AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Halpern, Philip M.	United States District Court - Southern District of New York	04/28/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
United States District Court, Southern District of New York 300 Quarropas Street, Chambers Room 530 White Plains, New York, 10601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Visitor	Elisabeth Haub School of Law at Pace University
2.	Board of Director	Metropolitan Golf Association Foundation
3.	Director	The Mancheski Foundation
4.	Trustee	Irrevocable Trust No. I(no reportable assets)
5.	Adjunct Professor	Elisabeth Haub School of Law at Pace University

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2019	Buyout Agreement with Collier,Newberg,LLP
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2024	American BarAssn/Book Royalties	$107.36
2.	2024	Collier Newberg LLP/Buyout	$1,428,311.24
3.	2024	Elisabeth Haub School of Law at Pace University teaching income	$5,000.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Halpern, Philip M.	04/28/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	ABBVIE INC COM	B	Dividend	K	T					
2.	ADIENT PLC COM		None	J	T					
3.	ALPHABET INC CL A		None	M	T					
4.	AMAZON COM INC		None	M	T					
5.	AMBASE CORP		None	J	T					
6.	AMERICAN EXPRESS CO	A	Dividend	M	T	Sold (part)	09/06/24	J	C	
7.	APPLE INC	D	Dividend	O	T					
8.	BERKSHIRE HATHAWAY CL-B NEW		None	M	T					
9.	BLACKSTONE GROUP INC CL A	C	Dividend	M	T					
10.	BRISTOL MYERS SQUIBB CO	A	Dividend	K	T	Buy	01/11/24	K		
11.	BLACKSTONE MORTGAGE TRUST INC	A	Dividend			Sold	03/27/24	J		
12.	BNY MELLON CORE PLUS I	B	Dividend	M	T	Buy	05/09/24	L		
13.						Buy (add'l)	09/20/24	L		
14.	BNY MELLON DYNAMIC VALUE I	C	Dividend	K	T					
15.	BNY MELLON INTL STK I	B	Dividend	L	T					
16.	BNY MELLON NATURAL RESOURCES I	C	Dividend			Sold	12/18/24	K		
17.	BNY MELLON MUN BD INFRASTRUCTU	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. CAPITAL ONE FINANCIAL CORP	B	Dividend	M	T					
19. CHEVRON CORP	B	Dividend	K	T					
20. COMM SERV. SELECT SECTOR SPDR	A	Dividend	L	T					
21. CONOCOPHILLIPS	D	Dividend	M	T					
22. CONS DISCRET SEL SECT SPDR FD	A	Dividend	L	T					
23. CONS STAPLES SEL SECT SPDR FD	C	Dividend	L	T					
24. DELTA AIR LINES INC NEW	A	Dividend	L	T					
25. EBAY INC	A	Dividend			Sold	01/11/24	K		
26. ENERGY SEL SECT SPDR FD	B	Dividend	K	T	Sold (part)	09/06/24	J	D	
27. ESTEE LAUDER CO INC CLASS A	B	Dividend			Buy	08/21/24	L		
28.					Sold (part)	09/06/24	K		
29.					Sold	10/31/24	K		
30. EXXON MOBIL CORP	E	Dividend	O	T					
31. FEDEX CORP	A	Dividend			Sold	08/21/24	L	E	
32. FEDERATED HERMES GOVT OBL FUND	A	Dividend	J	T					
33. FIRST TRST HLTH CARE ALPHA ETF	A	Dividend			Sold	02/15/24	J	D	
34. FIRST TRUST INSTL PREFERRED SECS ETF	C	Dividend	L	T					

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35. FIRST TRUST CBOE VEST S&P 500 DIVIDEND	A	Dividend	K	T					
36. FIRST TRUST TCW UNCONSTRAINED BOND	A	Dividend	M	T	Buy (add'l)	03/27/24	M		
37.					Buy (add'l)	09/17/24	J		
38. FIRST TRUST LONG/SHORT EQUITY	A	Dividend	L	T	Buy	02/08/24	J		
39.					Buy (add'l)	02/15/24	K		
40. FIRST TRUST RBA AMERICAN IND	D	Dividend	K	T	Buy	06/14/24	J		
41.					Buy (add'l)	08/02/24	J		
42.					Buy (add'l)	11/19/24	J		
43.					Buy (add'l)	12/04/24	J		
44. FIRST TRUST SMID CAP RIS DIV		None	K	T	Buy	12/05/24	K		
45. FT ENHANCED SHORT MURITY ETF	D	Dividend	K	T	Sold (part)	03/27/24	K	A	
46.					Sold (part)	08/07/24	K	A	
47.					Sold (part)	08/21/24	M	A	
48.					Buy (add'l)	09/05/24	K		
49. FIRST TRUST LTD DURATION INV GRADE	D	Dividend	K	T	Buy	09/17/24	K		
50.					Buy (add'l)	12/04/24	J		
51. GENERAL ELECTRIC CO	A	Dividend	J	T					

