AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	06/01/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
05/02/2023		05/31/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. MICROSOFT CORP	Sold (Part)	05/12/2023	06/01/2023	$1,000 - $15,000
2. DATADOG INC REG SHS CL A	Buy	05/12/2023	06/01/2023	$1,000 - $15,000
3. PROGRESSIVE CRP	Buy (add'l)	05/12/2023	06/01/2023	$1,000 - $15,000
4. EPAM SYSTEMS INC	Sold (Part)	05/12/2023	06/01/2023	$1,000 - $15,000
5. CHENIERE ENERGY	Sold (Part)	05/12/2023	06/01/2023	$1,000 - $15,000
6. THE MOSAIC COMPANY COMMON SHARES	Sold (Part)	05/12/2023	06/01/2023	$1,000 - $15,000
7. AUTODESK INC	Sold	05/17/2023	06/01/2023	$1,000 - $15,000
8. THE MOSAIC COMPANY COMMON SHARES	Buy (add'l)	05/17/2023	06/01/2023	$1,000 - $15,000
9. DATADOG INC REG SHS CL A	Buy (add'l)	05/18/2023	06/01/2023	$1,000 - $15,000
10. AUTODESK INC	Buy (add'l)	05/18/2023	06/01/2023	$1,000 - $15,000
11. Citizens Financial Group Inc (CFG) - Stock	Sold (Part)	05/02/2023	06/01/2023	$15,001 - $50,000
12. BOEING COMPANY	Buy	05/26/2023	06/01/2023	$1,000 - $15,000
13. APPLE INC	Sold (Part)	05/30/2023	06/01/2023	$1,000 - $15,000
14. MASTERCARD INC	Buy (add'l)	05/30/2023	06/01/2023	$1,000 - $15,000
15. VISA INC CL A SHRS	Buy (add'l)	05/30/2023	06/01/2023	$1,000 - $15,000

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name	Middle Initial	Last Name	Suffix
Philip	M.	Halpern	

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. INTUIT INC COM	Sold (Part)	05/30/2023	06/01/2023	$1,000 - $15,000
17. NVIDIA	Buy (add'l)	05/30/2023	06/01/2023	$1,000 - $15,000
18. THERMO FISHER SCIENTIFIC INC	Buy (add'l)	05/30/2023	06/01/2023	$1,000 - $15,000
19. UNITEDHEALTH GROUP INC	Buy (add'l)	05/30/2023	06/01/2023	$1,000 - $15,000
20. META PLATFORMS INC CLASS A CO	Buy (add'l)	05/30/2023	06/01/2023	$1,000 - $15,000
21. ALPHABET INC SHS CL A	Sold (Part)	05/30/2023	06/01/2023	$1,000 - $15,000
22. LAUDER ESTEE COS INC A	Buy (add'l)	05/30/2023	06/01/2023	$1,000 - $15,000
23. FERRARI NV	Sold (Part)	05/30/2023	06/01/2023	$1,000 - $15,000
24. FERRARI NV	Sold (Part)	05/31/2023	06/01/2023	$1,000 - $15,000
25. INTERACTIVE BROKERS GROU INC	Buy (add'l)	05/31/2023	06/01/2023	$1,000 - $15,000
26. Ferrari NV (RACE) - Stock	Sold (Part)	05/04/2023	06/01/2023	$15,001 - $50,000
27. Citizens Financial Group Inc (CFG) - St	Sold	05/04/2023	06/01/2023	$15,001 - $50,000
28.				
29.				
30.				
31.				
32.				
33.				
34.				
35.				
36.				
37.				
38.				
39.				
40.				

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
41.				
42.				
43.				
44.				
45.				
46.				
47.				
48.				
49.				
50.				
51.				
52.				
53.				
54.				
55.				
56.				
57.				
58.				
59.				
60.				
61.				
62.				
63.				
64.				
65.				

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
66.				
67.				
68.				
69.				
70.				
71.				
72.				
73.				
74.				
75.				
76.				
77				
78.				
79.				
80.				
81.				
82.				
83.				
84.				
85.				
86.				
87.				
88.				
89.				
90.				

First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544