AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gujarati, Diane	United States District Court, Eastern District of New York	08/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States District Court 225 Cadman Plaza East Brooklyn, NY 11201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board Member	Program on Corporate Compliance and Enforcement, New York University School of Law
2.	Board of Trustees Member	Practicing Law Institute
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	[redacted] (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gujarati, Diane	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Chase (cash)	A	Interest	M	T					
3. Account #1 (H)									
4. JP Morgan Core Bond Fund Class C (PGBOX)	A	Dividend	J	T					
5. Account #2 (H)									
6. Fidelity Government Cash Reserves (FDRXX) (cash eq.)	A	Dividend	J	T	Buy (add'l)	10/30/23	J		
7.					Sold (part)	11/01/23	J		
8. Strategic Advisers Fidelity Emerging Markets (FGOMX)	A	Dividend	J	T					
9. Strategic Advisers Fidelity US Total Stock (FCTDX)	B	Dividend	L	T	Sold (part)	02/13/23	J		
10.					Sold (part)	10/30/23	J		
11.					Sold (part)	11/20/23	J	A	
12. Strategic Advisers Fidelity International Fund (FUSIX)	A	Dividend	J	T					
13. Strategic Advisers Fidelity Core Income Fund (FIWGX)	B	Dividend	K	T	Sold (part)	04/10/23	J		
14. Fidelity SAI Low Duration Income (FZOLX) (X)	A	Dividend	J	T					
15. Account #3 (H)									
16. Fidelity Balanced Fund (FBALX)	A	Dividend	J	T					
17. Account #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Gujarati, Diane	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Fidelity Government Cash Reserves (FDRXX) (cash eq.)	A	Dividend	J	T	Buy (add'l)	04/10/23	J		
19. Fidelity SAI Inflation Focused Fd (FIFGX) (Y)									
20. Strategic Advisers Fidelity Emerging Markets (FGOMX)	A	Dividend	J	T					
21. Strategic Advisers Fidelity US Total Stock (FCTDX)	B	Dividend	L	T	Sold (part)	02/13/23	J		
22.					Sold (part)	11/20/23	J	A	
23. Strategic Advisers Fidelity International Fund (FUSIX)	A	Dividend	K	T					
24. Strategic Advisers Fidelity Core Income (FIWGX)	B	Dividend	K	T	Buy (add'l)	01/12/23	J		
25.					Buy (add'l)	02/13/23	J		
26.					Sold (part)	04/10/23	J		
27. Fidelity SAI Low Duration Income (FZOLX) (X)	A	Dividend	J	T					
28. Account #5 (H)									
29. Fidelity Magellan (FMAGX)	B	Dividend	J	T					
30. Account #6 (H)									
31. Fidelity Government Cash Reserves (FDRXX) (cash eq.)	B	Dividend	K	T	Buy (add'l)	02/13/23	J		
32.					Sold (part)	02/17/23	J		
33.					Buy (add'l)	04/10/23	J		
34.					Sold (part)	05/19/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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35.					Buy (add'l)	05/25/23	J		
36.					Buy (add'l)	06/28/23	J		
37.					Buy (add'l)	08/25/23	J		
38.					Sold (part)	11/17/23	J		
39.					Buy (add'l)	11/20/23	J		
40. Fidelity SAI Inflation Focus (FIFGX)	A	Dividend	J	T	Sold (part)	01/12/23	J		
41.					Buy (add'l)	02/13/23	J		
42. Strategic Advisers Fidelity Emerging Markets (FGOMX)	B	Dividend	L	T	Sold (part)	01/12/23	J		
43.					Buy (add'l)	02/13/23	J		
44.					Buy (add'l)	04/10/23	J		
45.					Sold (part)	05/25/23	J		
46.					Buy (add'l)	08/09/23	J		
47. Strategic Advisers Fidelity US Total Stock (FCTOX)	D	Dividend	O	T	Sold (part)	01/12/23	J		
48.					Sold (part)	02/13/23	K		
49.					Sold (part)	04/10/23	J		
50.					Sold (part)	05/25/23	J		
51.					Sold (part)	06/28/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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52.					Sold (part)	08/09/23	J		
53.					Buy (add'l)	09/27/23	J		
54.					Sold (part)	11/20/23	J		
55. Strategic Advisers Fidelity International Fund (FUSIX)	C	Dividend	M	T	Sold (part)	01/12/23	J		
56.					Sold (part)	05/25/23	J		
57.					Buy (add'l)	08/09/23	J		
58.					Buy (add'l)	09/27/23	J		
59.					Buy (add'l)	11/20/23	J		
60. Fidelity SAI Emerging Markets Index (FERGX)	A	Dividend	J	T	Sold (part)	05/25/23	J		
61. Fidelity Advisor Strategic (FSRIX)	A	Dividend	J	T	Buy (add'l)	06/28/23	J		
62. Fidelity Capital & Income (FAGIX)	A	Dividend	J	T					
63. Strategic Advisers Fidelity Core Income Fund (FIWGX)	D	Dividend	N	T	Buy (add'l)	01/12/23	J		
64.					Buy (add'l)	02/13/23	J		
65.					Sold (part)	04/10/23	J		
66.					Buy (add'l)	05/25/23	J		
67.					Buy (add'l)	06/28/23	J		
68.					Sold (part)	08/09/23	J		