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52. GE HEALTHCARE TECHNOLOGIES	A	Dividend	J	T					
53. GE VERNOVA INC	A	Dividend	J	T					
54. GLOBAL X COPPER MINERS ETF	B	Dividend			Buy	07/30/24	M		
55.					Sold (part)	09/06/24	J		
56.					Sold (part)	12/10/24	J		
57.					Sold	12/31/24	L		
58. GRAYSCALE BITCOIN MINI TRUST		None	J	T					
59. GRAYSCALE BITCOIN TRUST		None	L	T					
60. GRAYSCALE ETHEREUM MINI TRUST		None	J	T					
61. GRAYSCALE ETHEREUM TRUST		None	K	T					
62. HEALTH CARE SEL SECT SPDR FD	B	Dividend	M	T					
63. INDUSTRIAL SEL SEC SPDR FD	B	Dividend	L	T					
64. INVESCO MUNI INCOME A	A	Dividend	J	T					
65. ISHARES MSCI EAFE ETF	A	Dividend	K	T					
66. ISHARES MSCI EAFE GRWTH ETF	A	Dividend	L	T					
67. ISHARES MSCI EMERGING MKTS ETF	A	Dividend	J	T					
68. ISHARES S&P MIDCAP 400 INDEX	A	Dividend	L	T					

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69. ISHARES SP SMALLCAP 600 INDEX	A	Dividend	L	T					
70. ISHARES US REGIONAL BANKS ETF	B	Dividend	K	T					
71. JOHNSON CTLS INTL PLC	B	Dividend	L	T					
72. JPMORGAN CHASE & CO	B	Dividend	L	T					
73. JPMORGAN CORE BOND I	C	Dividend			Sold (part)	03/27/24	J		
74.					Sold (part)	04/19/24	K		
75.					Sold (part)	05/16/24	K		
76.					Sold	09/20/24	K	C	
77. JPMORGAN GLOBAL BD OPPORT I	B	Dividend			Sold	05/09/24	K	A	
78. JPMORGAN CHASE DEPOSIT ACCOUNT	A	Interest	N	T					
79. KELLANOVA	A	Dividend			Buy	08/21/24	M		
80.					Sold	09/05/24	M	D	
81. MATERIALS SEL SECT SPDR FD	B	Dividend	L	T					
82. META PLATFORMS INC CL A	A	Dividend	M	T					
83. METLIFE INCORORATED	A	Dividend	J	T					
84. MICROSOFT CORP	A	Dividend	M	T					
85. MORGAN STANLEY	B	Dividend	L	T					

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86. Morgan Stanley Bank Deposit	A	Interest	O	T					
87. MORGAN STANLEY US GOVT MM TR	A	Dividend	J	T					
88. NASDAQ INC	B	Dividend	L	T	Sold (part)	03/27/24	K	D	
89.					Sold (part)	09/06/24	J	B	
90. NIKE INC CLASS B	A	Dividend			Buy	04/29/24	K		
91.					Buy (add'l)	05/16/24	J		
92.					Sold (part)	06/28/24	J		
93.					Sold	11/19/24	K		
94. NUV NEW YORK AMT-FREE MUNIC	A	Dividend	J	T					
95. NUVEEN NEW YORK MUNI VALUE FD	A	Dividend	J	T					
96. NVIDIA CORPORATION	A	Dividend	M	T	Buy	08/28/24	K		
97.					Buy (add'l)	09/06/24	L		
98. REINHART GENESIS PMV ADV	B	Dividend	L	T	Sold (part)	09/06/24	J	B	
99. SALIENT MLP&ENERGY INFRASTRUCTURE FD	C	Dividend	M	T					
100. SPDR BLOOMBERG 1-3 MONTH TBILL ETF	D	Dividend			Sold (part)	03/27/24	L	A	
101.					Sold (part)	05/16/24	K	A	
102.					Sold (part)	08/07/24	K	A	

