AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
***5. Title**	***6. District/Court/Unit**		***7. Circuit**
District Judge	NEW YORK SOUTHERN		02
8. Report Type	**9. Calendar Year**	***10. Date Filed**	**11. Check box if amending prior report and enter date of prior submission.**
Periodic Transaction Report	2023	02/01/2023	☐
***12. Date of First Transaction on this Report**		***13. Date of Last Transaction on this Report**	
01/04/2023		01/27/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Westlake Corporation	Sold	01/04/2023	02/01/2023	$1,000 - $15,000
2. Linde plc	Buy	01/06/2023	02/01/2023	$1,000 - $15,000
3. Mosaic Company	Buy	01/06/2023	02/01/2023	$1,000 - $15,000
4. Mosaic Company	Buy (add'l)	01/09/2023	02/01/2023	$1,000 - $15,000
5. LULULEMON ATHLETICA INC	Sold	01/10/2023	02/01/2023	$1,000 - $15,000
6. AMAZON COM INC	Sold (Part)	01/18/2023	02/01/2023	$1,000 - $15,000
7. NVIDIA Corporation	Buy (add'l)	01/18/2023	02/01/2023	$1,000 - $15,000
8. APPLE INC	Sold (Part)	01/18/2023	02/01/2023	$1,000 - $15,000
9. VISA INC CL A SHRS	Sold (Part)	01/18/2023	02/01/2023	$1,000 - $15,000
10. Estee Lauder Companies Inc. Class A	Buy	01/18/2023	02/01/2023	$1,000 - $15,000
11. Ferrari NV	Buy	01/18/2023	02/01/2023	$1,000 - $15,000
12. Ferrari NV	Buy (add'l)	01/19/2023	02/01/2023	$1,000 - $15,000
13. SERVICENOW INC	Sold (Part)	01/23/2023	02/01/2023	$1,000 - $15,000
14. ALPHABET INC SHS CL A	Buy (add'l)	01/23/2023	02/01/2023	$1,000 - $15,000
15. General Dynamics Corporation	Sold (Part)	01/23/2023	02/01/2023	$1,000 - $15,000

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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. MASTERCARD INC	Buy (add'l)	01/23/2023	02/01/2023	$1,000 - $15,000
17. EPAM SYSTEMS INC	Buy (add'l)	01/23/2023	02/01/2023	$1,000 - $15,000
18. CVS HEALTH CORP	Sold	01/25/2023	02/01/2023	$1,000 - $15,000
19. Honeywell International Inc.	Sold (Part)	01/25/2023	02/01/2023	$1,000 - $15,000
20. BIOMARIN PHARMACEUTICALS	Buy (add'l)	01/25/2023	02/01/2023	$1,000 - $15,000
21. BIOMARIN PHARMACEUTICALS	Buy (add'l)	01/26/2023	02/01/2023	$1,000 - $15,000
22. BIOMARIN PHARMACEUTICALS	Buy (add'l)	01/27/2023	02/01/2023	$1,000 - $15,000
23. Starbucks Corp (SBUX) - Stock	Sold (Part)	01/27/2023	02/01/2023	$15,001 - $50,000
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ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544