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69.					Sold (part)	09/27/23	J		
70.					Buy (add'l)	11/20/23	J		
71. Fidelity SAI Short Term Bond Fund (FZOMX)	A	Dividend	J	T	Buy (add'l)	04/10/23	J		
72. Fidelity SAI Low Duration Income Fund (FZOLX)	A	Dividend	J	T	Sold (part)	01/12/23	J		
73.					Buy (add'l)	04/10/23	J		
74. Fidelity SAI Long-Term Treasury Bd Idx (FBLTX)	A	Dividend	J	T	Buy	09/27/23	J		
75. Fidelity SAI High Income Fund (FSHGX)	A	Dividend	J	T	Sold (part)	09/27/23	J		
76. Account #7 (H)									
77. Vanguard Total Bond Market Index Admiral (VBTLX) (X)	A	Dividend	J	T					
78. Vanguard Total International Stock Index Admiral (VTIAX) (X)	A	Dividend	J	T					
79. Vanguard Total Stock Market Index Fund Admiral (VTSAX) (X)	A	Dividend	J	T					
80. Account #8 (H)									
81. IBM Personal Pension Plan (cash)		None	M	T					
82. Account #9 (H)									
83. Fidelity Government Cash Reserves (FDRXX) (cash eq.)	A	Dividend	K	T	Buy (add'l)	01/31/23	J		
84.					Sold (part)	03/06/23	J		
85.					Sold (part)	04/05/23	J		

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86.					Buy (add'l)	04/06/23	J		
87.					Buy (add'l)	05/04/23	K		
88.					Sold (part)	05/05/23	L		
89.					Buy (add'l)	05/05/23	K		
90.					Buy (add'l)	06/20/23	J		
91.					Sold (part)	06/21/23	J		
92.					Sold (part)	09/05/23	J		
93.					Buy (add'l)	09/06/23	J		
94.					Buy (add'l)	10/18/23	J		
95.					Sold (part)	10/19/23	J		
96.					Buy (add'l)	10/27/23	J		
97.					Sold (part)	10/30/23	J		
98.					Sold (part)	12/13/23	J		
99.					Buy (add'l)	12/14/23	J		
100.	Fidelity Advisor Int'l Discovery (FIADX)		None			Sold	10/18/23	J	A
101.	Fidelity Diversified International (FDIVX)	A	Dividend	J	T				
102.	Fidelity Intl Cap Appreciation Fund (FIVFX)	A	Dividend	J	T				