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103.					Sold	09/17/24	K	A	
104. SPDR S&P 500 ETF TRUST	B	Dividend	M	T					
105. STARBUCKS CORP	B	Dividend			Sold	08/07/24	K		
106. THE FINANCIAL SEL SECT SPDR FD	B	Dividend	M	T	Buy (add'l)	03/27/24	J		
107.					Sold (part)	03/27/24	J	A	
108. TRI CONTINENTAL CORP	E	Dividend	O	T					
109. UBER TECHNOLOGIES INC		None			Buy	05/16/24	J		
110.					Buy (add'l)	06/14/24	J		
111.					Sold	09/06/24	J	C	
112. UNUMPROVIDENT CORP	A	Dividend	K	T					
113. UNITEDHEALTH GROUP INC	A	Dividend	K	T	Buy	02/29/24	K		
114.					Sold (part)	04/12/24	K		
115. UTILITIES SEL SECT SPDR FUND	B	Dividend	L	T					
116. VANGUARD INFO TECH ETF	B	Dividend	N	T	Buy (add'l)	06/13/24	J		
117.					Sold (part)	06/13/24	J	B	
118.					Sold (part)	09/06/24	J	C	
119. VANGUARD REAL ESTATE ETF	A	Dividend	J	T	Sold (part)	08/07/24	J	B	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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120. VICTORY RS INTL A	A	Dividend	J	T					
121. VIRTUS INVESTMENT PARTNERS INC	A	Dividend	J	T					
122. VISA INC CLASS A	A	Dividend			Sold	08/21/24	K	D	
123. WABTEC CORP	A	Dividend	J	T					
124. WALMART INC	A	Dividend	M	T					
125. WALT DISNEY COMPANY		None	L	T	Buy	08/07/24	L		
126.					Sold (part)	09/06/24	K	A	
127. WK KELOGG CO	A	Dividend	K	T	Buy	09/05/24	K		
128.					Buy (add'l)	09/11/24	J		
129.					Sold (part)	12/10/24	J	A	
130. ALPHABET INC SHS CL A	A	Dividend	L	T	Sold (part)	02/05/24	J	B	
131.					Buy (add'l)	03/12/24	J		
132.					Sold (part)	04/05/24	J	B	
133.					Sold (part)	04/15/24	J	A	
134.					Sold (part)	07/23/24	J	A	
135.					Buy (add'l)	09/05/24	J		
136.					Buy (add'l)	11/25/24	J		

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137.					Sold (part)	12/12/24	J	A	
138.					Buy (add'l)	12/30/24	J		
139. AMAZON COM INC		None	L	T	Buy (add'l)	01/26/24	J		
140.					Sold (part)	03/12/24	J	A	
141.					Buy (add'l)	04/02/24	J		
142.					Buy (add'l)	04/05/24	J		
143.					Buy (add'l)	06/11/24	J		
144.					Buy (add'l)	07/08/24	J		
145.					Buy (add'l)	07/23/24	J		
146.					Sold (part)	08/15/24	J		
147.					Buy (add'l)	09/16/24	J		
148.					Sold (part)	10/09/24	J		
149.					Sold (part)	11/04/24	J	A	
150.					Sold (part)	12/30/24	J	B	
151. AMER EXPRESS COMPANY	A	Dividend	K	T					
152. APPLE INC	B	Dividend	N	T	Buy (add'l)	01/22/24	J		
153.					Sold (part)	03/12/24	J	A	

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154.					Sold (part)	07/01/24	J	A	
155.					Sold (part)	07/08/24	J	A	
156.					Sold (part)	07/23/24	J	A	
157.					Buy (add'l)	09/16/24	J		
158. APPLIED MATERIAL INC	A	Dividend	J	T	Sold (part)	03/12/24	J	A	
159.					Sold (part)	03/28/24	J	B	
160.					Buy (add'l)	10/09/24	J		
161. APPLOVIN CORP CLASS A		None	J	T	Buy	01/22/24	J		
162.					Buy (add'l)	01/23/24	J		
163.					Sold (part)	03/12/24	J	A	
164.					Buy (add'l)	04/24/24	J		
165.					Sold (part)	06/10/24	J	A	
166.					Sold (part)	06/11/24	J	A	
167.					Sold (part)	10/04/24	J	C	
168.					Sold (part)	11/04/24	J	A	
169.					Sold (part)	11/12/24	J	C	
170.					Sold (part)	11/25/24	J	A	

