AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	03/01/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
02/08/2023		02/16/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. META PLATFORMS INC. - META	Buy	02/08/2023	03/01/2023	$1,000 - $15,000
2. INTERCONTINENTAL EXCHANGE INC - ICE	Sold	02/08/2023	03/01/2023	$1,000 - $15,000
3. HEWLETT PACKARD ENTERPRISE CO - HPE	Sold	02/08/2023	03/01/2023	$1,000 - $15,000
4. NVIDIA - NVDA	Buy	02/08/2023	03/01/2023	$1,000 - $15,000
5. APPLIED MATERIAL INC - AMAT	Sold	02/08/2023	03/01/2023	$1,000 - $15,000
6. THERMO FISHER SCIENTIFIC INC - TMO	Sold	02/08/2023	03/01/2023	$1,000 - $15,000
7. SVB FINL GROUP - SIVB	Buy	02/09/2023	03/01/2023	$1,000 - $15,000
8. INTERCONTINENTAL EXCHANGE INC - ICE	Sold	02/09/2023	03/01/2023	$1,000 - $15,000
9. AUTODESK INC - ADSK	Buy	02/09/2023	03/01/2023	$1,000 - $15,000
10. HEWLETT PACKARD ENTERPRISE CO - HPE	Sold	02/09/2023	03/01/2023	$1,000 - $15,000
11. SVB FINL GROUP - SIVB	Buy	02/10/2023	03/01/2023	$1,000 - $15,000
12. NVIDIA - NVDA	Buy	02/16/2023	03/01/2023	$1,000 - $15,000
13. ALPHABET INC - GOOGL	Sold	02/16/2023	03/01/2023	$1,000 - $15,000
14. APPLE INC - AAPL	Sold	02/16/2023	03/01/2023	$1,000 - $15,000
15. US TREASURY BILL	Redeemed	02/16/2023	03/01/2023	$15,001 - $50,000

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16.					-
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18.					-
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
41.					-
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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544