AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	04/03/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
03/03/2023		03/23/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Tesla Inc.	Buy	03/03/2023	04/03/2023	$1,000 - $15,000
2. Intercontinental Exchange, Inc.	Sold	03/03/2023	04/03/2023	$1,000 - $15,000
3. Apple Inc.	Sold (Part)	03/20/2023	04/03/2023	$1,000 - $15,000
4. NVIDIA Corporation	Sold (Part)	03/20/2023	04/03/2023	$1,000 - $15,000
5. Microsoft Corporation	Sold (Part)	03/20/2023	04/03/2023	$1,000 - $15,000
6. Tesla Inc.	Buy (add'l)	03/20/2023	04/03/2023	$1,000 - $15,000
7. EPAM Systems, Inc.	Sold (Part)	03/20/2023	04/03/2023	$1,000 - $15,000
8. UnitedHealth Group Incorporated	Buy (add'l)	03/20/2023	04/03/2023	$1,000 - $15,000
9. UnitedHealth Group Incorporated	Buy (add'l)	03/21/2023	04/03/2023	$1,000 - $15,000
10. EPAM Systems, Inc.	Sold (Part)	03/21/2023	04/03/2023	$1,000 - $15,000
11. BioMarin Pharmaceutical Inc.	Buy (add'l)	03/21/2023	04/03/2023	$1,000 - $15,000
12. U.S. TREASURY BILL ZERO% SEP 14 2023 YLD TO MATURITY 4.71%	Buy	03/23/2023	04/03/2023	$15,001 - $50,000
13. U.S. TREASURY BILL ZERO% AUG 17 2023 YLD TO MATURITY 4.65%	Buy	03/23/2023	04/03/2023	$15,001 - $50,000
14. Citizens Financial Group Inc (CFG) - Stock	Buy	03/14/2023	04/03/2023	$50,001 - $100,000
15. U.S. TREASURY BILL ZERO% MAR 07 2023 PAY DATE 03/07/2023	Redeemed	03/07/2023	04/03/2023	$15,001 - $50,000

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. Adobe Inc (ADBE) - Stock	Sold (Part)	03/14/2023	04/03/2023	$15,001 - $50,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544