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171. ASTRAZENECA PLC SPND ADR	A	Dividend	J	T	Buy (add'l)	03/12/24	J		
172.					Buy (add'l)	03/13/24	J		
173.					Buy (add'l)	04/23/24	J		
174.					Sold (part)	07/08/24	J	A	
175.					Sold (part)	09/05/24	J	A	
176.					Sold (part)	11/14/24	J		
177. AUTODESK INC		None			Sold (part)	02/05/24	J	A	
178.					Sold	04/18/24	J	A	
179. BECTON DICKINSON CO	A	Dividend			Buy (add'l)	02/08/24	J		
180.					Sold	04/02/24	J		
181. BIOMARIN PHARMACEUTICALS		None			Sold	05/16/24	J		
182. BLACKSTONE INC.		None	J	T	Buy	11/14/24	J		
183. BOEING COMPANY		None			Sold	09/26/24	J		
184. BROADCOM INC	A	Dividend	K	T	Sold (part)	01/26/24	J	A	
185.					Buy (add'l)	05/13/24	J		
186.					Buy (add'l)	10/09/24	J		
187.					Sold (part)	12/30/24	J	C	

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188. CASH MERRILL LYNCH	B	Interest	K	T					
189. CHENIERE ENERGY	A	Dividend	J	T	Sold (part)	05/17/24	J		
190. CHEVRON CORP	B	Dividend	K	T					
191. CHIPOTLE MEXICAN GRILL, INC.		None	J	T	Buy	06/26/24	J		
192. COSTCO WHOLESALE CRP DEL	A	Dividend	J	T					
193. DATADOG INC CL A		None	J	T	Sold (part)	11/25/24	J	A	
194. DECKERS OUTDOOR CORPORATION		None	J	T	Buy	06/26/24	J		
195. DELL TECHNOLOGIES, INC CLASS C	A	Dividend	J	T	Buy	03/28/24	J		
196.					Buy (add'l)	04/01/24	J		
197.					Sold (part)	05/30/24	J	B	
198.					Buy (add'l)	06/11/24	J		
199.					Sold	08/15/24	J		
200.					Buy	09/16/24	J		
201.					Sold (part)	10/22/24	J	A	
202. DEVON ENERGY CORP NEW	A	Dividend			Sold	07/03/24	J		
203. EATON CORP PLC	A	Dividend	J	T					
204. EATON VANCE BALANCED FUND	C	Dividend	L	T					

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205. ELATIC NV		None			Buy (add'l)	07/09/24	J		
206.					Buy (add'l)	07/11/24	J		
207.					Buy (add'l)	07/12/24	J		
208.					Buy (add'l)	07/15/24	J		
209.					Sold	08/15/24	J		
210. ELI LILLY & CO	A	Dividend	K	T	Sold (part)	03/12/24	J	A	
211.					Buy (add'l)	03/28/24	J		
212.					Buy (add'l)	04/15/24	J		
213.					Sold (part)	09/05/24	J	A	
214.					Buy (add'l)	10/09/24	J		
215.					Sold (part)	11/04/24	J		
216.					Sold (part)	11/18/24	K	D	
217.					Buy (add'l)	12/30/24	J		
218. EQUIFAX INC.	A	Dividend	J	T	Buy (add'l)	05/14/24	J		
219.					Buy (add'l)	05/15/24	J		
220.					Buy (add'l)	05/17/24	J		
221.					Buy (add'l)	08/05/24	J		

