AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
***5. Title**	***6. District/Court/Unit**		***7. Circuit**
District Judge	NEW YORK SOUTHERN		02
8. Report Type	**9. Calendar Year**	***10. Date Filed**	**11. Check box if amending prior report and enter date of prior submission.**
Periodic Transaction Report	2023	10/03/2023	☐
***12. Date of First Transaction on this Report**		***13. Date of Last Transaction on this Report**	
09/07/2023		09/29/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1.				
2. NVIDIA	Sold (Part)	09/07/2023	10/02/2023	$1,000 - $15,000
3. T-MOBILE US INC	Buy (add'l)	09/07/2023	10/02/2023	$1,000 - $15,000
4. BROADCOM INC	Sold (Part)	09/07/2023	10/02/2023	$1,000 - $15,000
5. APPLIED MATERIAL INC	Sold (Part)	09/07/2023	10/02/2023	$1,000 - $15,000
6. SYNOPSYS INC	Buy	09/07/2023	10/02/2023	$1,000 - $15,000
7. TEXAS INSTRUMENTS	Sold (Part)	09/07/2023	10/02/2023	$1,000 - $15,000
8. ORACLE CORP	Buy	09/07/2023	10/02/2023	$1,000 - $15,000
9. AMAZON COM INC	Buy (add'l)	09/07/2023	10/02/2023	$1,000 - $15,000
10. RELX PLC	Buy	09/07/2023	10/02/2023	$1,000 - $15,000
11. DEVON ENERGY CORP	Buy (add'l)	09/07/2023	10/02/2023	$1,000 - $15,000
12. SYNOPSYS INC	Buy (add'l)	09/08/2023	10/02/2023	$1,000 - $15,000
13. EATON CORP PLC	Sold (Part)	09/13/2023	10/02/2023	$1,000 - $15,000
14. TESLA INC	Buy (add'l)	09/13/2023	10/02/2023	$1,000 - $15,000
15.				

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First Name	Middle Initial	Last Name	Suffix
Philip	M.	Halpern	

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. ALPHABET INC SHS CL A	Sold (Part)	09/20/2023	10/02/2023	$1,000 - $15,000
17. EDWARDS LIFESCIENCES CRP	Sold (Part)	09/20/2023	10/02/2023	$1,000 - $15,000
18. APPLOVIN CORP COM	Sold (Part)	09/20/2023	10/02/2023	$1,000 - $15,000
19. MICROSOFT CORP	Buy (add'l)	09/20/2023	10/02/2023	$1,000 - $15,000
20. APPLOVIN CORP COM	Sold (Part)	09/21/2023	10/02/2023	$1,000 - $15,000
21. APPLOVIN CORP COM	Sold	09/25/2023	10/02/2023	$1,000 - $15,000
22. EATON CORP PLC	Sold (Part)	09/28/2023	10/02/2023	$1,000 - $15,000
23. EDWARDS LIFESCIENCES CRP	Sold	09/28/2023	10/02/2023	$1,000 - $15,000
24. DATADOG INC REG SHS CL A	Buy (add'l)	09/28/2023	10/02/2023	$1,000 - $15,000
25. HUMANA INC	Buy	09/28/2023	10/02/2023	$1,000 - $15,000
26. DATADOG INC REG SHS CL A	Buy (add'l)	09/29/2023	10/02/2023	$1,000 - $15,000
27. HUMANA INC	Buy (add'l)	09/29/2023	10/02/2023	$1,000 - $15,000
28. Broadcom Inc (AVGO) - Stock	Sold (Part)	09/21/2023	10/03/2023	$1,000 - $15,000
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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544