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103. Fidelity Advisor Focused Em Mkts Z (FZAEX)	A	Dividend	J	T	Buy	10/18/23	J		
104. Fidelity Magellan (FMAGX)	A	Dividend			Sold (part)	01/31/23	J	A	
105.					Sold (part)	05/04/23	J	B	
106.					Sold	06/20/23	J	A	
107. Fidelity Blue Chip Growth (FBGRX)	A	Dividend	K	T					
108. Aberdeen Emerging Mrkts FD Instl SV CL (AEMSX)	A	Dividend	J	T	Sold (part)	05/04/23	J	A	
109. Artisan Intl Value Fund Inv CL (ARTKX)	A	Dividend	J	T					
110. Calvert Emerging Markets Equity CL A (CVMAX)	A	Dividend	J	T					
111. DFA Emerging Markets Portfolio (DFEMX)	A	Dividend	J	T					
112. Dodge & Cox Internatl Stock CL I (DODFX)	A	Dividend	J	T					
113. American Funds EuroPacific Growth Fund (AEPFX)	A	Dividend	J	T					
114. Harbor Intl Inst (HAINX)	A	Dividend	K	T					
115. Oakmark Fund Investor Class (OAKMX)	A	Dividend	J	T					
116. John Hancock Disciplined Value Mid Cap A (JVMAX)		None			Sold	12/13/23	J	A	
117. Loomis Sayles Growth A (LGRRX)		None			Buy	04/05/23	J		
118.					Sold	05/04/23	J		
119. Pear Tree Polaris Frgn Value Instl (QFVIX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. T Rowe Price U.S. Equity Research Fd (PRCOX)	B	Dividend	M	T					
121. T Rowe Price Overseas Stock I CL (TROIX)	A	Dividend	J	T					
122. Victory Trivalent Intl Sm Cap (FSMUX)	A	Dividend	J	T					
123. Fidelity New York Muni Income (FTFMX)	A	Dividend	K	T	Buy (add'l)	01/31/23	J		
124.					Sold (part)	05/04/23	J		
125. SAI Municipal Bond Fund (FSMUX)	B	Dividend	K	T	Sold (part)	01/31/23	J		
126.					Buy (add'l)	04/05/23	J		
127.					Sold (part)	05/04/23	J		
128.					Buy (add'l)	09/05/23	J		
129.					Sold (part)	10/27/23	J		
130.					Buy (add'l)	12/13/23	J		
131. Strategic Adv Tax Sensitive Short Dur (FGNSX)	A	Dividend	K	T	Buy (add'l)	01/31/23	J		
132.					Sold (part)	05/04/23	J		
133.					Buy (add'l)	06/20/23	J		
134.					Buy (add'l)	09/05/23	J		
135.					Buy (add'l)	12/13/23	J		
136. Fidelity Value Factor ETF (FVAL)	A	Dividend			Sold	05/05/23	J	A	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. Invesco Exchange Traded Fd Tr S&P 500 (SPHQ)	A	Dividend	J	T					
138. Ishares MSCI EAFE ETF (EFA)	A	Dividend	J	T					
139. Ishares S&P Midcap 400 Index Fund (IJH)	A	Dividend	K	T					
140. Ishares Tr Rus 1000 Grw ETF (IWF)	A	Dividend	J	T					
141. Ishares Russell 2000 ETF (IWM)	A	Dividend			Sold	05/05/23	J	A	
142. iShares Core S&P Small-Cap ETF (IJR)	A	Dividend	J	T	Buy	10/19/23	J		
143.					Buy (add'l)	10/30/23	J		
144. Ishares S&P Smallcap 600 Value Index Fund (IJS)	A	Dividend			Sold	12/14/23	J	A	
145. Ishares Tr MSCI EAFE Min Vl (EFAV)	A	Dividend	J	T					
146. Ishares TR MSCI USA Momentum (MTUM)	A	Dividend			Sold (part)	02/01/23	J	A	
147.					Sold	04/06/23	J	A	
148. Vanguard Index FDS Vanguard Growth (VUG)	A	Dividend	J	T					
149. Vanguard Index FDS Vanguard Value (VTV)	A	Dividend	K	T	Sold (part)	05/05/23	J	A	
150.					Sold (part)	10/19/23	J	A	
151. Vanguard Intermediate-Term Treasury Index Fund (VGIT)	A	Dividend	J	T	Buy	10/19/23	J		
152. Vanguard Tax-Exempt Bond Index Fund (VTEB)	A	Dividend	J	T	Buy	10/19/23	J		
153.					Buy (add'l)	10/30/23	J		

1. Income Gain Codes: (See Columns B1 and D4)
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H1 =$1,000,001 - $5,000,000
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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154. Ishares National Muni Bond ETF (MUB)	A	Dividend			Buy	02/01/23	J		
155.					Sold (part)	04/06/23	J		
156.					Buy (add'l)	05/05/23	J		
157.					Buy (add'l)	06/21/23	J		
158.					Sold	09/06/23	J		
159. Ishares Tr US Treas Bd ETF (GOVT)	A	Dividend			Buy (add'l)	03/06/23	J		
160.					Sold (part)	05/05/23	J	A	
161.					Sold (part)	09/06/23	J		
162.					Sold	10/19/23	J		
163. Account #10 (H)									
164. Fidelity Government Money Market (SPAXX) (cash eq.)	B	Interest	J	T	Sold (part)	02/21/23	J		
165.					Buy (add'l)	03/01/23	J		
166.					Buy (add'l)	05/05/23	L		
167.					Sold (part)	06/23/23	J		
168.					Sold (part)	06/28/23	L		
169.					Sold (part)	08/31/23	J		
170.					Sold (part)	12/21/23	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
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171.					Sold (part)	12/27/23	J		
172. Account #11 (H)									
173. Fidelity Government Cash Reserves (FDRXX) (cash eq.)	A	Dividend	J	T	Buy (add'l)	01/09/23	J		
174.					Buy (add'l)	05/24/23	J		
175.					Sold (part)	05/25/23	J		
176.					Sold (part)	07/11/23	J		
177.					Buy (add'l)	07/12/23	J		
178.					Sold (part)	08/29/23	J		
179.					Buy (add'l)	08/30/23	J		
180.					Buy (add'l)	11/01/23	J		
181.					Sold (part)	11/07/23	J		
182. Fidelity Diversified International (FDIVX)	A	Dividend	J	T					
183. Fidelity Growth Company (FDGRX)		None			Sold	01/06/23	J		
184. Fidelity Value Discovery (FVDFX)	A	Dividend	J	T					
185. Fidelity Blue Chip Growth (FBGRX)	A	Dividend	J	T	Buy (add'l)	01/06/23	J		
186. Artisan Intl Value Fund (ARTKX)	A	Dividend	J	T					
187. Dodge & Cox Internatl Stock CL I (DODFX)	A	Dividend	J	T					