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222.					Sold (part)	10/22/24	J		
223. FERRARI NV	A	Dividend	J	T					
224. GE VERNOVA INC		None	J	T	Buy	10/09/24	J		
225.					Buy (add'l)	10/10/24	J		
226.					Buy (add'l)	10/11/24	J		
227.					Sold (part)	11/25/24	J	A	
228. GENL DYNAMICS CORPORATION	A	Dividend	J	T					
229. HESS CORP		None			Sold	01/26/24	J	A	
230. HUMANA INC	A	Dividend			Sold	01/22/24	J		
231. INTUIT INC COM	A	Dividend			Sold	06/10/24	J	C	
232. INTUITIVE SURGICAL INC NEW		None	J	T					
233. ISHARES RUSSELL 1000 GROWTH ETF	A	Dividend			Buy	05/17/24	J		
234.					Sold	06/17/24	J	A	
235.					Buy	08/15/24	K		
236.					Sold	09/16/24	K	A	
237.					Buy	11/14/24	J		
238.					Sold	12/16/24	J	A	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
239. ISHARES SILVER TR		None			Sold	02/08/24	J		
240. JPMORGAN CHASE & CO	A	Dividend	J	T					
241. LINDE PLC	A	Dividend	J	T	Buy (add'l)	07/23/24	J		
242. LOWE'S COMPANIES INC	A	Dividend	J	T	Sold (part)	05/30/24	J		
243. MASTERCARD INC	A	Dividend	J	T	Sold (part)	02/05/24	J	C	
244. MCDONALDS CORP COM	A	Dividend			Sold	04/02/24	K	D	
245. MERCK AND CO INC SHS	A	Dividend	J	T					
246. META PLATFORMS INC CLASS A COMMON STOCK	A	Dividend	K	T	Sold (part)	01/11/24	J	A	
247.					Sold (part)	01/26/24	J	A	
248.					Sold (part)	02/05/24	J	A	
249.					Buy (add'l)	04/23/24	J		
250.					Buy (add'l)	06/11/24	J		
251.					Buy (add'l)	07/23/24	J		
252.					Sold (part)	10/04/24	J	A	
253.					Sold (part)	10/09/24	J	A	
254. MICRON TECHNOLOGY INC	A	Dividend			Buy	01/26/24	J		
255.					Buy (add'l)	01/29/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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256.					Buy (add'l)	03/12/24	J		
257.					Sold (part)	03/28/24	J	A	
258.					Sold (part)	04/23/24	J	A	
259.					Buy (add'l)	10/09/24	J		
260.					Sold	12/30/24	J		
261. MICROSOFT CORP	A	Dividend	M	T	Buy (add'l)	03/12/24	J		
262.					Buy (add'l)	09/05/24	J		
263.					Buy (add'l)	11/12/24	J		
264.					Sold (part)	11/25/24	J		
265. MONGODB INC CL A		None	J	T	Sold (part)	01/11/24	J	A	
266.					Sold (part)	05/17/24	J		
267.					Buy (add'l)	06/17/24	J		
268.					Buy (add'l)	07/01/24	J		
269.					Sold (part)	08/05/24	J		
270.					Buy (add'l)	11/25/24	J		
271. NEW YORK ST GO REF BDS SER C OID MAR13 03.000%APR15 2030	A	Interest	K	T					
272. NOVO NORDISK A S ADR	A	Dividend	J	T	Buy (add'l)	06/10/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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273.					Buy (add'l)	06/11/24	J		
274.					Sold (part)	08/15/24	J		
275.					Buy (add'l)	10/04/24	J		
276.					Sold (part)	11/14/24	J		
277.					Sold	11/14/24	J	A	
278.					Buy	12/16/24	J		
279. NVIDIA	A	Dividend	L	T	Sold (part)	01/11/24	J	B	
280.					Buy (add'l)	01/22/24	J		
281.					Buy (add'l)	02/05/24	J		
282.					Buy (add'l)	04/15/24	J		
283.					Sold (part)	06/11/24	J	B	
284.					Sold (part)	06/11/24	J	B	
285.					Sold (part)	06/11/24	J	C	
286.					Buy (add'l)	07/23/24	J		
287.					Buy (add'l)	10/04/24	J		
288.					Sold (part)	10/09/24	J	A	
289.					Sold (part)	10/22/24	J	B	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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290.					Buy (add'l)	11/25/24	J		
291.					Buy (add'l)	12/30/24	J		
292. ORACLE CORP	A	Dividend	K	T	Buy (add'l)	10/04/24	J		
293.					Buy (add'l)	10/07/24	J		
294. O'REILLY AUTOMOTIVE INC		None			Sold (part)	05/10/24	J	A	
295.					Sold (part)	05/13/24	J	B	
296.					Sold	05/14/24	J	B	
297. PALANTIR TECHNOLOGIES INC REG SHS CL A		None			Sold	05/28/24	J	A	
298. PALO ALTO NETWORKS, INC.		None	J	T	Buy	07/03/24	J		
299. PEPSICO INC	A	Dividend	J	T	Buy (add'l)	03/28/24	J		
300.					Sold (part)	07/01/24	J		
301.					Sold (part)	11/14/24	J		
302.					Buy (add'l)	12/30/24	J		
303. PINNACLE WEST CAPITAL CORP	A	Dividend	K	T	Buy	04/02/24	J		
304.					Buy (add'l)	04/26/24	J		
305. PROCTER & GAMBLE CO	A	Dividend	J	T	Buy (add'l)	02/05/24	J		
306.					Buy (add'l)	10/09/24	J		

