fidelity Magellan (FMAGX) (X)	D	Dividend	M	T					
263. Chesapeake Utilities Corp (CPK) (X)	A	Dividend	K	T					
264. Eaton Vance Tax Managed Global (EXG) (X)	A	Dividend	J	T					
265. First Tr MLP & Energy Income Fd (FEI) (X)	A	Dividend			Sold	09/14/21	J		
266. Invesco Senior Income Trust (VVR) (X)		None			Sold	09/14/21	J	A	
267. Account #12 (H)									
268. T Rowe Price Growth & Income (PRGIX)	B	Dividend			Sold	03/05/21	K		
269. T Rowe Price US Large Cap Core (TRULX)	B	Dividend	K	T	Buy	03/05/21	K		
270. Account #13 (H)									
271. NY529 Aggressive Age-Based Option: Disciplined Growth Portfolio		None	L	T	Buy (add'l)	12/16/21	J		
272. NY529 Moderate Age-Based Option: Conservative Portfolio		None	N	T	Buy (add'l)	12/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Gujarati, Diane	08/08/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Account #14 (H)									
274. NY529 Aggressive Age-Based Option: Blended Growth Portfolio		None			Sold	07/06/21	L		
275. NY529 Aggressive Age-Based Option: Moderate Growth Portfolio		None	L	T	Buy	07/06/21	L		
276.					Buy (add'l)	12/16/21	J		
277. NY529 Moderate Age Based Option: Disciplined Growth Portfolio		None			Sold	07/06/21	M		
278. NY 529 Moderate Age-Based Option: Conservative Portfolio		None	M	T	Buy	07/06/21	M		
279.					Buy (add'l)	12/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Gujarati, Diane	08/08/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Diane Gujarati

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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