AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Haight, Jr., Charles S.	District Court, SDNY	08/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior United States District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. District Court 141 Church Street New Haven, CT 06510		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Trustee	American Scandinavian Foundation
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Haight, Jr., Charles S.	08/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Bank of America Money Market	A	Dividend	K	T					
2.	Walt Disney Co.		None	K	T	Buy (add'l)	12/10/21	J		
3.	Home Depot Inc.	B	Dividend	L	T					
4.	Berkshire Hathaway		None	M	T					
5.	Conn. St. Gen. Rev. Bonds	A	Interest	M	T					
6.	Capital One Savings Bank	A	Interest	M	T					
7.	US Trust Co of NY Bank of America Checking Account		None	K	T					
8.	Guilford Savings Bank		None	K	T					
9.	Columbia Select Large Cap. Fund		None	K	T					
10.	MasterCard Inc.	A	Dividend	L	T					
11.	TJX Cos., Inc.	A	Dividend	L	T					
12.	Church & Dwight, Inc.	A	Dividend	K	T					
13.	Apple, Inc.	A	Dividend	M	T	Sold (part)	07/15/21	K	E	
14.						Sold (part)	08/10/21	K	E	
15.	Johnson & Johnson	A	Dividend	K	T					
16.	LyondellBasell Industries	B	Dividend	K	T					
17.	Costco Wholesale Corp.	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	Booking Holdings, Inc.		None	K	T					
19.	Amphenol Corp.	A	Dividend	K	T					
20.	Salesforce.com		None	L	T					
21.	Starbucks	A	Dividend	K	T					
22.	Zoetis, Inc.	A	Dividend	L	T					
23.	Danaher Corp.	A	Dividend	M	T					
24.	Meta Platforms, Inc. (formerly known as Facebook, Inc.)		None	L	T					
25.	Microsoft Corp.	A	Dividend	M	T	Sold (part)	09/10/21	K	E	
26.	Verizon	A	Dividend			Sold	12/10/21	K		
27.	Columbia CT Intermediate Muni Bond Fund	D	Interest	O	T					
28.	Amazon.com		None	M	T	Sold (part)	07/15/21	K	E	
29.	Alphabet Inc. (Class C) (included last yr. as "Alphabet Inc.")		None	M	T	Sold (part)	08/10/21	J	D	
30.	Alpabet Inc. (Class A) (included last yr. as "Alphabet, Inc.")		None	K	T					
31.	Lauder Estee Cos. Inc.	A	Dividend	K	T					
32.	Intercontinental Exchange Inc.	A	Dividend	L	T					
33.	Jacobs Engineering Group Inc.	A	Dividend	L	T					
34.	Columbia AMT-Free Conn. Inter. Muni Bond Fund -same as #27 above (X)	D	Interest	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Vanguard Small-Cap. ETF	B	Dividend	M	T	Sold (part)	09/10/21	K	E	
36. Fortive Corp.	A	Dividend	J	T					
37. Teladoc, Inc.		None	K	T	Sold (part)	03/05/21	K	E	
38. United Parcel Service	B	Dividend	L	T	Buy (add'l)	07/28/21	K		
39. Accenture PLC	A	Dividend	L	T					
40. Leidos Holdings, Inc.	A	Dividend	K	T					
41. Coca-Cola Co.	A	Dividend	J	T					
42. Ingersoll-Rand Co., Ltd.		None			Sold	09/10/21	J	C	
43. Vertex Pharmaceuticals		None			Sold	08/10/21	K	B	
44. Doubleline Total Return Bond Fund	E	Interest	O	T					
45. Torrington, CT bonds	C	Interest			Matured	09/15/21	M		
46. Booz Allen Hamilton Holdings Corp.	A	Dividend			Sold	07/15/21	K	D	
47. Adobe, Inc.		None	L	T					
48. Nvidia Corp.	A	Dividend	L	T					
49. Paypal Holdings Inc.		None	L	T					
50. Vontier Corp.	A	Dividend	J	T					
51. Trane Tech Pub. Ltd. Co.	A	Dividend	K	T					

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52. Constellation Brands, Inc.	A	Dividend	K	T					
53. Idex Corp.	A	Dividend	K	T					
54. East Hartford Conn. GO	C	Interest	M	T	Buy	08/11/21	M		
55. Wallingford Conn. GO REF	C	Interest	M	T	Buy	08/12/21	M		
56. Old Saybrook Conn. GO REF	C	Interest	M	T	Buy	12/16/21	M		
57. Connecticut ST GO REF	C	Interest	M	T	Buy	12/13/21	M		
58. Guilford Conn. GO REF	C	Interest	M	T	Buy	09/15/21	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to Part VII. Investments and Trusts, in line 24, Meta Platforms formerly appeared as "Facebook, Inc." (the prior name for that company). Facebook changed its name to Meta in October 2021. Also, in line 34, Columbia AMT - Free Conn. Inter. Muni Bond Fund is already included in the report at line 27 (Columbia CT Intermediate Muni Bond Fund). Columbia AMT is duplicative of Columbia CT Intermediate (#27) so was listed erroneously last year (at line #34) and should be removed as a separate item from future reports. It is thus marked with an (X) as exempt in the prior year and erroneous in this year. Finally in lines 29 and 30, I have listed two Classes of Alphabet, Inc. stock - Class C and Class A, respectively. Both classes were reported collectively in the past as "Alphabet, Inc." (last year at line #30) but I now have been given separate transaction information on the 2 classes so am including these details in this year's Report with 2 Alphabet entries (lines 29, 30).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles S. Haight, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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