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188. American Funds Europacific Growth Fund (AEPFX)	A	Dividend	J	T					
189. Harbor Intl Instl Class (HAINX)	A	Dividend	J	T					
190. Oakmark Fund Investor Class (OAKMX)	A	Dividend	J	T					
191. Hartford Schroders Emerg Mkts Cl A (SEMVX)	A	Dividend	J	T					
192. Principal Blue Chip Fund (PBLAX) (X)		None	J	T					
193. T Rowe Price U.S. Equity Research FD (PRCOX)	A	Dividend	J	T					
194. T Rowe Price Equity Index 500 Fund (PREIX)	A	Dividend	J	T					
195. Victory Trivalent Intl Small Cap (MISIX)	A	Dividend	J	T					
196. Fidelity New York Muni Income (FTFMX)	A	Dividend	J	T					
197. SAI Municipal Bond Fund (FSMUX)	A	Dividend	J	T	Sold (part)	05/24/23	J		
198.					Buy (add'l)	08/29/23	J		
199.					Sold (part)	10/03/23	J		
200. Strategic Adv Tax Sensitive Short Duration (FGNSX)	A	Dividend	J	T					
201. Franklin Federal Tax Free Income Fund (FAFTX)	A	Dividend	J	T	Buy	10/03/23	J		
202. IShares S&P 500 (IVV)	A	Dividend	J	T					
203. iShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	J	T					
204. IShares Trust Russell 1000 Growth ETF (IWF) (X)	A	Dividend	J	T					

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205. IShares Core S&P Small Cap (IJR)	A	Dividend	J	T					
206. Ishares Tr MSCI EAFE Min Vl (EFAV) (X)	A	Dividend	J	T					
207. Ishares TR MSCI USA Min Vol (USMV)	A	Dividend	J	T	Buy	11/07/23	J		
208. IShares Trust MSCI USA Quality Factor (QUAL)	A	Dividend	J	T					
209. IShares Tr MSCI USA Mmentm (MTUM) (Y)									
210. IShares Trust Core Dividend Growth (DGRO)	A	Dividend	J	T					
211. Vanguard Mid Cap Value Index Fund (VOE)	A	Dividend	J	T					
212. Ishares Tr US treas Bd ETF (GOVT)	A	Dividend	J	T					
213. Ishares National Muni Bond ETF (MUB)	A	Dividend			Buy	05/25/23	J		
214.					Sold	08/30/23	J		
215. Account #12 (H)									
216. T Rowe Price US Large Cap Core (TRULX)	A	Dividend	K	T					
217. Account #13 (H)									
218. NY529 Target Enrollment 2026 Portfolio		None	L	T	Buy	07/28/23	L		
219.					Buy (add'l)	12/08/23	J		
220.					Sold (part)	12/18/23	J		
221. NY529 Income Portfolio		None	M	T	Buy	07/28/23	M		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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222.					Buy (add'l)	12/08/23	J		
223.					Sold (part)	12/18/23	K		
224. NY529 Aggressive Age-Based Option: Conservative Growth Portfolio		None			Sold (part)	07/13/23	J		
225.					Sold	07/28/23	L		
226. NY529 Moderate Age-Based Option: Income Portfolio		None			Sold (part)	07/13/23	K		
227.					Sold	07/28/23	M		
228. Account #14 (H)									
229. NY529 Target Enrollment 2029 Portfolio		None	L	T	Buy	07/28/23	L		
230.					Buy (add'l)	12/08/23	J		
231. NY529 Target Enrollment 2026 Portfolio		None	M	T	Buy	07/28/23	M		
232.					Buy (add'l)	12/08/23	J		
233. NY529 Aggressive Age-Based Option: Disciplined Growth Portfolio		None			Buy	07/06/23	L		
234.					Sold	07/28/23	L		
235. NY529 Moderate Age-Based Option: Conservative Portfolio		None			Buy	07/06/23	M		
236.					Sold	07/28/23	M		
237. NY529 Aggressive Age-Based Option: Moderate Growth Portfolio		None			Sold	07/06/23	L		
238. NY529 Moderate Age-Based Option: Conservative Growth Portfolio		None			Sold	07/06/23	M		

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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gujarati, Diane	08/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Name of Person Reporting	Date of Report
Gujarati, Diane	08/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Diane Gujarati

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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