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307. PROGRESSIVE CRP OHIO	A	Dividend	K	T	Buy (add'l)	01/22/24	J		
308.					Sold (part)	09/05/24	J	A	
309.					Buy (add'l)	09/26/24	J		
310.					Buy (add'l)	12/30/24	J		
311. RELX PLC	A	Dividend			Sold	05/28/24	J	B	
312. S&P GLOBAL INC	A	Dividend	J	T	Sold (part)	03/28/24	J	A	
313. SALESFORCE, INC.	A	Dividend			Buy	02/05/24	J		
314.					Buy (add'l)	02/06/24	J		
315.					Sold (part)	03/28/24	J	A	
316.					Sold (part)	04/15/24	J		
317.					Buy (add'l)	06/11/24	J		
318.					Sold (part)	08/15/24	J		
319.					Buy (add'l)	09/16/24	J		
320.					Sold	10/04/24	J	A	
321. SERVICENOW INC		None	J	T	Sold (part)	01/26/24	J	A	
322.					Buy (add'l)	06/11/24	J		
323. SNOWFLAKE, INC. CLASS A		None			Buy	01/11/24	J		

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324.					Sold	03/12/24	J		
325. SPDR S&P 500 ETF TRUST	A	Dividend	L	T	Sold (part)	11/18/24	J	D	
326. SPDR S&P AEROSPACE & DR S&P AEROSPACE & DEFEN	A	Dividend	K	T					
327. SPOTIFY TECH S.A. REG SHS		None	K	T					
328. SYNOPSYS INC		None			Sold (part)	01/11/24	J	A	
329.					Sold	01/22/24	J	A	
330. TAKE TWO INTER SOFTWARE		None	J	T					
331. TESLA INC		None	K	T	Sold (part)	01/11/24	J	A	
332.					Sold (part)	01/26/24	J		
333.					Sold (part)	03/28/24	J		
334.					Buy (add'l)	04/23/24	J		
335.					Sold (part)	05/17/24	J		
336.					Buy (add'l)	06/17/24	J		
337.					Sold (part)	07/08/24	J	A	
338.					Sold (part)	07/23/24	J	A	
339.					Buy (add'l)	10/04/24	J		
340.					Buy (add'l)	11/04/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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341.					Sold (part)	11/12/24	J	A	
342. TEXAS INSTRUMENTS	A	Dividend			Sold	02/08/24	J	A	
343. THERMO FISHER SCIENTIFIC INC	A	Dividend			Sold (part)	06/10/24	J	A	
344.					Sold (part)	12/12/24	J	B	
345.					Sold	12/13/24	J	A	
346. TJX COS INC NEW	A	Dividend	J	T	Sold (part)	04/15/24	J	A	
347.					Buy (add'l)	05/30/24	J		
348. T-MOBILE US INC SHS	A	Dividend	J	T	Buy (add'l)	08/05/24	J		
349. TOPBUILD CORP SHS		None	J	T	Sold (part)	01/29/24	J	A	
350.					Sold (part)	07/23/24	J	A	
351. TRADE DESK, INC. CLASS A		None	J	T	Buy	12/12/24	J		
352.					Buy (add'l)	12/13/24	J		
353. U.S. TREASURY BILL ZERO% AUG 08 2024	C	Interest			Buy (add'l)	02/08/24	K		
354.					Redeemed	08/08/24	L		
355. U.S. TREASURY BILL ZERO% FEB 06 2025		None	L	T	Buy	08/27/24	L		
356. U.S. TREASURY BILL ZERO% JAN 04 2024	A	Interest			Redeemed	01/04/24	K		
357. U.S. TREASURY BILL ZERO% JAN 14 2025		None	J	T	Buy	11/18/24	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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358. UBER TECHNOLOGIES INC		None	J	T	Buy (add'l)	02/05/24	J		
359.					Sold (part)	03/12/24	J	B	
360.					Sold (part)	08/05/24	J		
361. UNION PACIFIC CORP	A	Dividend			Sold (part)	02/05/24	J	A	
362.					Buy (add'l)	06/11/24	J		
363.					Sold (part)	10/09/24	J	A	
364.					Sold	10/10/24	J	A	
365. UNITEDHEALTH GROUP INC	A	Dividend			Sold (part)	01/22/24	J	A	
366.					Sold (part)	04/05/24	J		
