AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
***5. Title**	***6. District/Court/Unit**		***7. Circuit**
District Judge	NEW YORK SOUTHERN		02
8. Report Type	**9. Calendar Year**	***10. Date Filed**	**11. Check box if amending prior report and enter date of prior submission.**
Periodic Transaction Report	2023	07/05/2023	☐
***12. Date of First Transaction on this Report**		***13. Date of Last Transaction on this Report**	
06/02/2023		06/30/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. THERMO FISHER SCIENTIFIC INC	Sold (Part)	06/14/2023	07/03/2023	$1,000 - $15,000
2. LAUDER ESTEE COS INC A	Sold	06/14/2023	07/03/2023	$1,000 - $15,000
3. ADOBE INC	Buy	06/14/2023	07/03/2023	$1,000 - $15,000
4. GENL DYNAMICS CORP COM	Sold (Part)	06/14/2023	07/03/2023	$1,000 - $15,000
5. NVIDIA	Buy (add'l)	06/23/2023	07/03/2023	$1,000 - $15,000
6. BROADCOM INC	Buy (add'l)	06/23/2023	07/03/2023	$1,000 - $15,000
7. VISA INC CL A SHRS	Sold (Part)	06/23/2023	07/03/2023	$1,000 - $15,000
8. APPLIED MATERIAL INC	Sold (Part)	06/23/2023	07/03/2023	$1,000 - $15,000
9. PEPSICO INC	Sold (Part)	06/23/2023	07/03/2023	$1,000 - $15,000
10. AMERICAN TOWER REIT INC	Sold	06/23/2023	07/03/2023	$1,000 - $15,000
11. S&P GLOBAL INC	Buy	06/23/2023	07/03/2023	$1,000 - $15,000
12. S&P GLOBAL INC	Buy (add'l)	06/26/2023	07/03/2023	$1,000 - $15,000
13. ANALOG DEVICES INC	Sold (Part)	06/28/2023	07/03/2023	$1,000 - $15,000
14. ALPHABET INC SHS CL A	Sold (Part)	06/28/2023	07/03/2023	$1,000 - $15,000
15. META PLATFORMS INC CLASS A COMMON STOCK	Buy (add'l)	06/28/2023	07/03/2023	$1,000 - $15,000

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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. META PLATFORMS INC CLASS A CO	Buy (add'l)	06/29/2023	07/03/2023	$1,000 - $15,000
17. INTERACTIVE BROKERS GROU INC	Sold (Part)	06/29/2023	07/03/2023	$1,000 - $15,000
18. Bank of America Corp (BAC) - Stock	Sold	06/02/2023	07/03/2023	$15,001 - $50,000
19. Nasdaq Inc (NDAQ) - Stock	Buy	06/14/2023	07/03/2023	$50,001 - $100,000
20. Broadcom Inc (AVGO) - Stock	Sold (Part)	06/15/2023	07/03/2023	$15,001 - $50,000
21. Ferrari NV (RACE) - Stock	Sold (Part)	06/27/2023	07/03/2023	$15,001 - $50,000
22. Adobe Inc (ADBE) - Stock	Sold	06/28/2023	07/03/2023	$100,001 - $250,000
23. Ferrari NV (RACE) - Stock	Sold (Part)	06/29/2023	07/03/2023	$1,000 - $15,000
24. Ferrari NV (RACE) - Stock	Sold (Part)	06/30/2023	07/03/2023	$1,000 - $15,000
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544