AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
HALL, JANET C.	U.S. District Court (D.CT)	5/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. District Court 141 Church Street New Haven, CT 06510		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
HALL, JANET C.	5/15/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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HALL, JANET C.	5/15/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
HALL, JANET C.	5/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Franklin Mutual Quest Fund	E	Dividend	N	T					
2.	Vanguard Windsor II Fund	D	Dividend	M	T	Sold (part)	05/03/23	J		
3.						Buy (add'l)	06/16/23	K		
4.	[redacted]. (Note)		None	L	W					
5.	Partnership Interest in Whitney-Humphrey Assc.		None	M	W					
6.	AXA Equitable Life Ins. Co. (Flexible Premium Universal Life Policy)	A	Interest	K	T					
7.	Sound Shore Fund	E	Dividend	O	T	Sold (part)	07/10/23	K		
8.	Citizens Bank	A	Interest	M	T					
9.	Artisan Int'l Small Cap Investor		None	M	T					
10.	Third Ave. Real Est. Value Fund		None			Sold	07/10/23	K		
11.	T. Rowe Price Cap. Appreciation Fund	E	Dividend	O	T	Sold (part)	07/10/23	J		
12.						Sold (part)	11/07/23	J		
13.						Sold (part)	12/05/23	J		
14.	`iShare MSCI Canada Index Fund	A	Dividend	J	T					
15.	Fidelity Cash Acct.	A	Interest	J	T					
16.	Schwab Bank	A	Interest	K	T					
17.	Artisan Mid-Cap Value FD	E	Dividend	O	T	Sold (part)	05/03/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
HALL, JANET C.	5/15/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.						Sold (part)	07/07/23	K		
19.						Sold (part)	11/07/23	J	C	
20.	Dodge & Cox Int'l. Stock	D	Dividend	M	T	Sold (part)	12/06/23	J	B	
21.	Storage Inn Ltd.		None	N	W					
22.	Arcadia Wind Farms Energies Ltd		None	L	W					
23.	Intrepid Small Cap (Note)	A	Dividend	J	T	Sold (part)	05/03/23	J		
24.	Schwab S&P 500 Index Fund	A	Dividend	L	T	Sold (part)	05/03/23	L	D	
25.	iShares Russell 3000 (IMV)	A	Dividend	M	T	Buy (add'l)	06/13/23	K		
26.	Schwab Total Stock Market	B	Dividend	M	T	Buy (add'l)	06/16/23	K		
27.	Nicholas II Fund	A	Dividend	K	T	Sold (part)	05/03/23	K	C	
28.						Buy (add'l)	06/16/23	K		
29.	Nicholas Ltd Edition Fund	C	Dividend	K	T	Sold (part)	05/03/23	K	C	
30.	Vanguard Scottsdale FDS Vanguard (VTWV)	A	Dividend	K	T					
31.	Vanguard Scottsdale FDS Vanguard Russell (VTWG)	A	Dividend	K	T					
32.	Vanguard Index FDS Vanguard REIT/Real Estate (VNQ)	A	Dividend	J	T					
33.	Vanguard Scottsdale Russell 3000 (VTHR)	A	Dividend	K	T					
34.	TR Price Growth Fund	D	Dividend	M	T	Sold (part)	11/06/23	J		

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L =$50,001 - $100,000
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35. Vanguard Small Cap Fund	A	Dividend	J	T	Sold (part)	05/03/23	J	C	
36. Oakmark Fund	D	Dividend	O	T	Sold (part)	05/03/23	J		
37.					Sold (part)	11/07/23	J	C	
38. iShares (IWF) Russell 1000 Growth Index Fund	A	Dividend	L	T	Sold (part)	05/03/23	K	E	
39.					Buy (add'l)	06/13/23	K		
40. Schwab US MC (SCHM)	A	Dividend	K	T	Sold (part)	05/03/23	K	D	
41. Vanguard Total Stock Market (VTSAX)	A	Dividend	J	T					
42. Harbor Cap Appreciation		None	O	T	Sold (part)	12/05/23	J		
43. Alphabet Inc.		None	L	T	Sold (part)	05/03/23	K	E	
44.					Buy (add'l)	06/13/23	K		
45.					Sold (part)	09/21/23	K	E	
46. Vanguard Mid Cap (VMVAX)	A	Dividend	L	T	Sold (part)	05/03/23	J	C	
47.					Buy (add'l)	06/16/23	K		
48. Glenmed. Small Cap. Equity	B	Dividend	K	T					
49. Vanguard Value Index (VVIAX)	A	Dividend	J	T					
50. Amazon		None	K	T	Sold (part)	02/16/23	J	A	
51.					Sold (part)	05/03/23	K	A	

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52.					Sold (part)	07/27/23	K	C	
53. Apple	A	Dividend	L	T	Buy (add'l)	06/09/23	K		
54. Touchstone	E	Dividend	N	T	Sold (part)	04/24/23	J		
55.					Sold (part)	07/22/23	K		
56. SPDR	B	Dividend	M	T					
57. Bank of Nova Scotia	A	Dividend	J	T	Buy	07/24/23	J		
58. Royal Bank of Canada	A	Dividend	J	T	Buy	07/24/23	J		
59. Toronto Dominion	A	Dividend	J	T	Buy	07/24/23	J		
60. Gabelli Dividend and Income Trust	A	Dividend	K	T	Buy	06/26/23	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

Line 4: Passive income from sub-chapter S corporation.

Line 23: Name change

2022 Report Lines 3 & 6: I no longer have an interest in these assets as both are in my [redacted] control.
.
2022 Report Line 46: Asset in Line 46 of 2022 Report is a duplicate of Line 15 of 2023 Report.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JANET C. HALL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544