367.					Sold	04/08/24	J		
368. UNITY SOFTWARE INC		None			Sold	03/12/24	J		
369. UTILITIES SELECT SECTOR	A	Dividend	J	T					
370. VANGUARD GROWTH ETF	A	Dividend	J	T					
371. VANGUARD MID-CAP GROWTH ETF	A	Dividend	J	T	Sold (part)	11/27/24	J	B	
372. VANGUARD VALUE ETF	A	Dividend	J	T	Sold (part)	11/27/24	J	D	
373. VERTIV HOLDINGS CO. CLASS A	A	Dividend	J	T	Buy	10/22/24	J		
374.					Buy (add'l)	10/23/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Halpern, Philip M.	04/28/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
375. VISA INC CL A SHRS	A	Dividend	K	T	Sold (part)	03/28/24	J	A	
376.					Sold (part)	07/23/24	J	A	
377. VULCAN MATERIALS COMPANY	A	Dividend	J	T	Buy	04/26/24	J		
378.					Buy (add'l)	08/27/24	J		
379. WALT DISNEY COMPANY	A	Dividend			Sold	04/26/24	K		
380. WESTERN DIGITAL CORPORATION		None	J	T	Buy	01/26/24	J		
381.					Buy (add'l)	01/29/24	J		
382.					Buy (add'l)	01/30/24	J		
383.					Buy (add'l)	03/12/24	J		
384.					Buy (add'l)	03/13/24	J		
385.					Sold (part)	04/15/24	J	A	
386.					Buy (add'l)	11/26/24	J		
387. ZOETIS INC	A	Dividend			Buy (add'l)	04/05/24	J		
388.					Sold (part)	07/08/24	J	B	
389.					Sold (part)	07/09/24	J	A	
390.					Sold	07/10/24	J	A	
391. Guardian Global Utilities VIP Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
392. Guardian International Equity VIP Fund		None	J	T					
393. Guardian International Growth VIP Fund		None	J	T					
394. Guardian Integrated Research VIP Fund		None	K	T					
395. Guardian Large Cap Disciplined Growth VIP Fund		None	K	T					
396. Guardian Large Cap Disciplined Value VIP		None	K	T					
397. Guardian Large Cap Fundamental Growth VIP Fund		None	J	T					
398. Guardian Mid Cap Relative Value VIP Fund		None	J	T					
399. Guardian Mid Cap Traditional Growth VIP Fund		None	J	T					
400. Guardian Small Cap Core VIP Fund		None	J	T					
401. Guardian Small-Mid Cap Core VIP Fund		None	J	T					
402. Guardian Strategic Large Cap Core VIP Fund		None	J	T					
403. MFS VIT Technology Portfolio SC		None	J	T					
404. Guardian Core Fixed Income VIP Fund		None	J	T					
405. Guardian Core Plus Fixed Income VIP Fund		None	J	T					
406. Guardian Multi-Sector Bond VIP Fund		None	J	T					
407. Guardian Short Duration Bond VIP Fund		None	J	T					
408. Guardian U.S. Government Securities VIP Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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Halpern, Philip M.	04/28/2025

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I Positions: Filer has no beneficial interests in the Trust listed in item 4 in Part I.
VII Investments and Trusts: The assets listed on lines 391 through 408 do not show actual income earned; and only show a unit value. Therfore, no information has been included in column B for those line items.

Name of Person Reporting	Date of Report
Halpern, Philip M.	04/28/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Philip M. Halpern

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544