AO-10T
Rev. 07/2022

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2022	01/04/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
12/01/2022		12/30/2022	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. BECTON DICKINSON CO	Buy	12/01/2022	01/03/2023	$1,000 - $15,000
2. DISNEY (WALT) CO COM STK	Buy (add'l)	12/01/2022	01/03/2023	$1,000 - $15,000
3. CHEVRON CORP	Buy (add'l)	12/01/2022	01/03/2023	$1,000 - $15,000
4. First Republic Bank	Sold	12/09/2022	01/03/2023	$1,000 - $15,000
5. Merck & Co., Inc.	Buy (add'l)	12/09/2022	01/03/2023	$1,000 - $15,000
6. AMAZON COM INC COM	Buy (add'l)	12/16/2022	01/03/2023	$1,000 - $15,000
7. Honeywell International Inc.	Sold (part)	12/16/2022	01/03/2023	$1,000 - $15,000
8. INTUITIVE SURGICAL INC	Sold (part)	12/16/2022	01/03/2023	$1,000 - $15,000
9. THERMO FISHER SCIENTIFIC INC	Sold (part)	12/16/2022	01/03/2023	$1,000 - $15,000
10. GARTNER INC	Buy	12/16/2022	01/03/2023	$1,000 - $15,000
11. ANALOG DEVICES INC COM	Buy	12/16/2022	01/03/2023	$1,000 - $15,000
12. Welltower Inc	Sold (part)	12/16/2022	01/03/2023	$1,000 - $15,000
13. Welltower Inc	Sold (part)	12/19/2022	01/03/2023	$1,000 - $15,000
14. ANALOG DEVICES INC COM	Buy (add'l)	12/20/2022	01/03/2023	$1,000 - $15,000
15. Welltower Inc	Sold	12/20/2022	01/03/2023	$1,000 - $15,000

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A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. GARTNER INC	Buy (add'l)	12/20/2022	01/03/2023	$1,000 - $15,000
17. Microsoft Corporation	Sold (part)	12/22/2022	01/03/2023	$1,000 - $15,000
18. TESLA INC	Sold (part)	12/22/2022	01/03/2023	$1,000 - $15,000
19. GARTNER INC	Buy (add'l)	12/22/2022	01/03/2023	$1,000 - $15,000
20. HONEYWELL INTL INC DEL	Sold (part)	12/22/2022	01/03/2023	$1,000 - $15,000
21. TJX COS INC NEW	Sold (part)	12/22/2022	01/03/2023	$1,000 - $15,000
22. PROCTER & GAMBLE CO	Buy	12/22/2022	01/03/2023	$1,000 - $15,000
23. TESLA INC	Sold	12/23/2022	01/03/2023	$1,000 - $15,000
24. U.S. TREASURY BILL ZERO% MAR 07	Buy	12/30/2022	01/03/2023	$15,001 - $50,000
25. NVIDIA	Sold (part)	12/30/2022	01/03/2023	$1,000 - $15,000
26. Amazon.com Inc (AMZN) - Stock	Sold (part)	12/16/2022	01/03/2023	$1,000 - $15,000
27. Amazon.com Inc (AMZN) - Stock	Sold (part)	12/29/2022	01/03/2023	$1,000 - $15,000
28. PIMCO Dynamic Income (PDI) - CEF	Sold (part)	12/29/2022	01/03/2023	$50,001 - $100,000
29. PIMCO Dynamic Income (PDI) - CEF	Sold (part)	12/30/2022	01/03/2023	$1,000 - $15,000
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544