AO-10T
Rev. 07/2022

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
***5. Title**	***6. District/Court/Unit**		***7. Circuit**
District Judge	NEW YORK SOUTHERN		02
8. Report Type	**9. Calendar Year**	***10. Date Filed**	**11. Check box if amending prior report and enter date of prior submission.**
Periodic Transaction Report	2022	10/06/2022	☐
***12. Date of First Transaction on this Report**		***13. Date of Last Transaction on this Report**	
09/01/2022		09/23/2022	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. NVIDIA Corporation - NVDA	Sold (part)	09/01/2022	10/03/2022	$1,000 - $15,000
2. Tesla Inc - TLSA	Buy (add'l)	09/14/2022	10/03/2022	$1,000 - $15,000
3. Meta Platforms Inc. Class A - META	Sold	09/14/2022	10/03/2022	$1,000 - $15,000
4. Adobe Incorporated - ADBE	Sold (part)	09/14/2022	10/03/2022	$1,000 - $15,000
5. Amazon.com, Inc.- AMZN	Sold (part)	09/14/2022	10/03/2022	$1,000 - $15,000
6. PepsiCo, Inc. - PEP	Buy	09/14/2022	10/03/2022	$1,000 - $15,000
7. TJX Companies Inc - TJX	Sold (part)	09/14/2022	10/03/2022	$1,000 - $15,000
8. Progressive Corporation - PGR	Buy	09/14/2022	10/03/2022	$1,000 - $15,000
9. T-Mobile US, Inc.- TMUS	Buy	09/14/2022	10/03/2022	$1,000 - $15,000
10. CVS Health Corporation - CVS	Buy	09/14/2022	10/03/2022	$1,000 - $15,000
11. CVS Health Corporation - CVS	Buy (add'l)	09/15/2022	10/03/2022	$1,000 - $15,000
12. Adobe Incorporated - ADBE	Sold	09/19/2022	10/03/2022	$1,000 - $15,000
13. Tesla Inc - TLSA	Buy (add'l)	09/19/2022	10/03/2022	$1,000 - $15,000
14. Stock - Ford Motor Company (F)	Sold	09/08/2022	10/03/2022	$15,001 - $50,000
15. Stock - Ferrari NV (RACE)	Buy	09/13/2022	10/03/2022	$1,000 - $15,000

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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. Stock - Adobe Inc (ADBE)	Buy	09/16/2022	10/03/2022	$50,001 - $100,000
17. Stock - Ferrari NV (RACE)	Buy (add'l)	09/20/2022	10/03/2022	$1,000 - $15,000
18. Stock - Visa Inc Class A (V)	Buy	09/20/2022	10/03/2022	$1,000 - $15,000
19. Stock - Alphabet Inc Class A (GOOGL)	Buy	09/20/2022	10/03/2022	$1,000 - $15,000
20. Stock - Ferrari NV (RACE)	Buy (add'l)	09/22/2022	10/03/2022	$1,000 - $15,000
21. Stock - Ferrari NV (RACE)	Buy (add'l)	09/23/2022	10/03/2022	$1,000 - $15,000
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	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544